

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Griffin, Juliet E.	2. Court or Organization Middle District of Tennessee	3. Date of Report 07/30/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge Full	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 756 U.S.Courthouse 801 Broadway Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Capital Bank (formerly GreenBank, formerly Cumberland Bank)	Mortgage on Rental Property	M
2.	MasterCard	 Credit Card	J
3.	Chase Visa	 Credit Card	J
4.	Sallie Mae tuition loan	Educational loan	J
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TD AMERITRADE MAIN ACCOUNT									
2. Ford Motor Co. 8.9%	A	Interest	J	T					
3. Georgia Pacific 7.25%	A	Interest	J	T					
4. Cash	A	Interest	J	T					
5.									
6. Salesforce.com Inc Put		None			Sold	1/19/12	J	A	
7.									
8. Pandora Media Inc.Put		None			Buy	1/11/12	J		
9. Pandora Media Inc. Put		None			Sold	02/03/12	J		
10.									
11. Carnival Corp Call		None			Buy	1/17/12	J		
12. Carnival Corp Call		None			Buy (add'l)	1/19/12	J		
13. Carnival Corp Call		None			Sold (part)	1/26/12	J		
14. Carnival Corp Call		None			Sold	02/03/12	J		
15.									
16. Yahoo! Inc Put		None			Buy	1/05/12	J		
17. Yahoo! Inc.		None			Sold	01/19/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18.									
19. SPY Put		None			Buy	02/03/12	J		
20. SPY Put		None			Sold	03/01/12	J		
21.									
22. Apple Inc Call		None			Buy	02/10/12	J		
23. Apple Inc. Call		None			Sold	02/10/12	J		
24. Apple Inc. Call		None			Buy	02/15/12	J		
25. Apple Inc. Call		None			Sold	02/15/12	J	B	
26. Apple Inc. Call		None			Buy	02/15/12	J		
27. Apple Inc. Call		None			Sold	02/21/12	J	A	
28.									
29. Sears Holding Corp Put		None			Buy	02/23/12	J		
30. Sears Holding Corp Put		None			Sold	03/01/12	J		
31.									
32. Apple Inc. Call		None			Buy	03/07/12	J		
33. Apple Inc. Call		None			Sold	03/08/12	J	A	
34. Apple Inc. Call		None			Buy	03/14/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Apple Inc. Call		None			Sold	03/15/12	J	A	
36. Apple Inc. Call		None			Buy	03/16/12	J		
37. Apple Inc. Call		None			Sold	03/19/12	J	A	
38. Apple Inc. Call		None			Buy	03/20/12	J		
39. Apple Inc. Call		None			Sold	03/20/12	J	A	
40. Apple Inc. Call		None			Buy	3/22/12	J		
41. Apple Inc. Call		None			Sold	3/27/12	J	A	
42. Apple Inc. Call		None			Buy	03/29/12	J		
43. Apple Inc. Call		None			Sold	3/29/12	J		
44. Apple Inc. Calls & Puts		None			Buy	3/30/12	J		
45. Apple Inc. Calls & Puts		None			Sold	4/26/12	J	A	
46.									
47. Priceline.com Inc. Call		None			Buy	04/30/12	J		
48. Priceline.com Inc. Call		None			Sold	4/30/12	J		
49.									
50. Apple Inc. Call		None			Buy	4/30/12	J		
51. Apple Inc. Call		None			Sold	5/04/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000 N = \$250,001 - \$500,000 P2 = \$5,000,001 - \$25,000,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000		
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☐ NONE (No reportable income, assets, or transactions.)

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52.									
53.									
54.									
55. REAL ESTATE INVESTMENTS									
56. Rental Property No. 1 Davidson County, TN	E	Rent	N	W					
57.									
58. TD AMERITRADE IRA									
59. Money Market (Note 1)	A	Interest	K	T					
60.									
61. AT&T Inc (T)	A	Int./Div.			Sold (part)	04/10/12	J	A	
62. AT&T		None			Buy (add'l)	05/21/12	J		
63. AT&T		None			Sold (part)	05/24/12	J	A	
64. AT&T		None			Sold	11/07/12	J		
65.									
66. Verizon Communications (VZ)	A	Int./Div.			Buy (add'l)	05/21/12	J		
67. Verizon Communications		None			Sold (part)	05/24/12	J	A	
68. Verizon Communications		None			Sold (part)	09/04/12	J		

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69. Verizon Communications		None			Sold	11/07/12	J	A	
70.									
71. Coca Cola Co (KO)	A	Int./Div.			Sold (part)	01/27/12	J		
72. Coca Cola		None			Sold (part)	04/10/12	J		
73. Coca Cola		None			Sold	06/01/12	J	A	
74.									
75. Johnson & Johnson Com (JNJ)	A	Int./Div.			Sold	05/16/12	J	A	
76.									
77. McDonalds Corp (MCD)	A	Int./Div.			Sold (part)	05/16/12	J		
78. McDonalds Corp		None			Sold	05/24/12	J	A	
79.									
80. Nordic Amer Tanker (NAT)	A	Int./Div.			Sold	05/16/12	J		
81.									
82. Dupont El DeMemours (DD)	A	Int./Div.							
83. Dupont		None			Sold (part)	04/10/12	J		
84. Dupont		None			Sold	05/16/12	J	A	
85.									

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86. Kinder Morgan Energy (KMP)	A	Int./Div.			Sold (part)	02/01/12	J		
87. Kinder Morgan		None			Sold	03/1/12	J		
88.									
89. Health Care Reit Inc (HCN)	A	Dividend			Sold	04/10/12	J		
90.									
91. American Water Works Co (AWK)	A	Dividend			Sold (part)	09/04/12	J		
92. American Water Works Co		None			Sold	11/07/12	J	A	
93.									
94. Southern Copper Co (SCCO)	A	Int./Div.							
95. Southern Copper Co		None			Buy (add'l)	1/06/12	J		
96. Southern Copper Co.		None			Sold (part)	04/10/12	J		
97. Southern Copper Co.		None			Sold	05/16/12	J	A	
98.									
99. Spectra Energy Partners (SEP)	A	Int./Div.			Sold (part)	04/10/12	J		
100. Spectra Energy Partners		None			Sold	05/24/12	J		
101.									
102. FedEx (FDX)	A	Int./Div.			Sold	05/16/12	J	A	

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103.									
104. Nordstrom (JWN)		None			Sold (part)	04/10/12	J		
105. Nordstrom		None			Sold	05/16/12	J		
106.									
107. General Electric (GE)	A	Int./Div.			Sold (part)	04/10/12	J		
108. General Electric		None			Sold	06/01/12	J	A	
109.									
110. Eli Lilly (LLY)	A	Int./Div.			Buy (add'l)	01/04/12	J		
111. Eli Lilly		None			Sold (part)	04/10/12	J		
112. Eli Lilly		None			Sold	06/01/12	J		
113.									
114. Intel Corp (INTC)	A	Int./Div.			Buy	01/06/12	J		
115. Intel Corp		None			Sold (part)	04/10/12	J		
116. Intel Corp		None			Buy (add'l)	04/17/12	J		
117. Intel Corp		None			Sold	05/21/12	J	A	
118.									
119. Kraft Foods Inc.(KFT)	A	Int./Div.			Buy	01/06/12	J		

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120. Kraft Foods Inc.		None			Sold (part)	04/10/12	J		
121. Kraft Foods Inc.		None			Sold	05/24/12	J	A	
122.									
123.									
124.									
125. Tractor Supply Co(TSCO)		None			Buy	01/20/12	J		
126. Tractor Supply Co					Sold (part)	04/10/12	J		
127. Tractor Supply Co					Buy (add'l)	05/24/12	J		
128. Tractor Supply Co					Sold	06/01/12	J		
129.									
130. Netflix.com((NFLX)		None			Buy	01/09/12	J		
131. Netflix.com		None			Sold		J		
132. Netflix.com		None			Buy	01/27/12	J		
133. Netflix.com		None			Sold (part)	02/13/12	J		
134. Netflix.com		None			Sold (part)	03/14/12	J		
135. Netflix.com		None			Sold (part)	03/15/12	J		
136. Netflix.com		None			Sold (part)	04/10/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Netflix.com		None			Sold	05/16/12	J		
138.									
139.									
140.									
141. APPLE Inc.(AAPL)	A	Int./Div.			Buy (add'l)	01/19/12	J		
142. APPLE		None			Buy (add'l)	02/22/12	J		
143. APPLE		None			Buy (add'l)	03/14/12	J		
144. APPLE		None			Buy (add'l)	03/16/12	J		
145. APPLE		None			Buy (add'l)	04/09/12	J		
146. Apple		None			Sold (part)	04/10/12	J		
147. Apple		None			Buy (add'l)	04/12/12	J		
148. Apple		None			Buy (add'l)	04/13/12	J		
149. Apple		None			Buy (add'l)	04/16/12	J		
150. Apple		None			Buy (add'l)	04/17/12	J		
151. Apple		None			Buy	04/19/12	J		
152. Apple		None			Sold (part)	04/24/12	J		
153. Apple		None			Buy (add'l)	04/25/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Apple		None			Buy (add'l)	04/30/12	J		
155. Apple		None			Sold	05/16/12	J		
156. Apple		None			Buy	08/08/12	J		
157. Apple		None			Sold	11/07/12	J	B	
158.									
159. Caterpillar Inc.(CAT)	A	Int./Div.			Buy	01/27/12	J		
160. Caterpillar Inc.		None			Sold (part)	3/14/12	J		
161. Caterpillar Inc.		None			Sold (part)	04/10/12	J		
162. Caterpillar Inc.		None			Sold	05/16/12	J	A	
163.									
164. SPDR put		None			Buy	02/03/12	J		
165. SPDR put		None			Sold	03/01/12	J		
166.									
167. Wyndham Worldwide (WYN)	A	Int./Div.			Buy	03/06/12	J		
168. Wyndham Worldwide		None			Sold (part)	05/21/12	J		
169. Wyndham Worldwide		None			Sold	06/01/12	J		
170.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.									
172. Priceline (PCLN)		None			Buy	03/30/12	J		
173. Priceline		None			Buy	04/10/12	J		
174. Priceline		None			Sold (part)	04/10/12	J		
175. Priceline		None			Buy (add'l)	04/17/12	J		
176. Priceline		None			Sold	05/16/12	J		
177.									
178. JP Morgan Chase (JPM)		None			Buy	04/04/12	J		
179. JP Morgan Chase (JPM)		None			Sold	04/04/12	J		
180.									
181. Google Inc. (GOOG)		None			Buy	04/13/12	J		
182. Google Inc.		None			Sold	05/16/12	J	A	
183.									
184. Qualcomm Inc. (QCOM)		None			Buy	04/16/12	J		
185. Qualcomm Inc.		None			Sold	05/16/12	J		
186.									
187. Visa Inc. (V)		None			Buy	05/24/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Visa Inc.		None			Sold	06/01/12	J		
189.									
190. Foot Locker Inc. (FL)		None			Buy	05/25/12	J		
191. Foot Locker Inc.		None			Sold	06/01/12	J		
192.									
193. Spydr Gold Trust (GLD)		None			Buy	06/01/12	J		
194. Spydr Gold Trust		None			Sold	09/04/12	J		
195.									
196. Consolidated Edison Co. (ED)	A	Int./Div.			Buy	06/20/12	J		
197. Consolidated Edison Co.		None			Sold (part)	09/04/12	J		
198. Consolidated Edison Co.		None			Sold	11/07/12	J		
199.									
200. TD AMERITRADE Roth IRA									
201. Money Market	A	Interest	J	T					
202.									
203. Southern Copper (SCCO)	A	Int./Div.			Sold	04/19/12	J		
204.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Spectra Energy Partners (SEP)	A	Int./Div.			Sold	4/19/12	J		
206.									
207. Apple Inc.	A	Int./Div.			Buy	4/19/12	J		
208. Apple		None			Sold	11/07/12	J	A	
209.									
210. TD AMERITRADE IRA Amerivest Account (See note 1)					Closed	1/1/12	J		
211.									
212. TD AMERITRADE IRA									
213. Money Market	A	Int./Div.	M	T					
214.									
215. AT&T Inc	A	Dividend			Sold (part)	04/10/12	J		
216. AT&T inc.		None			Sold	11/07/12	J	A	
217.									
218. Coca Cola (KO)	A	Dividend			Sold (part)	01/27/12	J		
219. Coca Cola		None			Sold (part)	4/10/12	J		
220. Coca Cola		None			Sold	6/01/12	J	A	
221.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Apple Computer (AAPL)	A	Int./Div.			Buy (add'l)	01/24/12	J		
223. Apple Computer		None			Buy (add'l)	01/20/12	J		
224. Apple Computer		None			Buy (add'l)	02/16/12	J		
225. Apple Computer		None			Buy (add'l)	03/14/12	J		
226. Apple Computer		None			Buy (add'l)	03/16/12	J		
227. Apple Computer		None			Sold (part)	03/19/12	J		
228. Apple Computer		None			Sold (part)	4/10/12	J		
229. Apple Computer		None			Buy (add'l)	4/12/12	J		
230. Apple Computer		None			Buy (add'l)	4/13/12	J		
231. Apple Computer		None			Buy (add'l)	4/16/12	J		
232. Apple Computer		None			Buy (add'l)	4/17/12	J		
233. Apple Computer		None			Buy (add'l)	4/19/12	J		
234. Apple Computer		None			Sold (part)	4/24/12	J		
235. Apple Computer		None			Buy (add'l)	4/25/12	J		
236. Apple Computer		None			Buy (add'l)	4/30/12	J		
237. Apple Computer		None			Sold	5/16/12	J		
238. Apple Computer		None			Buy	8/08/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Apple Computer		None			Sold	11/07/12	J	B	
240.									
241. Dupont (DD)	A	Int./Div.			Sold (part)	4/10/12	J		
242. Dupont		None			Sold (part)	5/21/12	J		
243. Dupont		None			Sold	5/24/12	J	A	
244.									
245. McDonalds (MCD)	A	Int./Div.			Sold (part)	5/16/12	J		
246. McDonalds		None			Sold	5/24/12	J		
247.									
248. Gold ETF (GLD)		None			Buy (add'l)	01/24/12	J		
249. Gold ETF		None			Sold (part)	03/14/12	J		
250. Gold ETF		None			Sold (part)	5/16/12	J		
251. Gold ETF		None			Sold (part)	6/01/12	J		
252. Gold ETF		None			Sold	11/7/12	J		
253.									
254. Caterpillar Corp (CAT)	A	Int./Div.			Buy (add'l)	01/27/12	J		
255. Caterpillar Corp		None			Sold (part)	03/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Caterpillar Corp		None			Sold (part)	4/10/12	J		
257. Caterpillar Corp		None			Sold	5/16/12	J		
258.									
259. Yum Brands Inc (YUM)	A	Int./Div.			Buy (add'l)	01/24/12	J		
260. Yum Brands Inc		None			Sold (part)	4/10/12	J		
261. Yum Brands Inc		None			Sold	5/16/12	J		
262.									
263. American Water Works (AWK)	A	Int./Div.			Sold	11/07/12	J	A	
264.									
265. Limited Brands Inc (LTD)	A	Int./Div.			Sold (part)	4/10/12	J		
266. Limited Brands Inc					Sold	5/16/12	J		
267.									
268. CSX Corp (CSX)	A	Int./Div.			Sold (part)	03/15/12	J		
269. CSX Corp		None			Sold (part)	4/10/12	J		
270. CSX Corp		None			Sold	5/16/12	J		
271.									
272. Nasdaq ETF (QQQ)	A	Int./Div.			Sold	5/24/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.									
274. Dow Industrial ETF (DIA)	A	Int./Div.			Sold	5/24/12	J		
275.									
276. S&P 500 ETF (SPY)	A	Int./Div.			Sold	5/24/12	J		
277.									
278. NetApp (NTAP)(Note 2)		None			Sold	01/27/12	J		
279.									
280. Google (GOOG)		None			Buy (add'l)	4/13/12	J		
281. Google		None			Sold	5/16/12	J	A	
282.									
283. Nordstroms (JWN)	A	Int./Div.			Sold (part)	4/10/12	J		
284. Nordstroms		None			Sold	5/16/12	J		
285.									
286. Bristol Myers Squibb (BMY)	A	Int./Div.			Sold (part)	4/10/12	J		
287. Bristol Myers Squibb		None			Sold	5/24/12	J		
288.									
289. Conoco Phillips Corp (COP)	A	Dividend			Sold	5/16/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.									
291. Spectra Energy Partners (SEP)	A	Distribution			Sold (part)	4/10/12	J		
292. Spectra Energy Partners		None			Sold (part)	5/24/12	J		
293. Spectra Energy Partners		None			Sold	6/01/12	J		
294.									
295. Southern Copper Corp (SCCO)	A	Int./Div.			Sold (part)	4/10/12	J		
296. Southern Copper Corp		None			Sold	5/16/12	J		
297.									
298. FedEx (FDX)	A	Int./Div.			Sold	5/16/12	J		
299.									
300. General Electric (GE)	A	Int./Div.			Buy (add'l)	01/06/12	J		
301. General Electric		None			Sold (part)	4/10/12	J		
302. General Electric		None			Sold (part)	5/24/12	J		
303. General Electric		None			Sold	6/01/12	J	A	
304.									
305. Eli Lilly (LLY)	A	Int./Div.			Sold (part)	4/10/12	J		
306. Eli Lilly		None			Sold (part)	5/16/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Eli Lilly		None			Sold	5/24/12	J	A	
308.									
309. Deere & Co (DE)		None			Buy	1/10/12	J		
310. Deere & Co		None			Buy (add'l)	01/24/12	J		
311. Deere & Co		None			Sold (part)	03/14/12	J		
312. Deere & Co		None			Sold (part)	4/10/12	J		
313. Deere & Co		None			Sold	5/16/12	J	A	
314.									
315. Intel Corp (INTC)	A	Int./Div.			Buy	01/06/12	J		
316. Intel Corp		None			Sold (part)	4/10/12	J		
317. Intel Corp		None			Buy (add'l)	4/17/12	J		
318. Intel Corp		None			Sold (part)	5/16/12	J		
319. Intel Corp		None			Sold	5/21/12	J		
320.									
321. Kraft Foods Inc (KFT)	A	Int./Div.			Buy	01/06/12	J		
322. Kraft Foods Inc		None			Sold (part)	4/10/12	J		
323. Kraft Foods Inc.		None			Sold	5/24/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Griffin, Juliet E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.									
325. Starbucks Corp (SBUX)	A	Int./Div.			Buy	01/19/12	J		
326. Starbucks Corp		None			Sold	5/21/12	J		
327.									
328. Merck & Co		None			Buy	02/10/12	J		
329. Merck & Co		None			Sold (part)	5/21/12	J		
330. Merck & Co		None			Sold	5/24/12	J		
331.									
332. Netflix(NFLX)		None			Buy	01/27/12	J		
333. Netflix		None			Buy (add'l)	2/08/12	J		
334. Netflix		None			Sold (part)	02/13/12	J		
335. Netflix		None			Sold (part)	03/14/12	J		
336. Netflix		None			Sold (part)	4/10/12	J		
337. Netflix		None			Sold	5/16/12	J		
338.									
339. SPDR Put		None			Buy	02/13/12	J		
340. PPDR Put		None			Sold	02/19/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.									
342. Qualcomm Inc. (QCOM)		None			Buy (add'l)	02/06/12	J		
343. Qualcomm Inc.		None			Buy (add'l)	02/22/12	J		
344. Qualcomm Inc.		None			Buy (add'l)	4/13/12	J		
345. Qualcomm Inc. (QCOM)		None			Buy (add'l)	02/06/12	J		
346. Qualcomm Inc.		None			Buy (add'l)	02/22/12	J		
347. Qualcomm Inc.		None			Buy (add'l)	4/13/12	J		
348. Qualcomm Inc.		None			Sold (part)	5/16/12	J		
349. Qualcomm Inc.		None			Sold (part)	5/21/12	J		
350. Qualcomm Inc.		None			Sold	5/24/12	J		
351.									
352. Wyndham Worldwide Corp (WYN)	A	Int./Div.			Buy	03/06/12	J		
353. Wyndham Worldwide Corp		None			Sold (part)	4/10/12	J		
354. Wyndham Worldwide Corp		None			Buy (add'l)	5/24/12	J		
355. Wyndham Worldwide Corp		None			Sold	6/01/12	J		
356.									
357. Priceline.com (PCLN)		None			Buy	03/30/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Priceline.com		None			Buy (add'l)	4/10/12	J		
359. Priceline.com		None			Buy (add'l)	4/17/12	J		
360. Priceline.com		None			Buy (add'l)	4/19/12	J		
361. Priceline.com		None			Sold	5/16/12	J		
362.									
363. Apple Inc. Put		None			Buy	4/05/12	J		
364. Apple Inc. Put		None			Sold	4/09/12	J		
365.									
366. J P Morgan Chase (JPM)		None			Buy	4/04/12	J		
367. J P Morgan Chase (JPM)		None			Sold	4/04/12	J		
368.									
369. Apple Call		None			Buy	4/16/12	J		
370. Apple Call		None			Buy	4/20/12	J		
371. Apple Call		None			Sold	4/24/12	J		
372.									
373. Starbucks Corp(SBUX)		None			Buy	4/16/12	J		
374. Starbucks Corp		None			Sold (part)	5/16/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. Starbucks Corp		None			Sold	5/01/12	J		
376.									
377. Visa Inc. (V)		None			Buy	5/24/12	J		
378. Visa Inc.		None			Sold	6/01/12	J		
379.									
380. Footlocker Inc. (FL)		None			Buy	5/25/12	J		
381. Footlocker Inc.		None			Sold	6/01/12	J		
382.									
383. Consolidated Edison Co (ED)		None			Buy	6/01/12	J		
384. Consolidated Edison Co		None			Sold	11/07/12	J		
385.									
386. TD AMERITRADE Roth IRA		None							
387. Money Market	A	Interest	J	T					
388.									
389. American Water Company (AWK)	A	Dividend			Sold	11/07/12	J	A	
390.									
391. North American Tanker (NAT)	A	Dividend			Sold	11/07/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.									
393. Apple Computer Co (AAPL)	A	Int./Div.			Sold	11/07/12	J	A	
394.									
395. Southern Copper Company (SCCO)	A	Int./Div.			Sold	11/07/12	J		
396.									
397. Spectra Energy Partners (SEP)	A	Int./Div.			Sold	11/07/12	J		
398.									
399. IRA Innovation (IRA Administrator)		None							
400. Money Market		None	L	T	Open	9/11/12	L		
401.									
402. IRA Innovations (IRA Administrator)		None							
403. Money Market		None	L	T	Open	9/11/12	L		
404.									
405.									
406.									
407.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1: Amerivest Accounts at TD Ameritrade were closed out in 2011, but a very small amount of cash was left in these accounts. These amounts were moved back to the regular IRA accounts.

Note 2: NTAP was shown as sold in 2011. However, it appears it was not completely liquidated and a small amount should have been indicated as still being held.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Juliet E. Griffin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544