

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'CONNOR, SANDRA D.	2. Court or Organization SUPREME COURT OF UNITED STATES	3. Date of Report 05/03/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice (Ret.)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 1 FIRST STREET, N.E. WASHINGTON, D.C. 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	The National Constitution Center
2. Member	The Rockefeller Foundation, Board of Trustees
3. Member, Advisory Board	Smithsonian National Museum of Natural History
4. Board Member	ABA Central European and Eurasian Law Initiative Council
5. Board Member	ABA Museum of Law
6. Co-Chair, National Advisory Council	Campaign for the Civic Mission of Schools
7. Board Member	Randall Lineback Breed Association
8. Board Member	William H. Rehnquist Center
9. Advisor	Aspen Institute's Justice and Society Program
10. Advisory Board Member	Bill Lane Center for the Study of the North American West at Stanford
11. Chair of the Board of Directors	iCivics.org
12. Chair of the Advisor Committee	O'Connor Judicial Selection Initiative
13. Advisory Board Member	Lloyd N. Cutler Center for the Rule of Law at the Salzburg Global Seminar
14. Special Advisor	American Bar Association Commission on Civic Education in the Nation's Schools
15. Advisory Board Member	Alzheimer's Drug Discovery Foundation
16. Task Force Member	Michigan Judicial Selection Task Force

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17. Honorary Board Member International Network to Promote the Rule of Law (INPROL)

18. Co-Chair National Advisory Council - Heard Museum

19. Board Member National Institute for Civil Discourse - University of Arizona

20. Special Advisor O'Connor House Project

21. Advisory Board Member American Bar Association - International Criminal Court Project

22. Advisory Board Member Brookings Advisory Committee

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.

2.

3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 01/25/12	Random House - royalty	\$789.28
2. 07/16/12	Random House - royalty	\$787.74
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Annenberg Foundation	January 29-31	Rancho Mirage, CA	Participate in discussions and programs on civic education	Transportation, lodging and meals
2. Middle Tennessee State University	February 8	Murfreesboro, TN	Attend reception and gave lecture with Q&A on civic education	Transportation, lodging and meals
3. Southwestern University	February 23-24	Los Angeles, CA	Participate in Panel discussion on women at the Supreme Court; remarks at dinner	Transportation, lodging and meals
4. TED Conference	February 26-28	Long Beach, CA	Participate in various conferences and discussions	Conference admission

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5.	Rockefeller Foundation, Jack Anderson, 92nd Street Y	March 14-15	New Yor, NY	Attend board meetings; remarks at lecture	Transportation, lodging and meals
6.	Pat Broe/The Broe Group	March 18-19	Denver, CO	O'Connor Judicial Selection Advisory Committee meetings	Transportation
7.	Elon University School of Law	April 13-14	Greensboro, NC	Remarks at Elon University School of Law	Transportation, lodging and meals
8.	Fordam University	April 17	New York City, NY	Remarks at Fordham University - Robert L Levine Distinguished Lecture Series	Transportation, lodging and meals
9.	Oklahoma Bar Association	April 23-24	Oklahoma City, OK	Remarks to the OK Bar Assoc., middle and high schools students, and SupCt Justices	Transportation and meals
10.	Baylor Univeristy School of Education and Law School	April 29 - May 1	Waco and Dallas, Texas	Remarks to Baylor Law School, public discussiosn with Dean Starr	Transportation, lodging and meals
11.	Boys and Girls Club	May 2	San Diego, CA	Remarks to the general session and lunch with officers and staff	Transportation, lodging and meals
12.	California Academy of Appellate Lawyers	May 4	Carmel, CA	Remarks/panel sessions with members and judicial guests	Transportation, lodging and meals
13.	Kentucky Bar Association	June 6	Louisville, KY	Remarks to the convention	Transportation, lodging and meals
14.	Rockefeller Foundation	June 20-21	New York City, NY	Attend board meetings	Transportation, lodging and meals
15.	Education Commission of States	July 10-11	Atlanta, GA	Participate in program, interviews and discussions	Transportation, lodging and meals
16.	National Association of Counties	July 15	Pittsburgh, PA	Remarks to the members of the association	Transportation, lodging and meals
17.	Alaska Bar Association	September 4-7	Anchorage, AK	Remarks to judiciary, students, teachers, public and media at the Sup. Ct. and Assoc.	Transportation, lodging and meals
18.	Society of Former Special Agents of the Federal Bureau of Investigation	September 13	San Diego, CA	Remarks to the Society	Transportation, lodging and meals
19.	Wyoming State Bar Association	September 18	Jackson, WY	Remarks to the bar members and guests	Transportation, lodging and meals
20.	Montana State Bar Association	September 20	Billings, MT	Remarks to the bar members and guests	Transportation, lodging and meals
21.	Time Warner	October. 18	New York City, NY	Remarks at the TIME Education Summit	Transportation, lodging and meals
22.	Morgan Stanley Expansion Capital and Pacific Union Club	October 19-23	San Francisco, CA	Various remarks, discussions and meetings	Transportation, lodging and meals

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23.	New England School of Law	Nov. 13-14	Boston, MA	Participate in a program with Dean John O'Brien	Transportation, lodging and meals
24.	National Council for the Social Studies	Nov. 17	Seattle, WA	Participate in the Naturalization Ceremony and Citizenship Panel	Transportation and meals
25.	Semester at Sea - Voyage Enrichment Program	December 6-23	Nassau to Lima, Peru	Participate in various programs and lectures aboard ship	Transportation, lodging and meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	American Bar Association	Chihuly Glass Artwork - Estimated value	\$2,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. AZ Elected Officials Retirement	C	Pension	J	T					
2. Checking Account: Suntrust Bank, Washington, DC	A	Interest	K	T					
3. Checking Accounts - Capitol One Washington, D. C.	A	Interest	N	T					
4. Oil and Gas Royalty fractional interest, Panola Cty., TX	A	Royalty	J	W					
5. Folger, Nolan, Fleming Douglas - By Pass Trust Account	A	Int./Div.	K	T					
6. Abbott Laboratories	B	Dividend	L	T					
7. Adobe Systems, Inc.		None	L	T					
8. Apache Corp. Common	A	Dividend	L	T					
9. Aqua America	B	Dividend	L	T					
10. Bank New York Mellon Corp	B	Dividend	L	T					
11. Becton Dickinson & Co.	B	Dividend	L	T					
12. Caterpillar Inc.	B	Dividend	L	T					
13. Chevron Corporation	C	Dividend	L	T					
14. Church & Dwight Inc.	B	Dividend	M	T					
15. Cisco Systems Inc.	B	Dividend	L	T					
16. Dentsply International	A	Dividend	L	T					
17. EMC Corp Mass		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Exxon Mobil Corp	B	Dividend	L	T					
19. General Electric Co	C	Dividend	L	T					
20. Illinois Tool Works Inc.	C	Dividend	L	T					
21. Intel Corp	C	Dividend	L	T					
22. International Business Machines Corp IBM	B	Dividend	L	T					
23. Johnson & Johnson	B	Dividend	L	T					
24. JP Morgan Chase & Co.	B	Dividend	L	T					
25. Lowes Companies Inc.	B	Dividend	M	T					
26. Microsoft Corp	B	Dividend	L	T					
27. Nextera Energy	B	Dividend	L	T					
28. Pepsico Inc	B	Dividend	L	T					
29. Procter & Gamble Co.	B	Dividend	L	T					
30. T Rowe Price Group Inc.	C	Dividend	M	T					
31. Target Corp.	B	Dividend	L	T					
32. US Treasury Bond Due 01-19-12	A	Interest			Sold	01/19/12	M		
33. US Treasury Bond Due 02-23-12	A	Interest			Sold	02/23/12	L		
34. US Treasury Bond Due 07-19-12	A	Interest			Buy	01/25/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold	07/19/12	M		
36. US Treasury Bond Due 08-16-12	A	Interest			Buy	02/28/12	L		
37.					Sold	08/16/12	L		
38. US Treasury Bond Due 01-17-13		None	M	T	Buy	07/24/12	M		
39. US Treasury Bond Due 02-24-13		None	L	T	Buy	08/24/12	L		
40. United Technologies Corp.	B	Dividend	L	T					
41. Walgreen Company	B	Dividend	L	T					
42. Wells Fargo Company	B	Dividend	L	T					
43. Folger Nolan Fleming Douglas - IRA Account	A	Interest	K	T					
44. -Abbott Laboratories	A	Dividend	K	T					
45. -Adobe Systems Inc		None	K	T					
46. -Apache Corp.	A	Dividend	K	T					
47. -AT&T Corp New	A	Dividend	K	T					
48. -Bank New York Mellon Corp	A	Dividend	J	T					
49. -Becton Dickinson & Co.	A	Dividend	K	T					
50. -Caterpillar Inc.	A	Dividend	K	T					
51. -Chevron Corp	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

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52. -Church & Dwight Inc	A	Dividend	K	T					
53. -Cisco Systems Inc.	A	Dividend	K	T					
54. -Dentsply International	A	Dividend	K	T					
55. -Dominion Resources Inc of VA	A	Dividend	K	T					
56. -EMC Corp Mass		None	K	T					
57. -Exxon Mobil Corp	A	Dividend	K	T					
58. -General Electric Co	A	Dividend	K	T					
59. -Illinois Tool Works Inc	A	Dividend	K	T					
60. -Intel Corp	A	Dividend	K	T					
61. -International Business Machines Corp IBM	A	Dividend	K	T					
62. -Johnson & Johnson	A	Dividend	K	T					
63. -JPMorgan Chase & Co	A	Dividend	K	T					
64. -Lowes Companies Inc	A	Dividend	K	T					
65. -Microsoft Corp	A	Dividend	K	T					
66. -Pepsico Inc	A	Dividend	K	T					
67. -Procter & Gamble Co.	A	Dividend	K	T					
68. -Southern Company	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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69. -T Rowe Price Group Inc.	B	Dividend	K	T					
70. -Target Corp	A	Dividend	K	T					
71. -US Treasury Bills Due 02-23-12	A	Interest			Sold	02/23/12	O		
72. -US Treasury Bills Due 08-16-12	A	Interest			Buy	02/16/12	O		
73.					Sold	08/16/12	O		
74. -US Treasury Bills Due 02-14-13					Buy	08/24/12	O		
75. -United Technologies Corp	A	Dividend	K	T					
76. -Walgreen Company	A	Dividend	K	T					
77. -Wells Fargo Company	A	Dividend	K	T					
78. Folger Nolan Fleming Douglas (Cash Account)	A	Interest	K	T					
79. --US Treasury Bills Due 07-19-12	A	Interest			Buy	01/25/12	L		
80.					Sold	07/19/12	L		
81. --US Treasury Bills Due 01-17-13			L	T	Buy	07/24/12	L		
82. --US Treasury Bills Due 05-16-13			L	T	Buy	11/20/12	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ SANDRA D. O'CONNOR

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544