

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, Edith H.	2. Court or Organization 5th Circuit Court of Appeals	3. Date of Report 07/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 12505 U.S. Courthouse 515 Rusk Houston, TX 77002-2600		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Board Member	The Blackstone Center for Law & Liberty, Faulkner University
2. President	Garland Walker Inn of Court
3. Board Member	Coolidge Foundation
4. Trustee	Trust#1
5. Trustee	Trust #2
6. Trustee	Trust #3
7.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Jones, Edith H.

Date of Report

07/13/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 10/11/17	Co-Executor Fee, See Note B to Part VIII	\$44,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Andrews & Kurth, LLP - salary
2. 2017	Prudential Ins. Co., Pension Annuity, Occidental Petrol
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Columbia Law School Federalist Society	February 6, 2017	New York, NY	Speech	Lodging, airfare & transportation, meals
2. Chicago Law School Federalist Society	February 7-8, 2017	Chicago, IL	Speech	Lodging, airfare & transportation, meals
3. The Philadelphia Society	March 31-April 2, 2017	Dallas, TX	Speech	Lodging, airfare & transportation, meals
4. Harvard Law School Federalist Society	April 17-18, 2017	Boston, MA	Speech	Lodging, airfare & transportation, meals
5. Coolidge Foundation	April 28-30, 2017	Woodstock, VT	Scholarship Review Juror	Lodging, airfare & transportation, meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Jones, Edith H.

Date of Report

07/13/2018

6.	Claremont Institute	September 22-24, 2017	Orange County, CA	Constitution Day Award Recipient	Lodging, airfare & transportation, meals
7.	The Federalist Society Runnymede Society	September 26-28, 2017	Halifax, Canada	Speech	Lodging, airfare & transportation, meals
8.	The Federalist Society	November 15-18, 2017	Washington, DC	Moderator	Lodging, airfare & transportation, meals

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Jones, Edith H.

Date of Report

07/13/2018

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

Jones, Edith H.

Date of Report

07/13/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BANK ACCOUNTS									
2. Bank of America - Accounts	A	Interest	M	T					
3. J.P. Morgan Chase Bank - Accounts	A	Interest	K	T					
4. STOCKS, BONDS & NOTES									
5. AT&T Common Stock	A	Dividend	J	T					
6. Invesco Comstock A (ACSTX) (fmr. Invest. Co. of America)	A	Dividend	K	T					
7. Dow Chemical - common	A	Dividend	K	T	Donated (part)				
8. Exxon Mobil Corp	B	Dividend			Sold	08/25/17	L	E	
9. Nestle - common	A	Dividend	L	T					
10. Newmont Gold - common	A	Dividend	J	T					
11. Intel Corporation	A	Dividend	K	T					
12. Procter & Gamble	B	Dividend	L	T					
13. Morgan Stanley Bank	B	Interest	M	T					
14. Tortoise Energy Corp.	A	Dividend	J	T					
15. Pepsico	B	Dividend	K	T					
16. NCR		None	J	T					
17. MS Global Infrastructure A, (MTIPX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

Jones, Edith H.

Date of Report

07/13/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Am. Inv. Co. (AIVSX)	B	Dividend	L	T					
19. Franklin Inst. Tax Free Fund-FKTX	B	Interest	K	T	Sold (part)	11/17/17	J	A	
20. Franklin Mut. Shares Fund TESIX	A	Dividend	J	T					
21. GPR Energy, LLC		None			Closed	12/31/17	J	A	
22. Syngenta AG ADR	C	Dividend	L	T	Sold (part)	08/25/17	L	E	
23. Magellan Midstream Partners	D	Dividend	L	T	Sold (part)	08/25/17	L	D	
24. Verizon Comm	A	Dividend	J	T					
25. Fidelity Fund (FFFCX)	D	Int./Div.	N	T					
26. Ford Motor Credit Co.	C	Interest			Redeemed	02/03/17	M	A	
27. Smucker J M Co	A	Dividend	J	T					
28. Lord Abbett Sht. Fund (LDLAX)	C	Dividend	M	T					
29. Pioneer Mlti Ast Ult. (MCFRX)	C	Dividend	M	T					
30. Templeton Global Bond FdC (TEGBX)	B	Dividend	M	T					
31. Goldman Sachs MLP Inc. (GMZ)	B	Dividend	J	T					
32. Tekla Healthcare Opp Fund (THO)	A	Dividend	K	T					
33. American Elec Power, Inc	A	Dividend			Donated				
34. Bank of America	A	Dividend			Donated				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

Jones, Edith H.

Date of Report

07/13/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Coca-Cola	A	Dividend			Donated				
36. DuPont	A	Dividend	J	T					
37. Eastman Chemical	A	Dividend			Donated				
38. Emerson Elec. Co.	A	Dividend			Donated				
39. General Electric Co.	A	Dividend			Donated				
40. Hershey Co.	A	Dividend			Donated				
41. Nat. Fuel Gas Co.	A	Dividend			Sold	10/02/17	J	A	
42. Pfizer, Inc.	A	Dividend	J	T	Sold (part)	10/02/17	J	A	
43. Scana Corp.	A	Dividend			Donated				
44. AmCap Fund (AMCPX)	B	Dividend	L	T					
45. Fidelity Puritan Trust (FPURX)	A	Dividend	J	T					
46. Fidelity Sec's Fd. (FBGRX)	A	Dividend	J	T					
47. Columbia Funds (AUGAX)	A	Dividend	J	T					
48. Putnam USG (PGSIX)	A	Dividend	J	T					
49. Wash. Mut. Inv's Fund (AWSHX)	A	Dividend	K	T	Sold (part)	12/21/17	J	A	
50. First Tr. En. Income	A	Dividend	J	T					
51. USAA Cornerstone (USCRX)	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

Jones, Edith H.

Date of Report

07/13/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Vanguard stock Market Index Fund (VTSAX)	B	Dividend	L	T					
53. Vanguard 500 Index Fund	A	Dividend	K	T					
54. Colgate Palmolive	A	Dividend	J	T					
55. John Hancock Venture Variable Annuity- See Note X, Part VIII	A	Interest	K	T					
56. Chemours Co.	A	Dividend			Donated				
57. -Hollan Maine LLC, property in York County, Maine	A	Rent	N	W					
58. Alphabet Inc.	B	Dividend	K	T	Buy	08/25/17	K		
59. Blackstone Group	B	Dividend	K	T	Buy	08/25/17	K		
60. Enterprise Prod. Ptnrs LP	A	Dividend	K	T	Buy	08/25/17	K		
61. Lyondell Basel	A	Dividend	K	T	Buy	08/25/17	K		
62. Rio Tinto PLC	A	Dividend	K	T	Buy	08/25/17	K		
63. Rockwell Automation	A	Dividend	K	T	Buy	08/25/17	K		
64. Visa, Inc.	A	Dividend	K	T	Buy	08/25/17	K		
65. Walgreen Boots	A	Dividend	K	T	Buy	08/25/17	K		
66. Pinnacle Nat. Bk [CD]	B	Interest	L	T	Buy	08/25/17	K		
67. Amgen, Inc. [Bond]	B	Interest	L	T	Buy	08/25/17	K		
68. AT&T [Bond]	B	Interest	L	T	Buy	08/25/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

Jones, Edith H.

Date of Report

07/13/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. Trust #1									
70. -Invesco Comstock A (ACSTX)	A	Dividend	K	T					
71. -Syngenta AG	A	Dividend	K	T					
72. -Magellan Midstream Partners	A	Dividend	K	T					
73. -Morgan Stanley Bank	A	Interest	K	T					
74. Trust #2, See Note A in Part VIII									
75. -Bank of America (x)	A	Dividend	J	T					
76. -Coca Cola (x)	A	Dividend	J	T					
77. -Eastman Chem (x)	A	Dividend	J	T					
78. -General Electric (x)	A	Dividend	J	T					
79. -Morgan Stanley Bank (x)	A	Interest	J	T					
80. Trust #3, See Note A in Part VIII									
81. -American Elec Power (x)	A	Dividend	J	T					
82. -Chemours Co. (x)	A	Dividend	J	T					
83. -Dow Dupont (x)	A	Dividend	J	T					
84. -Emerson Elec Co. (x)	A	Dividend	J	T					
85. -Hershey Co. (x)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

Jones, Edith H.

Date of Report

07/13/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. -Scana Corp. New (x)	A	Dividend	J	T					
87. -Morgan Stanley Bank (x)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Jones, Edith H.

Date of Report

07/13/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII. Investments and Trusts

X. The inherited annuity is variable, not publicly traded on an exchange, no investment options.

A. The Trusts were created in 2017 and assets associated with them were transferred in kind from other assets reported herein.

B. As noted in 2016 FDR, my co-executor duties ended in 2016 and all that remained in 2017 was to pay final fees.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Jones, Edith H.

Date of Report

07/13/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Edith H. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544