

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dennis, James L.	2. Court or Organization U.S. 5th Cir. Court of Appeals	3. Date of Report 5/3/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address John Minor Wisdom Building 600 Camp Street, Room 219 New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|---|---|
| 1. Member, Advisory Committee | Geo. W. & Jean H. Pugh Institute for Justice, a fund held by the L.S.U. Foundation for the benefit of L.S.U. Law School |
| 2. Member, LSU Law Center Board of Trustees | Louisiana State University Law Center Board of Trustees, a consultative body to the Chancellor |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|-------------|--|
| 1. 10/02/95 | LA State Employees' Retirement System. No control; fully vested 10/31/94. See La.R.S. 11:551 |
| 2. | |
| 3. | |

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	LA State Employees' Retirement System - Pension	\$52,276.80
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Teachers' Retirement System of Louisiana - pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Alliance Bernstein (FKA Alliance Worldwide Privatization)	A	Dividend	K	T					
2. Hospitality Properties	A	Dividend	J	T					
3. Municipal Mortgage & Equity	A	Dividend	J	T					
4. DCP Midstream	A	Dividend	J	T					
5. Bank Deposit Program (FKA Centennial Money Market) Wachovia	A	Interest	L	T					
6. EV Energy Partners	B	Dividend	J	T					
7. Rayonier, Inc.	A	Dividend	K	T					
8. Inergy LP	A	Dividend	J	T					
9. Anchor Nat'l Life Polaris Choice II Variable Annuity (FKA An	A	Dividend	L	T					
10. Crosstex Energy L.P.	A	Dividend	J	T					
11. Energy Transfer Equity	C	Dividend	K	T					
12. Regency Energy Partners	A	Dividend	J	T					
13. Amgen	A	Dividend	J	T					
14. Appollo Inv. Corp	A	Dividend	J	T					
15. Energy Transfer Partners	B	Dividend	K	T					
16. Enterprise Prods partners	B	Dividend	K	T					
17. JP Morgan Short Term Bond Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Kinder Morgan Energy	A	Dividend	K	T					
19. Penn West Petroleum	A	Dividend	J	T					
20. Plains All American Pipeline	B	Dividend	K	T					
21. Postrock Energy	A	Dividend	J	T					
22. Targa Resources Partners	A	Dividend	K	T					
23. Travel Centers of America	A	Dividend	J	T					
24. Vanguard Natural Resource	B	Dividend	J	T					
25. Teekay Tankers	A	Dividend	J	T					
26. Visa	A	Dividend			Sold	05/02/12	J	D	
27. Whiting USA Trust	B	Dividend			Sold	4/26/12	J	A	
28. Genesis Energy	A	Dividend	J	T					
29. MBIA	A	Dividend	J	T					
30. Centurylink	A	Dividend	K	T					
31. Monroe Louisiana Sales Tax Bond	A	Interest	K	T					
32. El Paso Pipeline	A	Dividend	J	T					
33. International Paper	A	Dividend	J	T					
34. Western Asset Management	A	Dividend	J	T					

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35. Duff & Phelps Global	B	Dividend	K	T					
36. Enbridge Energy Partners	A	Dividend	J	T					
37. Chesapeake Granite	B	Dividend	J	T					
38. Hercules Technology	A	Dividend	J	T					
39. Hewlett Packard	A	Dividend	J	T					
40. Nuveen Diversified	A	Dividend	J	T					
41. Sandridge Mississippian	A	Dividend	J	T					
42. Sandridge Permian	B	Dividend	J	T					
43. Symantec Corporation	A	Dividend	J	T					
44. Willbros Group	A	Dividend	J	T					
45. Puerto Rico Bonds	B	Interest			Sold	02/01/12	K	A	
46. Delta Airlines	A	Dividend	J	T	Buy	07/08/12	J		
47. Apple Inc.	A	Dividend	J	T	Buy	05/07/12	J		
48. Applied Materials	A	Dividend	J	T	Buy	08/20/12	J		
49. Ares Commercial	A	Dividend	J	T	Buy	04/25/12	J		
50. Morgan Stanley Emerging Markets Domestic Debt Fund	A	Dividend	J	T	Buy	07/12/12	J		
51. First Trust IPOX	A	Dividend	J	T	Buy	07/23/12	J		

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52. Hartford Financial Services	A	Dividend	J	T	Buy	07/12/12	J		
53. Huntsman Corp.	A	Dividend	J	T	Buy	08/20/12	J		
54. Intel Corp.	A	Dividend	J	T	Buy	10/04/12	J		
55. Markwest Energy	A	Dividend	J	T	Buy	11/14/12	J		
56. Prudential Short Duration High Yield Fund	A	Dividend	J	T	Buy	04/25/12	J		
57. Suburban Propane	A	Dividend	J	T	Buy	09/17/12	J		
58. Tata Motors Ltd.	A	Dividend	J	T	Buy	03/21/12	J		
59. Capital Projects Financial Authority	A	Dividend	J	T	Buy	07/25/12	J		
60. United Rentals	A	Dividend			Buy	06/13/12	J		
61. United Rentals		None			Sold	10/19/12	J	B	
62.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James L. Dennis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544