

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PRATT, ROBERT W.	2. Court or Organization US DISTRICT COURT - SO IOWA	3. Date of Report 05/08/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT COURT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address US COURTHOUSE 123 E. WALNUT STREET DES MOINES, IA 50309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. TOURO LAW

4/4/11 - 4/10/11

LONG ISLAND, NY

SPEAKING
ENGAGEMENTTRANSPORTATION, LODGING AND
MEALS2. THE NATIONAL
ORGANIZATION
OF SOCIAL
SECURITY CLAIMS
REPRESENTATIVES

5/6/11 - 5/9/11

PEARL RIVER, NEW
JERSEYSPEAKING
ENGAGEMENTTRANSPORTATION, LODGING AND
MEALS

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANKERS TRUST COMPANY	A	Interest	K	T					
2. MORGAN STANLEY DEAN WITTER MARKETABLE SECURITIES:									
3. MORGAN STANLEY BANK	A	Interest	N	T					
4. MS EMERGING MKTS DOMESTIC DEBT	C	Dividend	L	T	Buy (add'l)	1/7/11	J		
5. MS EMERGING MKTS DOMESTIC DEBTDEBT	A	Dividend			Buy (add'l)	04/15/11	J		
6. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend			Buy (add'l)	07/15/11	J		
7. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend			Buy (add'l)	10/15/11	J		
8. UNIV CONN REV SER A ZERO	A	Interest			Redeemed	2/1/11	J		
9. WEST CHESTER PA AREA SCH DIST 4.000%	A	Interest			Redeemed	4/15/11	K		
10. ALTOON IA GO	A	Interest			Redeemed	6/1/11	K		
11. PR HWYS TRANS	A	Interest			Redeemed	7/1/11	K		
12. ARIZONA ST TRANSN BRD HWY REV REF SER-A 4.000%	A	Interest			Redeemed	7/1/11	K		
13. PALM BEACH CNTY FL SCH BRD CTFS PARTN REV SER-A 4.000%	A	Interest			Redeemed	8/1/11	K		
14. OREGON ST DEPT ADMIN SVCS SALEM OREGON REF-COPS-SER C	A	Interest			Redeemed	11/1/11	K		
15. PUERTO RICO SALES -A BE	A	Interest			Buy (add'l)	2/08/11	K		
16. PUERTO RICO SALES -A BE	A	Interest			Sold (part)	11/4/11	K		
17. PUERTO RICO SALES -A BE	A	Interest			Sold	11/4/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. AUSTIN TX ELEC UTIL SYS REV 4.000%	A	Interest			Redeemed	11/15/11	K		
19. SCHILLER PARK ILL GO-C BE 4.000%	A	Interest			Redeemed	12/1/11	J		
20. FULTON PEORIA & KNOX COS ILL SCH DIST ZERO CPN	A	Interest			Redeemed	12/1/11	L		
21. MADISON WISC SWR-A	B	Interest			Redeemed	12/1/11	K		
22. TACOMA PUB UTIL LIGHT & PWR	A	Interest	J	T					
23. INKSTER MI GEN OBLIG	C	Interest	M	T					
24. PASCO WA GEN OBLIG	A	Interest	J	T					
25. W.DES MOINES GO-C BE 4.000%	A	Interest			Redeemed	12/1/11	J		
26. ODESSA TX HSG FIN CORP ZERO COUPON	A	Interest	J	T					
27. IA ST UNIV SCI & TECH	C	Interest	L	T					
28. MINN HIGHER EDUC FACS AUTH	A	Interest	J	T					
29. AURORA COLO SINGLE FAM MTG REV SER A ZERO	A	Interest	J	T					
30. SCHILLER PARK ILL GO-C BE 4.000%	B	Interest	L	T					
31. DOUGLAS CO COLO SCH DIST 1 ZERA	A	Interest	K	T					
32. WASH CTY UTAH SCH DIST	A	Interest	J	T					
33. WRIGHT ST UNIV	A	Interest	J	T					
34. WASH ST GEN OBLIG MOTOR VEH FUEL TAX ZERO	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. IOWA CIT IOWA SWR REV REF CAP LN NTS-C 3250	A	Interest	L	T	Buy	03/17/11	L		
36. LOUISIANA ST GO GULF OPP	A	Interest	J	T					
37. E-470 PUB HWY AUTH COLO ZERO	A	Interest	L	T					
38. ALASKA ST INTL AIRPORTS	B	Interest	K	T					
39. METRO WASH DC AIRPORT AUTH	A	Interest	J	T					
40. MODESTO CA IRR DIST	A	Interest	K	T					
41. WILL CNTY ILL SCH DIST NO 122 ZERO	A	Interest	J	T					
42. FAYETTE CNTY KY SCH DIST	A	Interest	J	T					
43. LAKE CNTY ILL CMNTY SCH DIST NO 050 ZERO	A	Interest	L	T					
44. BENSON ARIZ EXCISE TAX & ST SHARED REV OBLIGS	A	Interest	K	T					
45. DENVER CO CONV CTR HOTEL	A	Interest	K	T					
46. WA STATE CERTIFICATES OF PARTICIPATION SER-B	A	Interest	K	T					
47. FLORIDA ST BRD ED FL INTL UNIV 3750	A	Interest	J	T					
48. WALLA WALLA WASH WATER & WASTE	C	Interest	M	T					
49. VIRGINIA HSG DEV COMWLTH SER A	B	Interest	K	T					
50. APOPKA FLA UTIL SYS REF IMPT	A	Interest	J	T					
51. POMWAY CALIF UNI SCH DIST SPL TAX	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. SIOUX CITY IOWA CMNTY SCH DIST SCH INFRASTRUCTURE LOC OPT-B	A	Interest	J	T	Buy	3/24/11	J		
53. OGLE & WINNEBAGO CNTYS SCH DIST ZERO	A	Interest	J	T					
54. RIVERSIDE CNTY PALM DESERT CA FIN AUTH	A	Interest	J	T					
55. INDIANAPOLIS GAS UTIL DIST SYS	B	Interest	K	T					
56. DES MOINES STORM WATER	B	Interest			Redeemed	6/1/11	K		
57. PUERTO RICO PUB IMPR ZERO	A	Interest	K	T					
58. STATE UNIV IOWA REC FACS	A	Interest	K	T					
59. ALASKA ST HSG FIN CORP	B	Interest	L	T	Sold (part)	02/1/11	J		
60. ALASKA ST HSG FIN CORP	A	Interest			Sold (part)	4/28/11	J		
61. WINNEBAGO BOONE ETC CNTYS ILL ZERO	A	Interest	J	T					
62. OSCEOLA CNTY FL SCH BOARD	A	Interest	J	T					
63. WAUKEE IOWA CMNTY SCH DIST 4000	A	Interest	J	T					
64. BENSON ARIZ EXCISE TAX & ST SHARED REV OBLIGS	A	Interest	K	T					
65. MIAMI DADE CNTY FL EXPWY AUTH TOLL SYS	A	Interest	J	T					
66. UNIV NORTHN IA STUDENT UNION SER UNI 4.800%	B	Interest	K	T					
67. STATE UNIV IOWA UTIL SYS	A	Interest	K	T					
68. CLARK CNTY NEV GEN OBLIG	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. WASHINGTON ST GENL OBLIG MTR VEHICLE FUEL TAX	A	Interest	J	T	Buy	2/22/11	J		
70. OHIO ST HOSP CLEVE CLINIC	B	Interest	K	T					
71. WYLIE CITY TEX COMB TAX REV	A	Interest	K	T					
72. ANKENY IA COMM SCH DIST	B	Interest	L	T					
73. BETTENDORF IOWA GNEL OBLIG SER-A	A	Interest	K	T	Buy	2/25/11	K		
74. UNIVERSITY N C CHAPEL HILL REV REF STUDENT FEE UTILS OY 5.45	A	Interest	K	T	Buy	2/23/11	K		
75. ST CLAIR CNTY ILL GEN OBLIG ZERO	A	Interest	K	T					
76. DELTONA FLA TRANS CAP IMP	A	Interest	J	T					
77. TAMPA FLA UTIL TAX OY 6.15	A	Interest	J	T	Buy	2/22/11	J		
78. COVINA CALIF PUB FIN WASTEWATER	A	Interest	J	T					
79. CLARK CNTY NEV GEN OBLIG	A	Interest	K	T					
80. INDIANAPOLIS IN WATER WORKS	B	Interest	K	T					
81. WYLIE CITY TEX COMB TAX REV	A	Interest	K	T					
82. STERLING HEIGHTS MICH TRANS FD	A	Interest	K	T					
83. IOWA ST PRISON INFRASTRUCTURE FUND OBLIG	C	Interest	M	T					
84. NEVADA STATE HIGHER EDUC	B	Interest	K	T					
85. IOWA STUDENT LOAN LIQUIDITY CORP STUDENT LOAN REV-I	A	Interest	J	T	Buy	8/17/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. LONG ISLAND PWR AUTH N Y ELEC SYS REV SER A OY 5.83	A	Interest	K	T	Buy	2/11/11	K		
87. RENO CITY NEVADA GEN OBLIG	B	Interest	L	T					
88. IOWA FIN AUTH SINGLE FAMILY MTG REV SER-I	A	Interest	L	T	Buy	7/28/11	L		
89. HOUSTON TEX WTR & SWR SYS REV SER A	A	Interest	K	T	Buy	2/11/11	K		
90. KANE COOK & DUPAGE CNTYS ILL	A	Interest	K	T					
91. IOWA ST UNIV SCIENCE & TECH	A	Interest	J	T					
92. FLORIDA ST DEPT ENVIRONMENTAL PROTN PRES	B	Interest	L	T					
93. WATERLOO IOWA COMNTY SCH DIST SCH INFRAS SALES & SVCS REV	A	Interest	K	T	Buy	4/26/11	K		
94. CLARK CNTY NEV BOND BK	B	Interest	L	T					
95. POWSHIEK IOWA WTR ASSN WTR REV	A	Interest	K	T	Buy	5/3/11	K		
96. CLARK CO NV VEGAS ARPT FAC	A	Interest	J	T					
97. ALASKA ST INTL ARPTS SYS	A	Interest	J	T					
98. IOWA STUDENT LOAN LIQUIDITY CO RP STUDENT LOAN REV SENIOR A1	A	Interest	L	T	Buy	11/22/11	L		
99. IOWA STUDENT LOAN LIQUIDITY CORP STUDENT LOAN REV-I	A	Interest	L	T	Buy	3/10/11	L		
100. ALASKA MUN BND BK SER-	B	Interest	K	T					
101. STATE UNIV IOWA ATHLETIC FAC REV	B	Interest	L	T					
102. STATE UNIV IOWA UNIV REV DORM REV SER S.U.I.	A	Interest	J	T	Buy	2/22/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. TENNESSEE ENERGY ACQUISITION CORP GAS REV 5000	B	Interest	K	T					
104. UNIVERSITY WASH UNIV REV [REDACTED]	B	Interest	K	T					
105. SOUTHEAST POLK IOWA CMNTY SCH DIST REV	A	Interest	J	T	Buy	2/18/11	J		
106. COLUMBUS OHIO SWR REV [REDACTED]	B	Interest	K	T					
107. IOWA ST SPL OBLIG	A	Interest	K	T	Buy	2/22/11	K		
108. IOWA ST UNIV ACADEMIC BLDG [REDACTED]	C	Interest	M	T					
109. DOW CHEMICAL COMPANY	A	Interest	J	T	Buy	12/30/11	J		
110. MORGAN STANLEY DEAN WITTER IRA #1;									
111. MORGAN STANLEY BANK	A	Interest	M	T					
112. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend	J	T					
113. CREDIT SUISSE GU [REDACTED]	A	Dividend	J	T					
114. DB CAPITAL CUNFING X [REDACTED]	A	Dividend	J	T					
115. NATIONAL RURAL UTILITIES [REDACTED]	A	Interest			Sold	1/18/11	J		
116. STEELCASE INC [REDACTED]	A	Interest			Sold	8/15/11	J		
117. FORD MOTOR CREDIT [REDACTED]	A	Interest			Sold	1/20/11	K		
118. NATIONAL RURAL UTILITIES COOP [REDACTED]	B	Interest	K	T					
119. CAP PRTECT NOTE ON FX BASKET ZERO	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. DOW CHEMICAL CORP [REDACTED]	B	Interest			Sold	5/16/11	K		
121. MS HYBRID PARTICIPATION COMMODITY COUPON	A	Interest	J	T					
122. SOUTHWEST AIRLINES CO [REDACTED]	B	Interest	K	T					
123. INTL PAPER CO [REDACTED]	A	Interest	K	T					
124. PROLOGIS TRUST [REDACTED]	A	Interest	J	T					
125. SOUTHWEST AIRLINES CO [REDACTED]	A	Interest	J	T					
126. MOSAIC CO REG S [REDACTED]	B	Interest			Sold	12/1/11	K		
127. MORGAN STANLEY [REDACTED]	A	Interest			Sold	7/14/11	J		
128. GMAC [REDACTED]	B	Interest	K	T					
129. AMERICAN INTL GROUP [REDACTED]	A	Interest	J	T					
130. CITIGROUP CAP XIX [REDACTED]	A	Interest	J	T					
131. WACHOVIA CAPITAL TRUST X [REDACTED]	A	Interest			Sold	10/3/11	L		
132. WELLS FARGO CAPITAL XII [REDACTED]	A	Interest	J	T					
133. MORGAN STANLEY BANK S&P 500 CD ZERO COUPON	A	Interest			Sold	11/30/11	L		
134. JP MORGAGN S&P 500 EQUITY CD ZERO COUPON	A	Interest			Sold	12/28/11	K		
135. WELLS FARGO BK ZERO CPN	A	Interest	J	T					
136. 10NC3Y MS STEP UP [REDACTED]	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. NASDAQ OMX GROUP	B	Interest	L	T					
138. BP CAPITAL MARKETS PLC	A	Interest	K	T					
139. 15NC1 MS STEP UP	A	Interest	J	T					
140. WESTERN ASSET HIGH YIELD (HYI)	B	Dividend	K	T					
141. TRANS-CANADA PIPELINES 6.350% FIXED	A	Interest	K	T					
142. MERRILL LYNCH & CO	A	Interest	K	T	Buy	11/23/11	K		
143. FORD MOTOR CREDIT CO	A	Interest	K	T	Buy	6/23/11	K		
144. HCP INC	A	Interest	K	T	Buy	02/08/11	K		
145. DOW CHEMICAL CO/THE	A	Interest	L	T	Buy	2/9/11	K		
146. ARCELORMITTAL	A	Interest	J	T	Buy	12/22/11	J		
147. HEWLETT PACKARD CO	A	Interest	K	T	Buy	12/28/11	K		
148. CENTURYLINK INC	A	Interest	K	T	Buy	12/19/11	K		
149. PITNEY BOWES INC	A	Interest	J	T	Buy	2/14/11	K		
150. TRANSOCEAN INC SER-C	A	Int./Div.	J	T	Buy	11/29/11	J		
151. MORGAN STANLEY DEAN WITTER IRA #2:									
152. MORGAN STANLEY BANK	A	Interest	J	T					
153. ABBOTT LABORATORIES	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. ALTRIA GROUP INC	A	Dividend	J	T					
155. AT&T INC	B	Dividend	K	T					
156. BOEING CO	A	Dividend	K	T					
157. COCA COLA CO	A	Dividend	J	T					
158. DWS DREMAN VALUE INC EDGE FUND	A	Dividend	J	T					
159. GENERAL ELECTRIC CO	A	Dividend	J	T					
160. ISHARES S&P 100 INDEX FD	A	Dividend	J	T					
161. ISHARES FTSE/CHINA 25 INDEX FD (FXI)	A	Dividend	J	T					
162. JOHNSON & JOHNSON	A	Dividend	K	T					
163. PHARMACEUTICAL HOLDERS TR	A	Dividend	K	T	Sold (part)	3/10/11	J		
164. PROCTOR & GAMBLE	A	Dividend	J	T					
165. SPDR S&P MIDCAP 400 ETF TRUST	A	Dividend	L	T					
166. AEGON NV	A	Dividend	J	T					
167. PUBLIC STORAGE	B	Dividend	K	T					
168. ROYAL BK SCOTLAND GP	A	Dividend	J	T					
169. ML PFD CAPITAL TRUST II	A	Interest	J	T					
170. INTEL CORP	A	Dividend	K	T	Buy (add'l)	2/28/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. INTEL CORP	A	Dividend			Buy (add'l)	3/10/11	J		
172. PEPSICO INC NC (PEP)	A	Dividend	K	T	Buy	2/25/11	K		
173. PEPSICO INC NC (PEP)	A	Dividend			Buy (add'l)	3/18/11	K		
174. MORGAN STANLEY DEAN WITTER IRA #3:									
175. ALLIANCE BERNSTEIN SMALL CAP GROWTH		None	J	T	Buy (add'l)	12/19/11	J		
176. WALGREEN CO	A	Dividend	J	T					
177. MORGAN STANLEY BANK	A	Interest	J	T					
178. PITNEY BOWES INC [REDACTED]	A	Interest	J	T	Buy	2/18/11	J		
179. GOLDMAN SACHS GRO [REDACTED]	A	Interest	J	T	Buy	12/19/11	J		
180. MORGAN STANLEY DEAN WITTER IRA #4:									
181. MORGAN STANLEY BANK	A	Interest	J	T					
182. ISHARES BARCLAYS SHORT TREAS	A	Dividend	J	T	Buy (add'l)	01/20/11	J		
183. ISHARES BARCLAYS SHORT TREAS					Buy (add'l)	2/11/11	J		
184. ISHARES BARCLAYS SHORT TREAS					Buy (add'l)	07/21/11	J		
185. ISHARES BARCLAYS SHORT TREAS					Buy (add'l)	10/13/11	J		
186. ISHARES RUSSELL 1000 GR INDEX	A	Dividend	J	T	Buy (add'l)	1/20/11	J		
187. ISHARES RUSSELL 1000 GR INDEX					Buy (add'l)	2/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. ISHARES RUSSELL 1000 GR INDEX					Buy (add'l)	04/20/11	J		
189. ISHARES RUSSELL 1000 GR INDEX					Buy (add'l)	7/15/11	J		
190. ISHARES RUSSELL 1000 GR INDEX					Sold (part)	10/13/11	J		
191. ISHARES RUSSELL 1000 VALUE IDX	A	Dividend	J	T	Buy (add'l)	01/20/11	J		
192. ISHARES RUSSELL 1000 VALUE IDX					Buy (add'l)	2/11/11	J		
193. ISHARES RUSSELL 1000 VALUE IDX					Buy (add'l)	7/15/11	J		
194. ISHARES RUSSELL 1000 VALUE IDX					Buy (add'l)	07/21/11	J		
195. ISHARES RUSSELL 1000 VALUE IDX					Sold (part)	10/13/11	J		
196. SPDR BARCLAYS CAPITAL HIGH YIE	A	Dividend	J	T	Buy (add'l)	01/20/11	J		
197. SPDR BARCLAYS CAPITAL HIGH YIE					Buy (add'l)	2/11/11	J		
198. SPDR BARCLAYS CAPITAL HIGH YIE					Buy (add'l)	03/15/11	J		
199. SPDR BARCLAYS CAPITAL HIGH YIE					Buy (add'l)	07/22/11	J		
200. SPDR BARCLAYS CAPITAL HIGH YIE					Sold (part)	10/13/11	J		
201. SPDR BARCLAYS CAPITAL INERNAT	A	Dividend	J	T	Buy (add'l)	01/20/11	J		
202. SPDR BARCLAYS CAPITAL INERNAT					Buy (add'l)	02/11/11	J		
203. SPDR BARCLAYS CAPITAL INERNAT					Buy (add'l)	10/13/11	J		
204. VANGUARD MSCI EAFE ETF (VEA)	A	Dividend	J	T	Sold (part)	1/20/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. VANGUARD MSCI EAFE ETF (VEA)					Buy (add'l)	02/11/11	J		
206. VANGUARD MSCI EAFE ETF (VEA)					Sold (part)	04/20/11	J		
207. VANGUARD MSCI EAFE ETF (VEA)					Buy (add'l)	07/20/11	J		
208. VANGUARD MSCI EAFE ETF (VEA)					Sold (part)	10/13/11	J		
209. VANGUARD TOTAL BOND MARKET	A	Dividend	K	T	Buy (add'l)	01/06/11	J		
210. VANGUARD TOTAL BOND MARKET					Buy (add'l)	01/20/11	J		
211. VANGUARD TOTAL BOND MARKET					Buy (add'l)	02/11/11	J		
212. VANGUARD TOTAL BOND MARKET					Buy (add'l)	10/13/11	J		
213.									
214.									
215.									
216.									
217.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/08/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ROBERT W. PRATT**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544