

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CHRISTENSEN, DANA L.	2. Court or Organization U.S. District Court - Montana	3. Date of Report 03/26/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. District Court Missoula 201 E. Broadway Missoula, MT 59802		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Montana Teachers Retirement System - Pension
2. 2017	The University of Montana - Teaching wages
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vacation Rental - Whitefish, MT (Assessed Value \$264,500)	D	Rent	N	S					
2. Royal Bank of Canada	A	Interest	J	T					
3. First Interstate Bank - Lake A/C	A	Interest	J	T					
4. First Interstate Bank - Govt A/C	A	Interest	J	T					
5. Prudential - Variable Life Policy									
6. - Prudential Value Fund	B	Int./Div.	J	T					
7. - Prudential Global	C	Int./Div.	J	T					
8. - Janus Protofolio InShr	C	Int./Div.	K	T					
9. - AST Total Return Bond	A	Int./Div.	J	T					
10. - AST BlackRock Gbl Strat	B	Int./Div.	J	T					
11. Principal Life Ins - Adjustable Life	A	Dividend	L	T					
12. Brokerage Account #1									
13. - Edward Jones - Money Market	A	Dividend	J	T					
14. - Mt Fac Fin Auth Health 4.75	A	Interest	J	T					
15. - Forsyth Mt Pollution Ctl Rev 5%	A	Interest	J	T					
16. - Invesco Mun Prem Income Tr	B	Dividend	K	T					
17. - M Stanley Witter Incm Secs Inc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - American Balanced Fund Cl A	B	Dividend	K	T					
19. - Amern High Income Trust Cl A	A	Dividend	J	T					
20. - Capital Income Build	A	Dividend	J	T					
21. - Growth Fund of America Cl A	B	Dividend	K	T					
22. - Income Fund of America Fund A	B	Dividend	K	T					
23. - Investment Co of America Fd A	B	Dividend	K	T					
24. - Loomis Sayles Invt Grade Bd C	A	Dividend	J	T					
25. - Lord Abbett Balances Strat A	B	Dividend	K	T	Sold (part)	04/18/17	J		
26.					Sold (part)	10/13/17	J		
27.					Sold (part)	10/18/17	J		
28. - Lord Abbett Short Dur Inc Fd C	A	Dividend	J	T	Sold (part)	04/05/17	J		
29. - Virtus Multi Sector Shs Trm Bd	A	Dividend	J	T					
30. Brokerage A/C #2 - SEP-IRA (Selected Low Risk Funds)									
31. - MGD Assets DIA Multi CAP EQ	E	Dividend	O	T					
32. - MGD Assets DIA Equity Income	F	Int./Div.	O	T					
33. Brokerage Account #3									
34. - ARC Resources Ltd	A	Dividend			Sold	03/16/17	K	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Bank of Nova Scotia	D	Dividend	M	T					
36. - BCE Inc New	D	Dividend	M	T					
37. - Boardwalk Real Estate Inv't Tr Units	B	Dividend	K	T					
38. - Brookfield Asset Mngt Class A Ltd Vtg Shs	B	Dividend	L	T					
39. - Brookfield Business Partners L P Limited Partnership Units (X)	A	Dividend			Sold	03/13/17	J	A	
40. - CAD Cash		None	L	T					
41. - Canadian Apartment PPTYS RE Inv Tr	C	Dividend	L	T					
42. - Canadian National Railway Co	B	Dividend	L	T	Sold (part)	03/13/17	K	E	
43. - Canadian Utilities LTD 4.5% Cum RDM Secnd Prf Sr CC	C	Dividend	L	T	Buy (add'l)	02/02/17	J		
44. - Dividend 15 Split Corp 5.25% PFS SHS	B	Dividend	K	T	Buy (add'l)	01/19/17	J		
45. - Emera Inc	B	Dividend	K	T					
46. - Fortis Inc	C	Dividend	L	T					
47. - Franco-Nevada Corporation	B	Dividend	M	T					
48. - Great-West Lifco Inc	C	Dividend	M	T					
49. -Great-West Lifeco Inc 4.5% Non Cum 1st Pfd Ser I	C	Dividend	L	T					
50. - Pembina Pipeline Corp	C	Int./Div.	M	T					
51. - Royal Bank of Canada	D	Dividend	M	T					

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52. - Suncor Energy Inc New	A	Dividend			Sold	07/06/17	K		
53. - Toronto Dominion Bank	C	Dividend	M	T					
54. - Transcanada Corporation	D	Dividend	M	T					
55. Trisura Group LTD Com		None			Sold	07/06/17	J	A	
56. - United Corporations Ltd	C	Dividend	M	T					
57. - Westcoast Energy Inc 5.5% Cum 1st Pfd Ser 7 Perp	B	Dividend	K	T					
58. 529 College Savings #1									
59. - Growth Fund of America X	A	Dividend	J	T					
60. - Income Fund of America X	A	Dividend	J	T					
61. 529 College Savings #2									
62. - Growth Fund of America X	A	Dividend	J	T					
63. - Income Fund of America X	A	Dividend	J	T					
64. 529 College Savings #3									
65. - Growth Fund of America X	A	Dividend	J	T					
66. - Income Fund of America X	A	Dividend	J	T					
67. 529 College Savings #4									
68. - Growth Fund of America X	A	Dividend	J	T					

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69. - Income Fund of America X	A	Dividend	J	T					
70. 529 College Savings #5									
71. - Growth Fund of America X	A	Dividend	J	T					
72. - Income Fund of America X	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DANA L. CHRISTENSEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544