

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ST. EVE, AMY J.	2. Court or Organization District Court	3. Date of Report 11/30/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDCJ - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 219 South Dearborn Street Room 1260 Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Adjunct Professor	Northwestern Law School
2.	Board Member	Federal Bar Association -- Chicago Chapter
3.	Member	Public Safety Commissioner
4.	Board Member	Posse Foundation Chicago
5.	Board Member	Loyola Academy

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Northwestern Law School (teaching)	\$2,000.00
2. 2016	Thompson Reuters (payment for editing chapters in a treatise)	\$3,050.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Northwestern Medical Faculty Foundation
2. 2016	Interventional Consultants, LLC
3. 2016	U.S. Government - Department of Veteran's Affairs
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Ginnie Mae Mutual Fund	A	Dividend	K	T					
2. Fidelity Magellan Mutual Fund (monthly purchase of \$250)	D	Dividend	L	T					
3. Fidelity Low Priced Stock Mutual Fd. (monthly purchase \$250)	D	Dividend	M	T					
4. Fidelity Municipal Money Market Account	A	Interest	K	T					
5. Chase (Accounts)	C	Interest	N	T					
6. Abbott Laboratories Common Stock	A	Dividend	J	T					
7. Fidelity Contrafund (Monthly purchase of \$250)	B	Dividend	M	T					
8. EMC Corp. Common Stock	A	Dividend			Redeemed	07/14/16	K		2639
9. Van Kampen Equity Trust Small Cap Growth Fund		None	J	T					
10. ING International Value Fund	B	Dividend	K	T					
11. Neuberger Berman Genesis Fund	A	Dividend	K	T					
12. ING Small Company Fund A	A	Dividend	K	T					
13. Lord Abbett Affiliated Fund A	A	Dividend	J	T					
14. McDonalds Corp. CS	A	Dividend	J	T					
15. Ameriprise Insured Money Market	A	Interest	M	T					
16. Illinois Municipal Bonds	A	Interest	K	T					
17. Fidelity Strategic Dividend/Income	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. 529 Unique College Investing Plan (age based) See Note 1	D	Interest	N	T					
19. General Electric Company	A	Dividend	K	T					
20. Fidelity Select Medical Delivery (monthly \$250)	A	Dividend	K	T					
21. Entertainment PPTYS Trust Ser V (see note)	A	Dividend	J	T					
22. Illinois State Tax Free Bonds	A	Dividend	K	T					
23. Illinois State College Bonds	A	Interest	J	T					
24. Fidelity Balanced	A	Dividend	J	T					
25. Vanguard Target 2030 (See Note 2 in Part VIII)	C	Dividend	M	T					
26. Fidelity Total Bond Fund (See Note 2 in Part VIII)	B	Dividend	M	T					
27. Fidelity Small Cap Value Fund (See Note 2 in Part VIII)	C	Dividend	M	T					
28. Federated Strategic Mutual Value Fund CLC		None	J	T					
29. Fidelity New Markets Income Mutual Fund	A	Dividend	J	T					
30. Monsanto	A	Dividend	K	T					
31. Manchester United	A	Dividend	J	T					
32. PIF Gov. : HQ Bond I		None	J	T					
33. American Century Ultra IS Fund (see note 2 in Part VIII)	D	Dividend	M	T					
34. Fidelity Growth Co. K Fund (see note 2 in Part VIII)	D	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. HTFD Int'l Opps Y Fund (see note 2 in Part VIII)	C	Dividend	L	T					
36. Janus Truton Fund (See note 2 in Part VIII)	B	Dividend	L	T					
37. JPM Midcap Value IS Fund (see note 2 in Part VIII)	C	Dividend	M	T					
38. PIF Midcap Fund I (see note 2 in Part VIII)	B	Dividend	M	T					
39. NYL Guar Int. Accocent Fund (see note 2 in Part VIII)	B	Interest	K	T					
40. EIM Mutual Fund Closed End	A	Interest	J	T					
41. Indesco American Franchise Mutual Fund	A	Dividend	J	T					
42. Express Scripts		None			Sold	11/18/16	J	C	
43. Facebook		None	K	T					
44. First Trust Financial Series 41 Unit Trust	A	Int./Div.			Redeemed	02/18/16	J		
45. Anheuser Busch	A	Dividend	K	T					
46. Palo Alto Networks		None	J	T					
47. Chevron	A	Dividend	K	T					
48. JP Morgan	A	Dividend	K	T	Buy (add'l)	11/11/16	J		
49. Pfizer	A	Dividend	J	T					
50. Discovery Comm.		None			Sold	01/10/16	J		
51. AbbVie	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Eden Vance Bonds		None	J	T	Buy	05/25/16	J		
53. Lennar		None	J	T	Buy	11/18/16	J		
54. Post		None	K	T	Buy	10/18/16	J		
55.					Buy (add'l)	11/11/16	J		
56. Potash		None	J	T	Buy	11/11/16	J		
57. Union Pacific Railroad		None	J	T	Buy	02/18/16	J		
58. AbbVie	A	Dividend	J	T	Buy	02/18/16	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Notes

1. We made monthly contributions to these Fidelity 529 Education Plans. We contributed a total of \$3,000 monthly in 2016.
2. [REDACTED] employer makes automatic monthly contributions to these funds as part of [REDACTED] retirement plan.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **AMY J. ST. EVE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544