

FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2015Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                          |                                                                  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Brown, Joe                                                                                                     | <b>2. Court or Organization</b><br><br>Middle District TN                                                                                                                                                                                                                                | <b>3. Date of Report</b><br><br>05/16/2016                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>Magistrate Judge (Recall Full)                          | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2015<br>to<br>12/31/2015 |
| <b>7. Chambers or Office Address</b><br><br>Room 783 U.S. Courthouse<br>801 Broadway<br>Nashville, TN 37203                                                                         |                                                                                                                                                                                                                                                                                          |                                                                  |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. |                                                                                                                                                                                                                                                                                          |                                                                  |

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)
☐ NONE (No reportable positions.)

| <u>POSITION</u>                                 | <u>NAME OF ORGANIZATION/ENTITY</u>                   |
|-------------------------------------------------|------------------------------------------------------|
| 1. Co-Trustee . Assets listed Part VII line 162 | Y P Trust. Trust for Family Cemetary Taylorsville KY |
| 2. Lecturer in Criminal Law                     | Nashville School of Law                              |
| 3. Adjunct Professor of Law                     | Vanderbilt University School of Law                  |
| 4. Custodian Assets listed Part VII line 89     | Uniform Gift to Minors, Granddaughter C              |
| 5. Custodian Assets listed Part VII line 89     | Education trust for Granddaughter W                  |
| 6. Custodian Assets listed Part VII line 89     | Education Trust for Granddaughter C                  |
| 7. Custodian Assets listed Part VII line 89     | Education trust forGranddaughter J                   |
| 8. Custodian Assets listed Part VII line 89     | Education trust for Granddaughter MH                 |
| 9. Custodian Assets listed Part VII line 89     | Education trust for Granddaughter K                  |
| 10. Custodian Assets listed Part VII line 89    | Education trust for Granddaughter CA                 |

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)
☐ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u>                                                                                    |
|-------------|-------------------------------------------------------------------------------------------------------------|
| 1. 12/22/89 | Nashville School of Law, Agreement to teach a Course on Federal Criminal Law as scheduled. None taught 2015 |

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| Name of Person Reporting | Date of Report |
|--------------------------|----------------|
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2. 4/5/06 Vanderbilt University School of Law, Agreement to teach course on Pretrial Litigation as scheduled. None taught 1015

3.

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.***(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                            |   |          |   |   |                         |          |   |   |                            |
|--------------------------------------------|---|----------|---|---|-------------------------|----------|---|---|----------------------------|
| 1. Abbvie Inc (ABBV)                       | A | Dividend | J | T |                         |          |   |   |                            |
| 2. Actavis PLC (ACT)                       | A | Dividend |   |   | Buy                     | 03/26/15 | J |   |                            |
| 3.                                         |   |          |   |   | Buy<br>(add'l)          | 03/30/15 | J |   |                            |
| 4.                                         |   |          |   |   | Merged<br>(with line 6) |          |   |   |                            |
| 5. Adobe Systems (ADBE)                    | A | Dividend | J | T |                         |          |   |   |                            |
| 6. Allergan PLC,comm (AGN)                 | A | Dividend | J | T |                         |          |   |   | Name change from line 4    |
| 7. Alphabet Inc Class A (GOOGL)            | A | Dividend | J | T |                         |          |   |   | Name change Google Ln 58/9 |
| 8. Amazon (AMZN) (Y)                       |   |          |   |   |                         |          |   |   | Y lexss than 1000          |
| 9. American Beacon (ABEYX)                 | A | Dividend | K | T |                         |          |   |   |                            |
| 10. American Capitol World Grth (CWGIX)    | B | Dividend | J | T |                         |          |   |   | name change line 30        |
| 11. American Century Small Cap (ACVIX)     | A | Dividend | J | T |                         |          |   |   |                            |
| 12. American Express Stock (AXP)           | A | Dividend | K | T |                         |          |   |   |                            |
| 13. American International Group Inc (AIG) | A | Dividend |   |   | Sold                    | 11/09/15 | J | A |                            |
| 14. Ameriprise Finl Inc (AMP)              | A | Dividend | J | T |                         |          |   |   |                            |
| 15. Anadarko Pete Corp (APC)               | A | Dividend | J | T |                         |          |   |   |                            |
| 16. Apple (AAPL)                           | A | Dividend | K | T |                         |          |   |   |                            |
| 17. Aplied Materials (AMAT)                | A | Dividend | J | T |                         |          |   |   |                            |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                |   |          |   |   |                          |          |   |   |                        |
|------------------------------------------------|---|----------|---|---|--------------------------|----------|---|---|------------------------|
| 18. AQR Small Cap (QSERX)                      | A | Dividend | K | T | Buy                      | 02/06/15 | J |   |                        |
| 19.                                            |   |          |   |   | Buy<br>(add'l)           | 03/20/15 | J |   |                        |
| 20.                                            |   |          |   |   | Buy<br>(add'l)           | 11/12/15 | K |   |                        |
| 21.                                            |   |          |   |   | Sold<br>(part)           | 11/12/15 | J |   | Part sold at loss      |
| 22. Baird Core Plus Bond institutional (BCOIX) | A | Interest | J | T |                          |          |   |   |                        |
| 23. Bank Of America (BAC)                      | A | Dividend | J | T |                          |          |   |   |                        |
| 24. BB&T Corp (BBT)                            | A | Dividend | J | T |                          |          |   |   |                        |
| 25. Bed Bath & Beyond (BBBY) (X)               | A | Dividend | J | T |                          |          |   |   |                        |
| 26. Blackrock Util and Telecom (MDGUX)         | A | Dividend | J | T |                          |          |   |   |                        |
| 27. Broadcom Corp (BRCM)                       | A | Dividend | J | T | Sold<br>(part)           | 08/06/15 | J | A |                        |
| 28. Canadial Picific Railway LTD (CP)          | A | Dividend | J | T |                          |          |   |   |                        |
| 29. Capital One Financial (COF)                | A | Dividend | J | T |                          |          |   |   |                        |
| 30. Capital World Gr & Inc Fund (CWGIX)        | A | Dividend |   |   | Merged<br>(with line 10) |          |   |   | Name change to Line 10 |
| 31. Cardinal Health Inc (CAH)                  | A | Dividend | J | T |                          |          |   |   |                        |
| 32. Carlisle Cos Inc (CSL)                     | A | Dividend | J | T | Buy                      | 01/13/15 | J |   |                        |
| 33. Carmax Inc (KMX)                           | A | Dividend | J | T | Buy                      | 07/02/15 | J |   |                        |
| 34. Caterpillar (CAT)                          |   | None     |   |   | Sold                     | 04/07/15 | J |   | Sold at loss           |

|                                                  |                                                                                       |                                                        |                                                              |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                              | T = Cash Market                                              |                         |

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|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. Carvinal Corp (CCL)                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 36. CBS Corp (CBS)                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 01/20/15                | J                               |                                |                                                                   |
| 37. Chevron (CVX)                                                                                                         | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 09/09/15                | J                               |                                | Sold at loss                                                      |
| 38. Cisco Sys Inc (CSCO)                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 39. CIT Group (CIT)                                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Merged<br>(with line 40)                        |                         |                                 |                                | Name change line 40                                               |
| 40. CITIGROUP Inc Com New (C)                                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 08/03/15                | J                               | A                              | change name combine<br>CIT-C                                      |
| 41. Citrix Sys inc (CTXS)                                                                                                 |                                         |                                               |                                                 |                                           | Sold                                            | 01/16/15                | J                               |                                | Sold at loss                                                      |
| 42. CMS Energy (CMS)                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 43. Comcast (CMCSA)                                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 06/15/15                | J                               | A                              |                                                                   |
| 44. Conocophillips (COP)                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/09/15                | J                               |                                |                                                                   |
| 45. CVSHealth Corp (CVS)                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                | Name change only                                                  |
| 46. Delaware Value Instl (DDVIX)                                                                                          | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 47. Deplhi Automotive (DLPH)                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 48. Disney Walt (DIS)(Y)                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                | Value- \$1000 sold<br>2014                                        |
| 49. Dollar General Corp (DG)                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/12/15                | J                               |                                |                                                                   |
| 50. Eaaton Corp PLC (ETN)                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 51. Enegizer Hlodings (ENG)(Y)                                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                | Value- 1000/ 200 Sold<br>2014                                     |

|                                                  |                                                                                       |                                                        |                                                              |                                                                                          |                         |
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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. First Eagle Overseas (SGIOX)                                                                                            | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 10/06/15                | J                               |                                | part sold at loss                                                 |
| 53.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 10/08/15                | J                               | A                              | All sold                                                          |
| 54. Franklin TN School Dist Bonds                                                                                           | A                                       | Interest                                      |                                                 |                                           | Matured                                         | 06/02/15                | J                               | D                              |                                                                   |
| 55. Freeport McMoran inc (FCX)                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 01/23/15                | J                               |                                |                                                                   |
| 56. General Electric (GE)                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 57. Gilead Scientific Inc (GILD)                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 58. Google Class A ((GOOGL)                                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Merged<br>(with line 7)                         |                         |                                 |                                | Name change line 7                                                |
| 59. Google Class C (GOOG)                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Merged<br>(with line 7)                         |                         |                                 |                                | Name change line 7                                                |
| 60. Halliburton (HAL)                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 61. Harding Loevner Intl Equity (HMLIX)                                                                                     | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 62. Health Stream Stock (HSTM)                                                                                              | A                                       | Dividend                                      | K                                               | T                                         | Donated<br>(part)                               | 12/21/15                | J                               |                                | Donated part to church                                            |
| 63. Heartland Value Plus (HNVIX)                                                                                            | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/06/15                | J                               |                                |                                                                   |
| 64.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/12/15                | J                               |                                | Part sold at loss                                                 |
| 65.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/29/15                | J                               |                                |                                                                   |
| 66. Hologic Inc (HOLX)                                                                                                      | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 05/21/15                | J                               |                                |                                                                   |
| 67. Home Depot (HD)                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 68. Honeywell Int Inc (HON)                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 07/20/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Brown, Joe

Date of Report

05/16/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                             |   |          |   |   |                        |          |   |   |                        |
|---------------------------------------------|---|----------|---|---|------------------------|----------|---|---|------------------------|
| 69. Hotckins & Wiley FDS (HWSIX)            | A | Dividend | J | T | Sold (part)            | 02/06/15 | J | A |                        |
| 70. Hyatt Hotels Corp (H) (Y)               |   |          |   |   |                        |          |   |   | Value under \$1000/200 |
| 71. Huntsman Corp (HUN)                     | A | Dividend | J | T | Buy                    | 10/02/15 | J |   |                        |
| 72. ING Intermediate Bond Fund (IIBWX)      |   |          |   |   | Merged (with line 190) |          |   |   | Name change to VOYA    |
| 73. Intel Stock (INTC)                      | B | Dividend | K | T |                        |          |   |   |                        |
| 74. International Business Machine (IBM)(Y) |   |          |   |   |                        |          |   |   | value under 1000/200   |
| 75. Intercontinental Exchange (ICE)         | A | Dividend | J | T |                        |          |   |   |                        |
| 76. International Paper Co (IP)             |   | None     |   |   | Sold                   | 01/27/15 | J | A |                        |
| 77. IsharesNational Amt Free Muni (MUB)     | A | Dividend | J | T |                        |          |   |   |                        |
| 78. Ishares MSCI EAFE IDX (EFA)             | A | Dividend | J | T |                        |          |   |   |                        |
| 79. Ishares Russell 1000 Value (IWB)        | A | Dividend | K | T | Buy (add'l)            | 03/13/15 | J |   |                        |
| 80.                                         |   |          |   |   | Buy (add'l)            | 03/16/15 | J |   |                        |
| 81.                                         |   |          |   |   | Buy (add'l)            | 03/17/15 | J |   |                        |
| 82.                                         |   |          |   |   | Buy (add'l)            | 03/18/15 | J |   |                        |
| 83.                                         |   |          |   |   | Buy (add'l)            | 09/03/15 | J |   |                        |
| 84.                                         |   |          |   |   | Sold (part)            | 03/13/15 | J | A |                        |
| 85.                                         |   |          |   |   | Sold (part)            | 03/16/15 | J | A |                        |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Brown, Joe

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/17/15                | J                               | A                              |                                                                   |
| 87.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/18/15                | J                               | A                              | part sold at loss                                                 |
| 88. Ishares Russell 2000 (IWN)                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 08/03/15                | J                               |                                |                                                                   |
| 89.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/03/15                | J                               | A                              |                                                                   |
| 90. Johnson Controls (JCI)                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 91. Johnson & Johnson (JNJ)                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 92. JPMORGAN (JPM)                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 93. Juniper Networks (JNPR)                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 94. Keycorp new (KEY)                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 95. Kohls Corp (KSS)                                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 03/02/15                | J                               | A                              |                                                                   |
| 96. Kroger Co (KR)                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/17/15                | J                               |                                |                                                                   |
| 97. Lazard Emerging Markets (EMBI)                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 10/07/15                | J                               |                                |                                                                   |
| 98. Lazard Intl Strategic Equity Portfolio<br>(LISIX)                                                                       | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 10/07/15                | J                               |                                |                                                                   |
| 99.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/09/15                | K                               |                                |                                                                   |
| 100. LKCM Small-Mid Cap Equity (LKSMX)                                                                                      | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 02/06/15                | J                               | A                              |                                                                   |
| 101.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/20/15                | J                               | B                              |                                                                   |
| 102. Marathon Oil Corp (MRO)                                                                                                | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/17/15                | J                               |                                | Sold at loss                                                      |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. Medtronic PLC (MDT)                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 04/01/15                | J                               |                                |                                                                   |
| 104. Merck & Company (MRK)                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 105. Metlife (MET)                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 106. MFS Growth Fund (MFEIX)                                                                                                | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 107. Micron Technology (MU)                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 04/29/15                | J                               |                                |                                                                   |
| 108. Microsoft Stock (MSFT)                                                                                                 | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 109. Monsanto (MON)                                                                                                         | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 09/16/15                | J                               | A                              |                                                                   |
| 110. Morgan Stanley (MS)                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 111. Morgan Stanley Mutual Fund (VADBX)<br>(Retirement account)(Y)                                                          | A                                       | Dividend                                      |                                                 |                                           | Merged<br>(with line 112)                       |                         |                                 |                                | Changed to NSL Funds                                              |
| 112. Nashville School of Law IRA Unit<br>investment trust                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                | Changed from line 111                                             |
| 113. NXP Semiconductor (NXPI)                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 114. Occidental Pete Co (OXY)                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 115. OHIO College Advantage 529 plan Black<br>Rock Growth Portfolio (ALL GC)                                                | A                                       | Dividend                                      | O                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 116. Omnicom Group (OMC)                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 117. Oracle Corp Common (ORCL)                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/07/15                | J                               |                                |                                                                   |
| 118. Parker Hannifin (PH)                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 09/23/15                | J                               |                                | Sold at loss                                                      |
| 119. Pepisco Inc (PEP)                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. Pfizer Inc (PFE)                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 121. Phillip Morris (PN)                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 04/12/15                | J                               |                                | Sold at loss                                                      |
| 122. PIMCO Commodities Plus Strategies Fund (PCLIX)                                                                         | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 08/18/15                | J                               |                                | Sold at loss                                                      |
| 123. PIMCO Total Return (PTTRX)                                                                                             |                                         |                                               |                                                 |                                           | Merged<br>(with line 122)                       |                         |                                 |                                |                                                                   |
| 124. Procter & Gamble Co (PG)                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 125. Qualcomm Inc                                                                                                           | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 03/13/15                | J                               |                                |                                                                   |
| 126.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 11/04/15                | J                               |                                | Sold at loss                                                      |
| 127. RIO Tinto PLC (RIO)                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 03/10/15                | J                               |                                | All sold at loss                                                  |
| 128. Rydex ETF S&P 500 Equal Weight (RSP)                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                | Sold in 12/30/2011 VII                                            |
| 129. Rydex S&P Pure Gr Mid Cap ETF (RFG)(Y)                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                | "                                                                 |
| 130. Rydex S&P Small Cap ETF (RZG)(Y)                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                | "                                                                 |
| 131. Royal Caribbean Cruises (RCL)                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 04/23/15                | J                               |                                |                                                                   |
| 132. Sandisk Corp (SNDK)                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 03/27/15                | J                               |                                | Sold at loss                                                      |
| 133. Schlumberger Limited (SLB)                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 134. Schroder Emerging Markets (SEMNX)                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 135. Signet Jewellers LTD (SIG)                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 136. Southwest Airlines (LUV)                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/20/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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# FINANCIAL DISCLOSURE REPORT

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| 137. Spirit Aerosystems Hldgs Inc (SPR)                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 138. SPDR Barclays Municipal Bond ETF (TFI)                                                                                 | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                | Merged from line 139                                              |
| 139. SPDR Series Trust Nuva (TFI)                                                                                           |                                         |                                               |                                                 |                                           | Merged<br>(with line 138)                       |                         |                                 |                                |                                                                   |
| 140. State TR Corp (STT)                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/03/15                | J                               |                                |                                                                   |
| 141. Sysco Corp (SYY)                                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 05/21/15                | J                               | A                              |                                                                   |
| 142. Target Corp (TGT)                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/24/15                | J                               |                                |                                                                   |
| 143. Texas Instruments Inc (TXN)                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 144. Thermo Fisher Scientific (TMO)                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 145. TJX COS Inc (TJX)                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 04/01/15                | J                               |                                |                                                                   |
| 146. Tractor Supply (TSCO)                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 147. TRW Automotive Holdings Corp (TRW)(Y)                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                | Under 1000/200 in<br>2014                                         |
| 148. United Continental Holding Inc (UAL)(X)                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 06/01/15                | J                               |                                | Purchase increased ><br>\$1000                                    |
| 149. United Health Group (UNH)                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 07/17/15                | J                               |                                |                                                                   |
| 150. United Parcel Service (UPS)                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 151. United Technologies Corp (UTX)                                                                                         | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/17/15                | J                               | A                              | All sold                                                          |
| 152. USAA Agressive Grwth Fund (UIAGX) (Y)                                                                                  |                                         | None                                          |                                                 |                                           |                                                 |                         |                                 |                                | Value under 1000 in<br>2014                                       |
| 153. USAA Emerging Markets (UIEMX)                                                                                          | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

Brown, Joe

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. USAA High Income Fund (UIHIX)                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 155. USAA Income Fund Institutional Shares (UIINX)                                                                          | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 156. USAA Managed Allocation (UMAFX)                                                                                        | A                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 157. USAA Money Market (USAXX)                                                                                              | A                                       | Interest                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 10/06/15                | J                               |                                |                                                                   |
| 158.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/07/15                | J                               |                                | no gain                                                           |
| 159. USAA Precious Metals & Minerals Funds (UIPMX)                                                                          | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 160. USAA Real Return UIRRX)                                                                                                | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 161. USAA Short Term Bond Fund (UISBX)                                                                                      | A                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 162. USAA S&P Index (USPRX)(X)                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                | Value under 1000 in 2014                                          |
| 163. USAA Tax Exempt Intermediate (USATX)                                                                                   | A                                       | Int./Div.                                     | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 164. USAA Tax Exempt Long Term (USTEX) (1Y)                                                                                 | A                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                | Value under 1000 in 2014                                          |
| 165. USAA Tax Exempt Short Term (USSTX)                                                                                     | A                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 166. USAA Tax-Exempt Market (USEXX) (X)                                                                                     | A                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 167.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/30/15                | J                               |                                |                                                                   |
| 168.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/06/15                | J                               |                                | No gain                                                           |
| 169.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/01/15                | J                               |                                | No gain                                                           |
| 170.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/07/15                | J                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                 | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                       | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/21/15                | J                               |                                |                                                                   |
| 172.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/15/15                | J                               |                                |                                                                   |
| 173.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/16/15                | J                               |                                | No gain                                                           |
| 174.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/03/15                | J                               |                                |                                                                   |
| 175.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/18/15                | J                               |                                |                                                                   |
| 176.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/20/15                | J                               |                                | No gain                                                           |
| 177.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/04/15                | J                               |                                | No gain                                                           |
| 178.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/08/15                | J                               |                                |                                                                   |
| 179.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/09/15                | J                               |                                | No Gain                                                           |
| 180.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/12/15                | J                               |                                | No Gain                                                           |
| 181.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/24/15                | J                               |                                | No gain                                                           |
| 182. USAA Bank Checking Accounts 1408, 6058                                                                                 | A                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 183. Vanguard FTSE Europe ETF (VGK)                                                                                         | A                                       | Int./Div.                                     | J                                               | T                                         | Buy<br>(add'l)                                  | 01/29/15                | J                               |                                |                                                                   |
| 184. Vanguard Index Funds (VOO)                                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 02/03/15                | J                               |                                |                                                                   |
| 185.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/20/15                | J                               |                                |                                                                   |
| 186. Vanguard MSCI Emerging Markets ETF (VWO)                                                                               | A                                       | Int./Div.                                     |                                                 |                                           | Sold                                            | 09/03/15                | J                               |                                | Sold at loss                                                      |
| 187. Verizon Communicaations (VZ)                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
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| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
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|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| 188. Virtus Quality Small Cap (PXQSX)(Y)                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                | Value went under 1000                                             |
| 189. Visa (V)                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 190. VOYA Intermediate Bonds Class W<br>(IIWBX)                                                                             | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 191. Wal Mart Stock (WMT)                                                                                                   | B                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 192. Wells Fargo Stock (WFC)                                                                                                | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 193. Wells Fargo CMA, Checking                                                                                              | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 194. Wells Fargo Sweep Accounts IRA and 60<br>and 57 Accounts                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 195. Yahoo Inc (YHOO)(Y)                                                                                                    |                                         | None                                          |                                                 |                                           | Sold                                            | 09/14/14                | J                               | A                              | Sold 2014                                                         |
| 196. Wisdomtree EMG Markets SMCAP (DGS)                                                                                     |                                         | None                                          |                                                 |                                           | Sold                                            | 02/03/15                | J                               |                                | Sold at loss                                                      |
| 197. 1/2 Interest Knox Brown Farms,<br>Taylorsville, KY                                                                     | A                                       | Rent                                          | N                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 198. 1/2 Interest Knox Brown Farms, Laporte, IN                                                                             | E                                       | Rent                                          | P1                                              | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 199. Fidelity Mutual Life Ins Co.                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 200. Mass Mutual Life Insurance Policies                                                                                    | B                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 201. Peoples Bank Taylorsville Sav Act (Family<br>Cemetery Trust)                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 202. REGIONS Bank, Nashville Checking                                                                                       | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII

Line 201. Cemetery trust assets.

line 115. This includes all funds for Grandchildren (GC) as custodial Part I lines 4-10. All shares in Ohio 529 plan and managed by Black Rock.

Line 2. changed name to Line 6 Value shown there.

Line 6. changed name from line 2

line 7. Name change from line 58/59 Income value reported here

line 10 Change of name from line 30 Value reported here

line 30 Change of name to line 10

Line 39 changed to 40

Line 40 changed from 39 Value reported here

line 48. Sold in 2014 when value under 1000 should have been removed then.

line 51. Sold in 2014 when value went under 1000 should have been removed then.

Lines 58 and 59. Name changed to line 7.

Line 72. name change to line 190.

Line 111. Name changed to line 112

Line 112 Name change from line 111. Value reported here.

Line 122 changed from line 123. Value reported here.

Line 123 changed to line 122

Lines 128, 129 and 130. All were sold in 2011 and should not have been reported.

Line 138. Name changed from line 139. Value reported here.

line 139. Name changed to line 138.

Line 147. Value went under 1000 in 2014

Line 152. value went under 100 in 2014

line 162. value increased in 2015 to require reporting

line 164. Value increased from 2104 to require reporting.

Line 190 Name change from line 72 value reported here.

Line 195 Sold in 2014

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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Name of Person Reporting

Brown, Joe

Date of Report

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joe Brown**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544