

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rhodes, Steven W.	2. Court or Organization U.S.D.Ct., E.D. Mi.	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 211 West Fort Bldg. Suite 1800 Detroit, MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Rhodes, Steven W.

Date of Report

05/14/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 4/15/2012	Matthew Bender - Book Royalties	\$3,750.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	University of Michigan - Employment Income
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Pepperdine Law School	3/13/12-3/16/12	Malibu, CA	Educational Seminar	Registration/Lodging/Transportation/Meals
2. American Bankruptcy Institute	3/16/12-3/16/12	Los Angeles, CA	Educational Seminar	Registration/Lodging/Transportation/Meals
3. National Conference of Bankruptcy Judges	3/19/12-3/20-12	Miami, FL	NCBJ Board Meeting	Lodging/Transportation/Meals
4. American Bankruptcy Institute	3/22/12-3/24/12	Las Vegas, NV	Educational Seminar	Registration/Lodging/Transportation/Meals
5. National Association of Bankruptcy Trustees	3/29/12-3/31/12	Las Vegas, NV	Educational Seminar	Registration/Lodging/Transportation/Meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Rhodes, Steven W.

Date of Report

05/14/2013

6.	American Bankruptcy Institute	4/19/12-4/22/12	National Harbor, MD	Educational Seminar	Registration/Lodging/Transportation/Meals
7.	American Bankruptcy Institute	6/7/12-6/10/12	Traverse City, MI	Educational Seminar	Registration/Lodging/Transportation/Meals
8.	American Bankruptcy Institute	7/12/12-7/15/12	Bretton Wood, NH	Educational Seminar	Registration/Lodging/Transportation/Meals
9.	Federal Bar Association, W D Mich	7/19/12-7/21/12	Crystal Mountain, MI	Educational Seminar	Registration/Lodging/Transportation/Meals
10.	American Bankruptcy Institute	8/2/12-8/4/12	Cambridge, MD	Educational Seminar	Registration/Lodging/Transportation/Meals
11.	LexisNexis	8/23/12-8/25/12	New York, NY	Educational Video Production	Lodging/Transportation/Meals
12.	National Association of Bankruptcy Trustees	9/5/12-9/9/12	Colorado Springs, CO	Educational Seminar	Registration/Lodging/Transportation/Meals
13.	American Bankruptcy Institute	9/13/12-9/15/12	Kansas City, MO	Educational Seminar	Registration/Lodging/Transportation/Meals
14.	American Bankruptcy Institute	10/4/12-10/6/12	Las Vegas, NV	Educational Seminar	Registration/Lodging/Transportation/Meals
15.	National Conference of Bankruptcy Judges	10/24/12-10/27/12	San Diego, CA	Educational Seminar	Registration/Lodging/Transportation/Meals
16.	American Bankruptcy Institute	11/28/12-12/1/12	Tucson, AZ	Educational Seminar	Registration/Lodging/Transportation/Meals

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Rhodes, Steven W.

Date of Report

05/14/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Rhodes, Steven W.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Shares Ely Lilly	A	Dividend	J	T					
2. CAMPBELL CALIF UN SCH DIST Bond	A	Dividend	L	T	Buy	05/11/12	L		
3. RIO HONDO CALIF CMNTY COLLEGE DIST Bond	A	Dividend	K	T	Buy	05/22/12	K		
4. MICHIGAN ST HOSP FIN AUTH REV HOSP Bond	A	Dividend	L	T	Buy	06/22/12	L		
5. ENERGY NORTHWEST F/K/A WASHINGTON ST PUB PWR SUPPLY Bond	A	Dividend	L	T	Buy	07/05/12	L		
6. CIVIC VENTURES ALASKA Bond	A	Dividend	K	T	Buy	10/22/12	K		
7. MICHIGAN ST HOSP FIN AUTH REV MCLAREN HLTH CARE Bond	A	Dividend	K	T	Buy	10/31/12	K		
8. AMERICAN CENTURY HIGH YIELD MUNI INV Bonf Fund	A	Dividend	K	T	Buy	11/23/12	K		
9. FIDELITY MUNICIPAL INCOME Bond Fund	A	Dividend	K	T	Buy	11/21/12	K		
10. FIDELITY MUNICIPAL INCOME 2021 FUND Bond Fund	A	Dividend	K	T	Buy	11/16/12	K		
11. FIDELITY INTERMEDIATE MUNI INCOME Bond Fund	A	Dividend	K	T	Buy	11/21/12	K		
12. FIDELITY MICHIGAN MUNICIPAL INCOME Bond Fund	A	Dividend	K	T	Buy	11/13/12	K		
13. BMO INTERMEDIATE TAX FREE Bond Fund	A	Dividend	K	T	Buy	12/06/12	K		
14. T ROWE PRICE TAX FREE HIGH YIELD Bond Fund	A	Dividend	K	T	Buy	12/13/12	K		
15. IRA	B	Dividend	L	T					
16. -MORGAN STANLEY Bond					Buy	07/10/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Rhodes, Steven W.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. -GOLDMAN SACHS GROUP INC Bond					Buy	07/26/12	K		
18. -NEW JERSEY ECON DEV AU ST PENSION FDG Bond					Buy	09/17/12	K		
19.									
20.									
21.									
22.									
23.									
24.									
25.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Rhodes, Steven W.

Date of Report

05/14/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I received a cash gift from a relative in 2012. Also, [REDACTED] received an inheritance from a relative's estate in 2012.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Rhodes, Steven W.

Date of Report

05/14/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Steven W. Rhodes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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