

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Haight, Jr., Charles S.	2. Court or Organization District Court, SDNY	3. Date of Report 07/25/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. District Court 141 Church Street New Haven, CT 06510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisory Trustee	American Scandinavian Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Money Market	A	Dividend	K	T					
2. Walt Disney Co.	A	Dividend	K	T					
3. Home Depot Inc.	A	Dividend	K	T					
4. Diageo Plc		None			Sold	01/14/13	K	D	
5. Exxon Mobil Corp.	A	Dividend	K	T					
6. Berkshire Hathaway		None	L	T					
7. Quest Diagnostics	A	Dividend	J	T					
8. Conn St Gen Rev Bonds	C	Interest	M	T					
9. Conn State Spc Tax Oblig Rev Bonds	D	Interest	M	T					
10. Capital One Savings Bank (acquired ING Direct Savings Bank)	A	Interest	M	T					
11. US Trust Co of NY Bank of America Checking Account		None	K	T					
12. Guilford Savings Bank		None	J	T					
13. Columbia Select Large Cap. Fund		None	L	T					
14. Accenture Ltd.	A	Dividend	K	T	Sold (part)	01/30/13	J	B	
15. MasterCard Inc.	A	Dividend	K	T	Buy (add'l)	01/30/13	K		
16. Oracle Corp.		None			Sold	01/30/13	K	B	
17. Pimco Total Return Fund		None			Sold	11/19/13	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Discovery Communications, Inc.		None	J	T					
19. Litchfield, CT GO Bonds	C	Interest	M	T					
20. Cheshire, CT GO Bonds	C	Interest	M	T					
21. Manchester, CT GO Bonds	C	Interest	M	T					
22. Southington, CT GO Bonds (Non-Callable - due 9/1/17 & 8/1/18)	C	Interest	M	T					
23. Easton, CT GO Bonds	C	Interest	M	T					
24. West Hartford, CT GO Bonds	C	Interest	M	T					
25. Regional School District 18 CT Bonds	D	Interest	M	T					
26. Wethersfield, CT GO Bonds	D	Interest	M	T					
27. TJX Cos., Inc.	A	Dividend	K	T	Sold (part)	01/30/13	J		
28. Church & Dwight, Inc.	A	Dividend	K	T					
29. Hershey Co.	A	Dividend	K	T	Buy (add'l)	01/14/13	J		
30. Conoco Phillips	A	Dividend	J	T	Sold (part)	01/30/13	J		
31. JP Morgan Alerian MLP Index ETN	B	Dividend	K	T					
32. Cerner Corp.		None	K	T					
33. Pfizer, Inc.		None			Sold (part)	01/30/13	J		
34.					Sold (part)	06/04/13	J		

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35.					Sold	07/13/13	J	B	
36. Valeant Pharmaceuticals Intl., Inc.	A	Dividend	K	T	Buy (add'l)	01/30/13	J		
37. Hunt JB Trans Svcs. Inc.	A	Dividend	K	T					
38. Apple, Inc.	A	Dividend	K	T	Buy (add'l)	05/13/13	J		
39. Google, Inc.		None	L	T					
40. Monsanto		None			Sold	01/30/13	J	C	
41. American Tower Corp.		None			Sold	01/30/13	J	B	
42. Southington, CT GO Bonds (Non-Callable - due 8/1/18)	C	Interest	M	T					
43. Pimco High Yield Fund	D	Dividend	M	T					
44. Norvo-Nordisk A/S		None			Sold	01/30/13	J	B	
45. Autozone, Inc.		None			Sold	01/14/13	J		
46. Family Dollar Stores		None			Sold	01/04/13	J		
47. Petsmart, Inc.		None			Sold	01/30/13	J		
48. Sherwin Williams Co.	A	Dividend	J	T	Buy (add'l)	01/14/13	J		
49. Philip Morris Inc.		None			Sold	06/07/13	J	A	
50. ACE Ltd.	A	Dividend	J	T					
51. Select Sector SPDR		None			Sold	08/05/13	J	C	

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52. US Bancorp.		None			Sold (part)	01/30/13	J		
53.					Sold	04/23/13	J	A	
54. Alexion Pharmaceuticals		None			Buy (add'l)	01/04/13	J		
55.					Sold (part)	03/14/13	J		
56.					Sold	04/15/13	J	A	
57. United Health Group		None			Sold	01/04/13	J		
58. Stericycle Inc.		None	K	T	Buy (add'l)	01/14/13	J		
59. eBay, Inc.		None			Buy (add'l)	01/14/13	J		
60.					Sold (part)	01/30/13	J	A	
61.					Sold (part)	07/10/13	J	A	
62.					Sold	11/19/13	K	A	
63. Johnson & Johnson	A	Dividend	K	T	Buy	01/30/13	J		
64.					Buy (add'l)	07/22/13	J		
65. Flowserve Corp.	A	Dividend	K	T	Buy	03/01/13	J		
66.					Buy (add'l)	11/21/13	J		
67.					Buy (add'l)	11/22/13	J		
68.					Buy (add'l)	11/22/13	J		

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69.					Buy (add'l)	11/25/13	J		
70.					Buy (add'l)	11/26/13	J		
71.					Buy (add'l)	11/27/13	J		
72. Polaris	A	Dividend	K	T	Buy	01/30/13	J		
73.					Buy (add'l)	09/22/13	J		
74. LyondellBasell Industries	A	Dividend	K	T	Buy	03/20/13	J		
75.					Buy (add'l)	07/10/13	J		
76.					Buy (add'l)	07/11/13	J		
77. Cosco Wholesale Corp.	A	Dividend	K	T	Buy	03/20/13	J		
78.					Buy (add'l)	06/24/13	J		
79. Gilead Sciences, Inc.		None	K	T	Buy	04/11/13	J		
80.					Buy (add'l)	04/24/13	J		
81.					Buy (add'l)	05/14/13	J		
82. Priceline Group		None	K	T	Buy	04/16/13	J		
83. EMC News Corp.	A	Dividend	J	T	Buy	05/14/13	J		
84. W.W. Grainger, Inc.	A	Dividend	K	T	Buy	04/23/13	J		
85. Amphenol Corp.	A	Dividend	K	T	Buy	05/14/13	J		

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86.					Buy (add'l)	05/15/13	J		
87.					Buy (add'l)	07/10/13	J		
88.					Buy (add'l)	07/11/13	J		
89.					Buy (add'l)	07/12/13	J		
90. American Express Co.	A	Dividend	J	T	Buy	06/05/13	J		
91.					Buy (add'l)	06/06/13	J		
92. Tractor Supply Co.	A	Dividend	K	T	Buy	06/20/13	J		
93.					Buy (add'l)	07/10/13	J		
94.					Buy (add'l)	07/11/13	J		
95.					Buy (add'l)	07/11/13	J		
96.					Buy (add'l)	07/12/13	J		
97. Regeneron Pharmaceuticals, Inc.		None	K	T	Buy	06/24/13	J		
98.					Buy (add'l)	07/22/13	J		
99.					Buy (add'l)	08/06/13	J		
100. Twenty-First Century Fox	A	Dividend	K	T	Buy	07/10/13	J		
101.					Buy (add'l)	07/22/13	J		
102. Morgan Stanley	A	Dividend	J	T	Buy	08/05/13	J		

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103.					Buy (add'l)	08/06/13	J		
104. Ocwen Financial Corp.		None	K	T	Buy	10/10/13	J		
105.					Buy (add'l)	10/17/13	J		
106.					Buy (add'l)	10/18/13	J		
107.					Buy (add'l)	10/18/13	J		
108.					Buy (add'l)	10/21/13	J		
109.					Buy (add'l)	11/08/13	J		
110.					Buy (add'l)	11/08/13	J		
111. Whole Foods Market, Inc.	A	Dividend	K	T	Buy	11/08/13	J		
112.					Buy (add'l)	11/08/13	J		
113.					Buy (add'l)	11/08/13	J		
114.					Buy (add'l)	11/08/13	J		
115.					Buy (add'l)	11/11/13	J		
116. Salesforce.com		None	J	T	Buy	11/21/13	J		
117. Alliance Data Systems		None	K	T	Buy	12/11/13	J		
118. Allergan, Inc.		None			Buy	01/04/13	J		
119.					Sold	06/24/13	J		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Deere & Co.		None			Buy	01/14/13	K		
121.					Sold	01/30/13	J	A	
122. Metro-West T/R BD	D	Interest	N	T	Buy	11/20/13	N		
123.					Buy (add'l)	12/17/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Haight, Jr., Charles S.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Haight, Jr., Charles S.

Date of Report

07/25/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Charles S. Haight, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544