

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wheeler, Thomas C.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 05/10/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Court of Federal Claims 717 Madison Place, N.W. Washington, DC 20005		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2006	DLA Piper Retirement Trust Plan with former law firm, no control.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	DLA Piper Law Firm Retirement Plan.	\$7,588.56
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Montgomery County Public Schools, Payment.
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SIT Tax Exempt Bond Mutual Fund	B	Dividend	K	T					
2. Bank of America Checking Account	A	Interest	K	T					
3. Potomac Cliffs at Watson House RE P-ship (See Part VIII)		None	K	R					
4. TCW/JRW Second-to-Die Life Insurance Policy		None	N	T					
5. JRW Whole Life Policy		None	K	T					
6. Broker Account #1 -- JRW Rev. Trust									
7. - Daimler AG Common Stock	A	Dividend	J	T					
8. - Air Castle Common Stock	A	Dividend			Sold	12/10/12	J	A	
9. - Altria Group Common Stock	A	Dividend	J	T					
10. - American Express Common Stock	A	Dividend	K	T					
11. - BP PLC Common Stock	A	Dividend			Sold	05/30/12	K	D	
12. - Walt Disney Common Stock	A	Dividend	K	T					
13. - Healthcare Realty Trust Common Stock	A	Dividend			Sold	12/10/12	J	D	
14. - Hewlett Packard Common Stock	B	Dividend			Sold	12/10/12	J	A	
15. - Motorola Common Stock	A	Dividend			Sold	12/10/12	J	A	
16. - Philip Morris Int'l Common Stock	A	Dividend	K	T					
17. - Prudential Financial Common Stock	A	Dividend			Sold	12/10/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Nuveen Municipal Mkt. Opportunity Fund	B	Dividend	K	T					
19. - Davis New York Venture A	A	Dividend	K	T	Buy	06/04/12	K		
20. Broker Account #2 -- JRW IRA									
21. - Citigroup Common Stock		None	J	T					
22. - Legg Mason Clearbridge Fund. Value Fund		None	J	T					
23. Broker Account #3 -- TCW IRA Rollover									
24. - Air Castle Common Stock	A	Dividend	J	T	Buy (add'l)	05/30/12	J		
25. - Covidien PLC Common Stock	A	Dividend	K	T	Buy (add'l)	05/30/12	J		
26. - Tyco Electronics Common Stock	A	Dividend	J	T					
27. - Altria Group Common Stock	B	Dividend	K	T					
28. - Apollo Investment Common Stock	B	Dividend	J	T					
29. - BP PLC Common Stock	B	Dividend	K	T					
30. - Bristol Myers Squibb Common Stock	B	Dividend	K	T					
31. - Cisco Systems Common Stock	A	Dividend	K	T	Buy (add'l)	05/30/12	J		
32. - Comcast Common Stock	B	Dividend	K	T					
33. - Constellation Brands Common Stock		None	K	T					
34. - Walt Disney Common Stock	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - Emerson Electric Common Stock	A	Dividend	K	T					
36. - Energy Transfer Partners LP		Dividend	L	T					
37. - General Electric Common Stock	A	Dividend	K	T					
38. - Halliburton Common Stock	A	Dividend	K	T					
39. - Hewlett Packard Common Stock	A	Dividend	K	T					
40. - Home Depot Common Stock	B	Dividend	K	T					
41. - Hospitality Properties Trust Common Stock	B	Dividend	K	T					
42. - J.P. Morgan Chase Common Stock	B	Dividend	K	T	Buy (add'l)	05/30/12	J		
43. - Kroger Common Stock	A	Dividend	J	T					
44. - Marriott Int'l Common Stock	A	Dividend	K	T					
45. - Microsoft Common Stock	A	Dividend	K	T	Buy (add'l)	02/07/12	K		
46. - Microsoft Common Stock	A	Dividend	K	T	Buy (add'l)	06/28/12	J		
47. - Pepsico Common Stock	B	Dividend	L	T					
48. - Philip Morris Int'l Common Stock	B	Dividend	K	T					
49. - Sandridge Permian Trust	D	Dividend			Sold	02/21/12	K	D	
50. - Sandridge Miss. Trust	D	Dividend	L	T	Buy	04/18/12	L		
51. - Southwest Airlines Common Stock	A	Dividend	J	T					

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52. - 3M Common Stock	B	Dividend	L	T					
53. - United Parcel Service Common Stock	A	Dividend	K	T	Buy (add'l)	05/30/12	J		
54. - United States Steel Common Stock	A	Dividend	J	T					
55. - Verizon Common Stock	B	Dividend	K	T					
56. - Walmart Common Stock	B	Dividend	L	T					
57. - Williams Partners Ltd.	C	Dividend	K	T	Buy	10/03/12	K		
58. - Cohen & Steers Infrastructure Fund	B	Dividend	K	T					
59. - Kayne Anderson Energy Return Fund	C	Dividend	K	T					
60. - New Germany Fund	A	Dividend	K	T					
61. - Eaton Vance LTD Duration Income Fund	B	Dividend	K	T					
62. Brker Acct #4 -- SSB Asset Man Fd, JRW Rev Trst									
63. - Citibank Money Market	A	Interest	J	T					
64. - AMC Networks Common Stock		None	J	T					
65. - AT&T Inc. Common Stock	A	Dividend	J	T					
66. - Akamai Technologies Common Stock		None	J	T					
67. - Amazon.com Common Stock		None	J	T					
68. - Amgen, Inc. Common Stock	A	Dividend	J	T					

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69. - Anadarko Petroleum Common Stock	A	Dividend	J	T					
70. - Anheuser Busch InBev SA Spon. Common Stock	A	Dividend	J	T					
71. - Apache Corp. Common Stock	A	Dividend	J	T					
72. - Apple, Inc. Common Stock		None	J	T					
73. - Applied Materials, Inc. Common Stock	A	Dividend	J	T					
74. - Autodesk, Inc. Delaware Common Stock		None	J	T					
75. - Axis Capital Holdings Common Stock		None	J	T	Buy	04/19/12	J		
76. - Baker Hughes, Inc. Common Stock	A	Dividend	J	T					
77. - Bed Bath & Beyond Common Stock		None	J	T					
78. - BHP Billiton Ltd. Common Stock	A	Dividend	J	T	Sold (part)	03/02/12	J	A	
79. - Biogen Idec Inc. Common Stock		None	J	T					
80. - Blackrock, Inc. Common Stock	A	Dividend	J	T					
81. - Boeing Co. Common Stock	A	Dividend	J	T					
82. - Broadcom Corp. Common Stock	A	Dividend	J	T					
83. - CME Group, Inc. Common Stock	A	Dividend	J	T					
84. - CVS Caremark Corp. Common Stock	A	Dividend	J	T					
85. - Cablevision Systems Corp. Common Stock	A	Dividend	J	T					

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86. - Cameron Intl. Corp. Common Stock		None	J	T					
87. - Carnival CP New Paired Common Stock	A	Dividend	J	T					
88. - Celgene Corp. Common Stock		None	J	T					
89. - Chevron Corp. Common Stock	A	Dividend	J	T	Buy	07/23/12	J		
90. - Chubb Corp. Common Stock	A	Dividend	J	T					
91. - Cisco Systems Inc. Common Stock	A	Dividend	J	T	Sold (part)	04/10/12	J	A	
92. - Citrix Systems, Inc. Common Stock		None	J	T					
93. - Coca Cola Inc. Common Stock	A	Dividend	J	T					
94. - Comcast Corp. CL A Special New Common Stock	A	Dividend	J	T					
95. - Conoco Phillips Common Stock	A	Dividend			Sold	04/13/12	J	A	
96. - Cree Research Inc. Common Stock		None	J	T					
97. - Deere & Co. Common Stock	A	Dividend	J	T					
98. - Devon Energy Corp. New Common Stock	A	Dividend	J	T					
99. - DuPont de Nemours Common Stock	A	Dividend	J	T	Buy	12/27/12	J		
100. - Walt Disney Co. Holding Common Stock	A	Dividend	J	T					
101. - The DirecTV Group CL A Common Stock		None	J	T					
102. - Dolby CL A Common Stock		None	J	T					

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103. - Dover Corp. Common Stock	A	Dividend	J	T					
104. - EMC Corp. Mass. Common Stock		None	J	T					
105. - Eaton Corp. Common Stock	A	Dividend	J	T					
106. - eBay Inc. Common Stock		None	J	T	Sold (part)	03/07/12	J	A	
107. - Emerson Electric Common Stock	A	Dividend	J	T					
108. - Ericsson LM Tel. ADR CL B New Common Stock	A	Dividend	J	T					
109. - Express Scripts Hldg Co. Common Stock		None	J	T	Buy	01/04/12	J		
110. - Exxon Mobile Corp. Common Stock	A	Dividend	J	T	Buy	07/12/12	J		
111. - Fluor Corp. New Common Stock	A	Dividend	J	T					
112. - Forest Laboratories Inc. Common Stock		None	J	T					
113. - Franklin Resources Inc. Common Stock	A	Dividend	J	T					
114. - GAP, Inc. Common Stock	A	Dividend	J	T					
115. - General Electric Co. Common Stock	A	Dividend	J	T					
116. - GlaxoSmithKline PLC ADS Common Stock	A	Dividend	J	T					
117. - Goldman Sachs Common Stock	A	Dividend	J	T	Buy	10/04/12	J		
118. - Google Inc. Common Stock		None	J	T					
119. - Halliburton Co. Common Stock	A	Dividend	J	T					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Hess Corp. Common Stock	A	Dividend	J	T					
121. - Home Depot Inc. Common Stock	A	Dividend	J	T	Sold (part)	01/04/12	J	A	
122. - Honeywell Intl. Inc. Common Stock	A	Dividend	J	T					
123. - Intuit Inc. Common Stock	A	Dividend	J	T					
124. - JP Morgan Chase & Co. Common Stock	A	Dividend	J	T					
125. - Johnson & Johnson Common Stock	A	Dividend	J	T					
126. - Jones Lang LaSalle Inc. Common Stock	A	Dividend	J	T					
127. - Joy Global Common Stock	A	Dividend	J	T	Buy	10/18/12	J		
128. - Juniper Networks Common Stock		None	J	T					
129. - KLA Tencor Corp. Common Stock	A	Dividend	J	T					
130. - Keycorp New Common Stock	A	Dividend	J	T					
131. - L-3 Communications Holding Inc. Common Stock	A	Dividend	J	T					
132. - Liberty Media Co. Liberty Cap A Common Stock		None	J	T					
133. - Liberty Interactive Co. Inter A Common Stock		None	J	T					
134. - Mattel Inc. Common Stock	A	Dividend	J	T					
135. - Merck & Co. Inc. New Common Stock	A	Dividend	J	T					
136. - Microsoft Corp. Common Stock	A	Dividend	J	T	Sold (part)	04/10/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Monsanto Co. New Common Stock	A	Dividend	J	T					
138. - Morgan Stanley Common Stock	A	Dividend	J	T					
139. - National Oilwell Varco Inc. Common Stock	A	Dividend	J	T					
140. - Netapp. Inc. Common Stock		None	J	T					
141. - Newfield Expl. Co. Common Stock		None	J	T	Buy	04/12/12	J		
142. - Nike Inc. B Common Stock	A	Dividend	J	T					
143. - Noble Energy Inc. Common Stock	A	Dividend			Sold	04/10/12	J	A	
144. - Northrop Grumman Corp. Common Stock	A	Dividend			Sold	02/02/12	J	A	
145. - Novartis AG ADR Common Stock	A	Dividend	J	T					
146. - Nucor Corp. Common Stock	A	Dividend	J	T					
147. - Occidental Petroleum Common Stock	A	Dividend	J	T	Buy	12/14/12	J		
148. - Oracle Corp. Common Stock	A	Dividend	J	T					
149. - Oshkosh Corp. Common Stock		None	J	T					
150. - Pall Corp. Common Stock	A	Dividend	J	T					
151. - Peabody Energy Corp. Common Stock	A	Dividend	J	T	Buy	09/26/12	J		
152. - Pebblebrook Hotel Tr. Com. Common Stock	A	Dividend	J	T					
153. - Pepsico Inc. Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Procter & Gamble Common Stock	A	Dividend	J	T					
155. - Qualcomm Inc. Common Stock	A	Dividend	J	T					
156. - Regions Finance Corp. Common Stock	A	Dividend	J	T	Buy	04/13/12	J		
157. - Safeway Inc. Com. New Common Stock	A	Dividend	J	T					
158. - Sandisk Corp. Common Stock		None	J	T					
159. - Schlumberger Ltd. Common Stock	A	Dividend	J	T					
160. - Charles Schwab New Common Stock	A	Dividend	J	T					
161. - Symantic Corp. Common Stock		None	J	T					
162. - Texas Instruments Common Stock	A	Dividend	J	T					
163. - Thermo Fisher Scientific Inc. Common Stock		None	J	T					
164. - US Bancorp. Com New Common Stock	A	Dividend	J	T					
165. - Unilever PLC (New) ADS Common Stock	A	Dividend	J	T					
166. - United Parcel Service Inc. CL B Common Stock	A	Dividend	J	T					
167. - United States Steel Corp. New Common Stock	A	Dividend	J	T					
168. - United Health Group Inc. Common Stock	A	Dividend	J	T	Buy (add'l)	05/14/12	J		
169. - Veeco Instr. Inc. Common Stock		None	J	T	Buy	11/13/12	J		
170. - Visa Inc. CL A Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Vodafone Group PLC ADS New Common Stock	A	Dividend	J	T					
172. - Weyerhaeuser Co. Common Stock	A	Dividend	J	T					
173. - Xilinx Inc. Common Stock	A	Dividend	J	T	Buy	06/06/12	J		
174. - Covidien PLC New Common Stock	A	Dividend	J	T					
175. - Seagate Technology PLC Common Stock	A	Dividend	J	T					
176. - Allied World Assurance Co. Hlds Ltd. Common Stock	A	Dividend	J	T					
177. - Weatherford International Ltd. Common Stock		None	J	T					
178. - TE Connectivity Ltd. New Common Stock	A	Dividend	J	T					
179. - Tyco International Ltd. New Common Stock	A	Dividend	J	T					
180. Brker Acct # 5 -- SSB Asset Man Fd, TCW IRA									
181. - MS Liquid Asset Fund Money Market		None	J	T					
182. - AT&T Common Stock	A	Dividend			Sold	08/14/12	J	A	
183. - Morgan Stanley Common Stock	A	Dividend			Sold	08/14/12	J	A	
184. - Akamai Technologies Common Stock		None			Sold	08/14/12	J	A	
185. - Amazon.com Inc. Common Stock		None			Sold	08/14/12	J	A	
186. - Amgen, Inc. Common Stock	A	Dividend			Sold	08/14/12	J	A	
187. - Anadarko Petroleum Common Stock	A	Dividend			Sold	08/14/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Anheuser Busch InBev SA Spon. Common Stock	A	Dividend			Sold	08/14/12	J	A	
189. - Apache Corp. Common Stock	A	Dividend			Sold	08/14/12	J	A	
190. - Apple, Inc. Common Stock		None			Sold	08/14/12	J	A	
191. - Applied Materials, Inc. Common Stock	A	Dividend			Sold	08/14/12	J	A	
192. - Autodesk, Inc. Delaware Common Stock		None			Sold	08/14/12	J	A	
193. - Baker Hughes, Inc. Common Stock	A	Dividend			Sold	08/14/12	J	A	
194. - Bed Bath & Beyond Common Stock		None			Sold	08/14/12	J	B	
195. - BHP Billiton Ltd. Common Stock	A	Dividend			Sold	08/14/12	J	A	
196. - Biogen Idec Common Stock		None			Sold	08/14/12	J	D	
197. - Blackrock, Inc. Common Stock	A	Dividend			Sold	08/14/12	J	A	
198. - Boeing Co. Common Stock	A	Dividend			Sold	08/14/12	J	A	
199. - Broadcom Corp. CL A Common Stock	A	Dividend			Sold	08/14/12	J	A	
200. - CME Group Inc. Common Stock	A	Dividend			Sold	08/14/12	J	A	
201. - CVS Caremark Corp. Common Stock	A	Dividend			Sold	08/14/12	J	A	
202. - Morgan Stanley Common Stock	A	Dividend			Sold	08/14/12	J	A	
203. - Cablevision Systems Corp. Common Stock	A	Dividend			Sold	08/14/12	J	A	
204. - Morgan Stanley Common Stock	A	Dividend			Sold	08/14/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Cameron Intl Corp. Common Stock		None			Sold	08/14/12	J	A	
206. - Carnival CP New Paired Com Common Stock	A	Dividend			Sold	08/14/12	J	A	
207. - Celgene Corp. Common Stock		None			Sold	08/14/12	J	A	
208. - Chubb Corp. Common Stock	A	Dividend			Sold	08/14/12	J	B	
209. - Cisco Systems Inc. Common Stock	A	Dividend			Sold	08/14/12	J	A	
210. - Citrix Systems Inc. Common Stock		None			Sold	08/14/12	J	A	
211. - Coca Cola Co. Common Stock	A	Dividend			Sold	08/14/12	J	B	
212. - Comcast Corp. CL A Special New Common Stock	A	Dividend			Sold	08/14/12	J	C	
213. - Conoco Phillips Common Stock	A	Dividend			Sold	08/14/12	J	A	
214. - Cree Research, Inc. Common Stock		None			Sold	08/14/12	J	A	
215. - Deere & Co. Common Stock	A	Dividend			Sold	08/14/12	J	B	
216. - Devon Energy Corp. New Common Stock	A	Dividend			Sold	08/14/12	J	A	
217. - Walt Disney Co. Holdings Common Stock	A	Dividend			Sold	08/14/12	J	C	
218. - The DirecTV Group CL A Common Stock		None			Sold	08/14/12	J	A	
219. - Dolby CL A Common Stock		None			Sold	08/14/12	J	A	
220. - Dover Corp. Common Stock	A	Dividend			Sold	08/14/12	J	A	
221. - EMC Corp. Mass Common Stock		None			Sold	08/14/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - EOG Resources, Inc. Common Stock	A	Dividend			Sold	08/14/12	J	A	
223. - Eaton Corp. Common Stock	A	Dividend			Sold	08/14/12	J	A	
224. - eBay Inc. Common Stock		None			Sold	08/14/12	J	C	
225. - Emerson Electric Co. Common Stock	A	Dividend			Sold	08/14/12	J	B	
226. - Ericsson LM Tel. ADR CL B New Common Stock	A	Dividend			Sold	08/14/12	J	A	
227. - Fluor Corp. New Common Stock	A	Dividend			Sold	08/14/12	J	A	
228. - Forest Laboratories Inc. Common Stock		None			Sold	08/14/12	J	A	
229. - Franklin Resources, Inc. Common Stock	A	Dividend			Sold	08/14/12	J	A	
230. - Gap Inc.. Common Stock	A	Dividend			Sold	08/14/12	J	B	
231. - General Electric Co. Common Stock	A	Dividend			Sold	08/14/12	J	A	
232. - GlaxoSmithKline PLC ADS Common Stock	A	Dividend			Sold	08/14/12	J	A	
233. - Google Inc. CL A Common Stock		None			Sold	08/14/12	J	A	
234. - Halliburton Co. Common Stock	A	Dividend			Sold	08/14/12	J	A	
235. - Hess Corp. Common Stock	A	Dividend			Sold	08/14/12	J	A	
236. - Home Depot, Inc. Common Stock	A	Dividend			Sold	08/14/12	J	C	
237. - Honeywell Intl. Inc. Common Stock	A	Dividend			Sold	08/14/12	J	B	
238. - Intuit Inc. Common Stock	A	Dividend			Sold	08/14/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - JP Morgan Chase Common Stock	A	Dividend			Sold	08/14/12	J	A	
240. - Johnson & Johnson Common Stock	A	Dividend			Sold	08/14/12	J	B	
241. - Jones Lang LaSalle Inc. Common Stock	A	Dividend			Sold	08/14/12	J	A	
242. - Juniper Networks Common Stock		None			Sold	08/14/12	J	A	
243. - KLA Tencor Corp. Common Stock	A	Dividend			Sold	08/14/12	J	A	
244. - KeyCorp. New Common Stock	A	Dividend			Sold	08/14/12	J	A	
245. - L-3 Communications Holding Inc. Common Stock	A	Dividend			Sold	08/14/12	J	A	
246. - Liberty Media Co. Liberty Cap A Common Stock		None			Sold	08/14/12	J	B	
247. - Liberty Interactive Co. Inter A Common Stock		None			Sold	08/14/12	J	A	
248. - Mattel Inc. Common Stock	A	Dividend			Sold	08/14/12	J	B	
249. - Merck & Co. Inc. New Common Stock	A	Dividend			Sold	08/14/12	J	B	
250. - Microsoft Corp. Common Stock	A	Dividend			Sold	08/14/12	J	A	
251. - Monsanto Co. New Common Stock	A	Dividend			Sold	08/14/12	J	A	
252. - Morgan Stanley Common Stock	A	Dividend			Sold	08/14/12	J	A	
253. - National Oilwell Varco Inc. Common Stock	A	Dividend			Sold	08/14/12	J	A	
254. Netapp Inc. Common Stock		None			Sold	08/14/12	J	A	
255. Nike Inc. B Common Stock	A	Dividend			Sold	08/14/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - Noble Energy Inc. Common Stock	A	Dividend			Sold	08/14/12	J	A	
257. - Northrop Grumman Corp. Common Stock	A	Dividend			Sold	08/14/12	J	A	
258. - Novartis AG ADR Common Stock	A	Dividend			Sold	08/14/12	J	A	
259. - Nucor Corp. Common Stock	A	Dividend			Sold	08/14/12	J	A	
260. - Oracle Corp. Common Stock	A	Dividend			Sold	08/14/12	J	A	
261. - Oshkosh Corp. Common Stock		None			Sold	08/14/12	J	A	
262. - Pall Corp. Common Stock	A	Dividend			Sold	08/14/12	J	A	
263. - Pebblebrook Hotel TR Common Stock	A	Dividend			Sold	08/14/12	J	A	
264. - Pepsico Inc. NC Common Stock	A	Dividend			Sold	08/14/12	J	A	
265. Procter & Gamble Common Stock	A	Dividend			Sold	08/14/12	J	A	
266. - Qualcomm Inc. Common Stock	A	Dividend			Sold	08/14/12	J	A	
267. - Safeway Inc. New Common Stock	A	Dividend			Sold	08/14/12	J	A	
268. - Sandisk Corp. Common Stock		None			Sold	08/14/12	J	B	
269. - Schlumberger Ltd. Common Stock	A	Dividend			Sold	08/14/12	J	B	
270. - Charles Schwab New Common Stock	A	Dividend			Sold	08/14/12	J	A	
271. - Symantec Corp. Common Stock		None			Sold	08/14/12	J	A	
272. - Texas Instruments Common Stock	A	Dividend			Sold	08/14/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Thermo Fisher Scientific Inc. Common Stock		None			Sold	08/14/12	J	A	
274. - US Bancorp New Common Stock	A	Dividend			Sold	08/14/12	J	A	
275. - Unilever PLC (New) ADS Common Stock	A	Dividend			Sold	08/14/12	J	B	
276. - United Parcel Service Inc. CL B Common Stock	A	Dividend			Sold	08/14/12	J	A	
277. - United States Steel Corp. New Common Stock	A	Dividend			Sold	08/14/12	J	A	
278. - United Health Group Inc. Common Stock	A	Dividend			Sold	08/14/12	J	B	
279. - Visa Inc. CL A Common Stock	A	Dividend			Sold	08/14/12	J	B	
280. - Vodafone Group PLC ADS New Common Stock	A	Dividend			Sold	08/14/12	J	A	
281. - Weyerhaeuser Co. Common Stock	A	Dividend			Sold	08/14/12	J	A	
282. - Covidien PLC New Common Stock	A	Dividend			Sold	08/14/12	J	A	
283. - Seagate Technology PLC Common Stock	A	Dividend			Sold	08/14/12	J	A	
284. - Allied World Assurance Co. Holding Ltd Common Stock	A	Dividend			Sold	08/14/12	J	B	
285. - Weatherford International Ltd Common Stock		None			Sold	08/14/12	J	A	
286. - TE Connectivity Ltd New Common Stock	A	Dividend			Sold	08/14/12	J	A	
287. - Tyco Internationl Ltd New Common Stock	A	Dividend			Sold	08/14/12	J	A	
288. - Davis New York Venture Mutual Fund	B	Dividend	N	T	Buy	08/14/12	N		
289. Broker Account #6 -- JRW Pers. Trust									

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$5,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Dominion Resources Common Stock	B	Dividend	K	T					
291. - Exxon Mobile Common Stock	C	Dividend	M	T					
292. - Pepsico Common Stock	B	Dividend	K	T					
293. - PNC Financial Services Common Stock	A	Dividend	J	T					
294. - Walmart Common Stock	B	Dividend	K	T					
295. - Howard County Golf Course Bonds 08/15/12	A	Interest			Redeemed	08/15/12	L	A	
296. - Maryland CDA Housing Conds 07/01/13	B	Interest	K	T					
297. - Prince George's County MD Bonds 10/01/15	A	Interest	J	T					
298. - Puerto Rico Housing Public Serv. Bonds 07/01/16	B	Interest	K	T					
299. - Maryland State Health Higher Education Bonds 07/01/18	A	Interest			Redeemed	11/16/12	J	A	
300. - Baltimore MD Ser. C Sink Fund 07/01/17	B	Interest	L	T					
301. - MFS Maryland Municipal Bond Fund	B	Interest	K	T					
302. Broker Account #7 -- iShares Managed Trust									
303. - iShares Barclay's Aggregate Bond Fund	B	Dividend	L	T	Sold (part)	12/12/12	L	C	
304. - iShares Barclay's MBS Bond Fund	B	Dividend	L	T	Sold (part)	12/12/12	K	B	
305. - iShares Barclay's 1-3 Yr. CD Bond Fund	A	Dividend	L	T					
306. - iShares Dow Jones US Consumer Services Index Fund	A	Dividend	K	T	Buy (add'l)	12/12/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - iShares Dow Jones Real Estate Index Fund	A	Dividend	K	T					
308. - iShares Dow Jones Technology Sector Index Fund	A	Dividend	L	T	Buy (add'l)	12/12/12	K		
309. - iShares Dow Jones US Consumer Goods Index Fund	B	Dividend	L	T	Sold (part)	12/12/12	J	B	
310. - iShares Dow Jones US Financial Sector Index Fund	A	Dividend	K	T					
311. - iShares Dow Jones US Health Care Sector Index Fund	A	Dividend	K	T	Sold (part)	12/12/12	K	D	
312. - iShares Dow Jones US Energy Sector Index Fund	A	Dividend	K	T					
313. --Pimco Bond	C	Dividend	L	T	Buy	12/12/12	L		
314. - Vanguard BD Index Fund	B	Dividend	J	T	Buy	12/12/12	J		
315. Broker Account # 8 -- TCW/JRW Joint RMA									
316. - Frederick MD Rfdg & Impt Bonds 08/01/13	B	Interest	K	T					
317. - Maryland CDA Hsg Cmty Dev Bonds 07/01/15	A	Interest	K	T					
318. - Puerto Rico Elec. Power Auth Bonds 007/01/17		None	J	T					
319. - Maryland State Health & Higher Ed Bonds 07/01/18	A	Interest			Redeemed	11/16/12	J	A	
320. - Blackrock Munivest Fund II	B	Interest	K	T					
321. - Blackrock Munivest Fund	B	Interest	K	T					
322. - Nuveen Select Tax Free Income Port 3	B	Interest	K	T					
323. - Dreyfus State Mun Bond Fd Maryland Ser Class C	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. Brker Acct # 9 -- IShares Man JRW Rev. Trust									
325. - IShares Barclay's Aggregate Bond Fund	B	Dividend	L	T					
326. - IShares Barclay's MBS Bond Fund	B	Dividend	L	T	Sold (part)	12/12/12	K	A	
327. - IShares Barclay's 1-3 Yr. CD Bond Fund	B	Dividend	L	T	Sold (part)	12/12/12	L	B	
328. - IShares Dow Jones US Consumer Services Index Fund	A	Dividend	K	T	Buy (add'l)	12/12/12	J		
329. - IShares Dow Jones US Real Estate Index Fund	B	Dividend	K	T					
330. - IShares Dow Jones Technology Sector Index Fund	A	Dividend	L	T	Buy (add'l)	12/12/12	K		
331. - IShares Dow Jones US Consumer Goods Index Fund	B	Dividend	L	T	Sold (part)	12/12/12	J	A	
332. - IShares Dow Jones US Financial Sector Index Fund	A	Dividend	K	T					
333. - IShares Dow Jones US Health Care Sector Index Fund	A	Dividend	K	T	Sold (part)	12/12/12	K	C	
334. - IShares Dow Jones US Energy Sector Index Fund	A	Dividend	K	T					
335. - Pimco Bond	C	Dividend	L	T	Buy	12/12/12	L		
336. - Vanguard BD Index Fund	A	Dividend	J	T	Buy	12/12/12	J		
337. Broker Account # 10 -- TCW Rev. Trust									
338. - American Capital Limited Common Stock		None	J	T	Sold (part)	12/10/12	J	C	
339. - EMC Corp. Mass. Common Stock		None	K	T					
340. - Ford Motor Co. Common Stock	A	Dividend	K	T	Buy	05/30/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - Schlumberger Ltd. Common Stock	A	Dividend	K	T					
342. - Schlumberger Ltd. Common Stock	A	Dividend	K	T					
343. - ING Clarion Global Real Estate Fund	B	Interest	K	T	Sold (part)	12/10/12	K	A	
344. - Kayne Anderson Energy Fund	C	Dividend	K	T					
345. - ACAP Strategic Fund Shares Mutual Fund		None	L	T					
346. - Hartford Municipal Opp. Fund Class C	C	Dividend	L	T					
347. - Legg Mason Western Asset Mun. Class C	B	Dividend	L	T					
348. - Principal Investment Fund	A	Dividend	J	T	Buy (add'l)	02/07/12	J		
349. Broker Account # 11 -- EJW IRA									
350. - Tortoise MLP Fund	B	Dividend	K	T					
351. - Best Buy Common Stock	A	Dividend	K	T					
352. - Chesapeake Energy Corp. Common Stock	A	Dividend	K	T	Buy (add'l)	05/30/12	K		
353. - Schlumberger Ltd. Common Stock	A	Dividend	J	T					
354. Broker Account # 12 -- EJW IRA Trust									
355. - United Health Group Common Stock	A	Dividend	K	T					
356. - Calamos Strategy Fund	B	Dividend	K	T					
357. - Hartford Floating Rate Fund	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - Pimco Commodity Real Return Strategy Fund	D	Dividend	K	T					
359. - Putnam Diversified Income Trust Fund, Class C	C	Dividend	K	T					
360. Broker Account # 13 -- HKW IRA Trust									
361. - Bristol Myers Squibb Common Stock	B	Dividend	K	T	Buy (add'l)	06/28/12	J		
362. - Energy Transfer Partners LP	C	Dividend	K	T					
363. - Exxon Mobile Common Stock	B	Dividend	L	T					
364. - Ford Motor Co. Common Stock	A	Dividend	K	T					
365. - Microsoft Corp. Common Stock	A	Dividend	K	T					
366. - U.S. Steel Common Stock	A	Dividend	J	T					
367. - Bank of America Preferred Stock	B	Dividend	J	T					
368. - Principal Inv. Pref. Sec. Mut. Fund	B	Dividend	K	T					
369. Brker Acct #14--For IShares HKW IRA Man Acct									
370. - MS Liquid Asset Fund Money Market	A	Interest	J	T					
371. - IShares MSCI Australia Index Fund	A	Dividend	K	T					
372. - IShaes MSCI Austria Index Fund	A	Dividend	K	T	Buy	02/06/12	K		
373. - IShares MSCI Brazil Index Fund	A	Dividend	K	T					
374. - IShares MSCI South Korea Index Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/10/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. - IShares MSCI South Africa Index Fund	A	Dividend	K	T	Buy (add'l)	03/05/12	J		
376. - IShares MSCI Germany Index Fund	A	Dividend	K	T	Buy (add'l)	03/05/12	K		
377. - IShares MSCI Taiwan Index Fund	A	Dividend	K	T	Buy	01/10/12	K		
378. Broker Account #15 --HKW IRA Managed Account									
379. - MS Liquid Asset Fund	A	Interest	J	T					
380. - Agilent Technologies Common Stock		None			Sold	08/17/12	J	B	
381. - American Express Co. Common Stock	A	Dividend			Sold	08/17/12	K	D	
382. - Ameriprise Financial Inc. Common Stock	A	Dividend			Sold	08/17/12	J	B	
383. - Bank of New York Mellon Corp. Common Stock	A	Dividend			Sold	08/17/12	J	A	
384. - Baxter Intl. Inc. Common Stock	A	Dividend			Sold	08/17/12	J	A	
385. - Becton Dickinson & Co. Common Stock	A	Dividend			Sold	08/17/12	J	A	
386. - Bed Bath & Beyond Common Stock		None			Sold	08/17/12	J	C	
387. - Berkshire Hathaway CL B New Common Stock		None			Sold	08/17/12	J	B	
388. - CVS Caremark Corp. Common Stock	A	Dividend			Sold	08/17/12	J	A	
389. - Canadian Natural Resources Ltd. Common Stock	A	Dividend			Sold	08/17/12	J	A	
390. - Coca Cola Co. Common Stock	A	Dividend			Sold	08/17/12	J	A	
391. - Costco Wholesale Corp. New Common Stock	A	Dividend			Sold	08/17/12	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/10/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. - Devon Energy Corp. New Common Stock	A	Dividend			Sold	08/17/12	J	A	
393. - Diageo PLC Spon. ADR New Common Stock	A	Dividend			Sold	08/17/12	J	C	
394. - Walt Disney Co. Holding Common Stock	A	Dividend			Sold	08/17/12	J	B	
395. - EOG Resources Inc. Common Stock	A	Dividend			Sold	08/17/12	J	B	
396. - Express Scripts Holding Co. Common Stock		None			Sold	08/17/12	J	B	
397. - Google Inc. CL A Common Stock		None			Sold	08/17/12	J	B	
398. - Grupo Televisa SA DE CV Spon. ADR Common Stock	A	Dividend			Sold	08/17/12	J	A	
399. - Harley Davidson Inc. Common Stock	A	Dividend			Sold	08/17/12	J	B	
400. - Hewlett Packard Common Stock	A	Dividend			Sold	08/17/12	J	A	
401. - Iron Mountain Inc. (DE) Common Stock	A	Dividend			Sold	08/17/12	J	B	
402. - Johnson & Johnson Common Stock	A	Dividend			Sold	08/17/12	J	B	
403. - Kraft Foods Inc. CL A Common Stock	A	Dividend			Sold	08/17/12	J	A	
404. - Lockheed Martin Corp. Common Stock	A	Dividend			Sold	08/17/12	J	B	
405. - Loew's Corp. Common Stock	A	Dividend			Sold	08/17/12	J	B	
406. - Merck & Co. New Common Stock	A	Dividend			Sold	08/17/12	J	C	
407. - Microsoft Corp. Common Stock	A	Dividend			Sold	08/17/12	J	B	
408. - Monsanto Co. New Common Stock	A	Dividend			Sold	08/17/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wheeler, Thomas C.

Date of Report

05/10/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Occidental Petroleum Corp. (DE) Common Stock	A	Dividend			Sold	08/17/12	J	C	
410. - Pfizer Inc. Common Stock	A	Dividend			Sold	08/17/12	J	A	
411. - Philip Morris Intl. Common Stock	A	Dividend			Sold	08/17/12	J	C	
412. - Progressive Corp. Ohio Common Stock	A	Dividend			Sold	08/17/12	J	B	
413. - Sealed Air Corp. New Common Stock	A	Dividend			Sold	08/17/12	J	A	
414. - Texas Instruments Common Stock	A	Dividend			Sold	08/17/12	J	B	
415. - Transatlantic Holdings Inc. Common Stock	A	Dividend			Sold	08/17/12	J	A	
416. - Wells Fargo & Co. (New) Common Stock	A	Dividend			Sold	08/17/12	J	D	
417. - Transocean Ltd. Common Stock	A	Dividend			Sold	08/17/12	J	A	
418. - Alger Small Cap Growth Inst.		None	J	T	Buy	08/17/12	J		
419. - Artisan Midcap Value Inv.		None	J	T	Buy	08/17/12	J		
420. - Blackrock Equity Dividend	B	Dividend	L	T	Buy	08/17/12	L		
421. - Columbia Select Large Cap Growth		None	M	T	Buy	08/17/12	M		
422. - Oppenheimer Int'l Growth	A	Dividend	K	T	Buy	08/17/12	K		
423. - Prudential Jennison MD Cap.	A	Dividend	J	T	Buy	08/17/12	J		
424. - Royce Premier Inv.	A	Dividend	J	T	Buy	08/17/12	J		
425. - Virtus Insight Emerg. Mkts.	A	Dividend	K	T	Buy	08/17/12	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Wheeler, Thomas C.

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05/10/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - Wells Fargo Emerg. Mkts.	A	Dividend	K	T	Buy	08/17/12	K		
427. Broker Account #16 -- TCW Trust									
428. - CVS Caremark Common Stock	A	Dividend	K	T	Buy (add'l)	02/07/12	K		
429. - Celgene Common Stock		None	L	T					
430. - EMC Corp. Mass. Common Stock		None	K	T	Buy	10/31/12	K		
431. - Energy Transfer Equity LP	C	Dividend	L	T					
432. - Enterprise Products Partners LP	C	Dividend	L	T					
433. - General Electric Common Stock	A	Dividend	K	T					
434. - Merck Common Stock	B	Dividend	K	T					
435. - Murphy Oil Common Stock	A	Dividend	J	T					
436. - Vale Common Stock	A	Dividend	K	T					
437. - Vodafone GP Common Stock	C	Dividend	L	T	Buy	10/31/12	L		
438. - Williams Cos. Common Stock	B	Dividend	K	T	Buy (add'l)	06/28/12	K		
439. - WPX Energy Common Stock		None	J	T	Buy	03/28/12	J		
440. - Nuveen NFM MTP Preferred	A	Dividend	K	T					
441. - Nuveen MD Dividend Muni Fund	A	Dividend	K	T					
442. - Ginnie Mae Series 2009-68 Class G	A	Dividend			Sold	08/17/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - Hartford Floating Rate Fund	A	Dividend	J	T					
444. - Legg Mason WA SHT Muni Fund	B	Dividend	L	T					
445. - Legg Mason Western Asset Muni Fund	C	Dividend	L	T					
446. Broker Account #17--Small Cap Man. Trust									
447. - MS Liquid Asset Fund	A	Interest	J	T					
448. - Alger Small Cap Growth Inst.		None	J	T					
449. - American Century Int. TRM TX FR BD Inv.	B	Dividend	K	T	Sold (part)	08/24/12	J	B	
450. - American Century Intl. Bond Inv.	A	Dividend	J	T					
451. - Artisan Midcap Value Inv.	A	Dividend	J	T					
452. - Baron Small Cap Retail		None	J	T					
453. - Columbia Marsico Growth Z		None	K	T					
454. - Columbia Marsico Intl Opp. Z		None	J	T					
455. - Davis New York Venture Y	A	Dividend	K	T	Buy (add'l)	12/28/12	J		
456. - American Europacific Growth F1	A	Dividend	J	T					
457. - Goldman Sachs Growth Opp. 1		None	J	T					
458. - American Growth Fund of America F1	A	Dividend	K	T					
459. - JP Morgan Emerging Markets Sel	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. - Lazard Emerging Markets I	A	Dividend	J	T					
461. - Legg Mason WA Interim Muni Inst.	B	Dividend	K	T					
462. - Mainstay ICAP Select Equity 1	A	Dividend	K	T					
463. - PIMCO Emerging Local BD P	A	Dividend	J	T					
464. - T Rowe Price High Yield	A	Dividend	J	T					
465. - T Rowe Price Mid-Cap Growth		None	J	T					
466. - Royce Micro-cap Inv.	A	Dividend	J	T					
467. - Royce Total Return Inv.	A	Dividend	J	T					
468. - Templeton Global Bond Fd Adv	A	Dividend	J	T					
469. - CRM Mid-cap Value Instl.	A	Dividend	J	T					
470. - Wells Fargo High Income Inv.	A	Dividend	J	T					
471. Broker Account #18 -- HKW/TCW Annuities									
472. - Tactical Diversified Futures Fund LP		None	K	T					
473. - Sun Life of Canada Annuity		None	M	T					
474. - Nationwide Life & Annuity		None	M	T					
475. - Skybridge Multi-Advisor Hedge Fund		None	K	T					
476. Broker Account #19 -- US Bank EL Trust									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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477. - First American Prime Obligation Fund		None	J	T					
478. - iShares Iboxx H Y Corp Bond	A	Dividend	J	T					
479. - Nuveen Inter. Tax Free Fund	C	Dividend			Sold	09/18/12	J	A	
480. -- Agilent Technologies Common Stock	A	Dividend	J	T	Buy	03/14/12	J		
481. - Allergan Inc. Common Stock	A	Dividend	J	T					
482. - Anadarko Petroleum Common Stock	A	Dividend	J	T	Buy	07/10/12	J		
483. - Apache Corp. Common Stock	A	Dividend			Sold	09/18/12	J	A	
484. - Apple Inc. Common Stock		None	J	T	Sold (part)	09/18/12	J	C	
485. - AT&T Inc. Common Stock	A	Dividend	J	T					
486. - Bristol Myers Squibb Co. Common Stock	A	Dividend	J	T					
487. - Cameron Intl. Corp. Common Stock		None	J	T					
488. - Caterpillar Common Stock	A	Dividend	J	T	Buy	03/14/12	J		
489. - Cincinnati Intl. Corp. Common Stock	A	Dividend	J	T	Sold (part)	03/09/12	J	B	
490. - CME Group Common Stock	A	Dividend	J	T	Buy	07/10/12	J		
491. - Cummins Common Stock	A	Dividend	J	T	Buy	07/10/12	J		
492. - CVS Caremark Common Stock	A	Dividend	J	T	Buy	03/14/12	J		
493. - Discovery Communications Inc. CL A Common Stock		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. - EMC Corp. Common Stock		None	J	T					
495. - Ecolab Inc. Common Stock	A	Dividend	J	T	Sold (part)	07/05/12	J	A	
496. - Emerson Electric Co. Common Stock	A	Dividend	J	T	Sold (part)	03/09/12	J	B	
497. - Exxon Mobile Corp. Common Stock	A	Dividend	J	T	Sold (part)	03/09/12	J	B	
498. - General Electric Co. Common Stock	A	Dividend			Sold	02/27/12	J	A	
499. - Google Inc. Common Stock		None	J	T					
500. - Humana Common Stock	A	Dividend	J	T	Buy	07/10/12	J		
501. - IBM Common Stock	A	Dividend	J	T	Buy	07/10/12	J		
502. - Intel Corp. Common Stock	A	Dividend	J	T					
503. - JP Morgan Chase Co. Common Stock	A	Dividend	J	T					
504. - Johnson & Johnson Common Stock	A	Dividend	J	T					
505. - Kraft Foods Common Stock	A	Dividend	J	T	Buy	07/10/12	J		
506. - Marathon Oil Common Stock	A	Dividend	J	T	Buy	09/21/12	J		
507. - Mastercard Inc. Common Stock	A	Dividend	J	T					
508. - McDonald's Corp. Common Stock	A	Dividend	J	T	Sold (part)	02/27/12	J	B	
509. - McKesson Corp. Common Stock	A	Dividend	J	T					
510. - Medco Health Solutions Inc. Common Stock		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. - Merck Common Stock	A	Dividend	J	T	Buy	09/21/12	J		
512. - Nextera Energy Inc. Common Stock	A	Dividend	J	T	Sold (part)	09/18/12	J	A	
513. - Nordstrom Inc. Common Stock	A	Dividend	J	T					
514. - Oracle Corp. Common Stock	A	Dividend			Sold	07/05/12	J	B	
515. - PepsiCo Inc. Common Stock	A	Dividend			Sold	03/09/12	J	C	
516. - Philip Morris Intl. Common Stock	A	Dividend	J	T					
517. - Pioneer Natural Resources Common Stock	A	Dividend	J	T	Buy	03/14/12	J		
518. - PNC Fin. Servs. Group Common Stock	A	Dividend	J	T	Buy	09/21/12	J		
519. - Polaris Ind. Inc. Common Stock	A	Dividend	J	T					
520. - Praxair Inc. Common Stock (X)	A	Dividend	J	T					
521. - Priceline Com. Inc. Common Stock		None	J	T					
522. - Procter & Gamble Inc. Common Stock	A	Dividend	J	T					
523. - Prudential Financial Inc. Common Stock	A	Dividend	J	T					
524. - Qualcomm Inc. Common Stock	A	Dividend	J	T					
525. - Teradata Corp. Del Common Stock		None	J	T					
526. - Thermo Fisher Scientific Inc. Common Stock		None	J	T					
527. - United Health Group, Inc. Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. - Visa Inc. CL A Common Stock	A	Dividend	J	T	Sold (part)	03/09/12	J	A	
529. - Williams Cos. Common Stock	A	Dividend	J	T	Buy	07/10/12	J		
530. - Windstream Corp. Common Stock	A	Dividend			Sold	09/25/12	J	A	
531. - 3M Co. Common Stock	A	Dividend	J	T	Sold (part)	03/09/12	J	B	
532. - 3M Co. Common Stock	A	Dividend	J	T	Sold (part)	07/05/12	J	B	
533. - Accenture PLC Cl A Common Stock	A	Dividend	J	T					
534. - Ace Ltd. Common Stock	A	Dividend	J	T					
535. - Check Point Software Tech Ltd. Common Stock		None			Sold	07/05/12	J	A	
536. - Royal Dutch Shell PLC a D R Common Stock	A	Dividend			Sold	05/30/12	J	A	
537. - Schlumberger Ltd. Common Stock	A	Dividend	J	T					
538. - Goldman Sachs M C Value Cl Inst	A	Dividend	J	T					
539. - Highland Long Short Equity Z	A	Dividend	J	T					
540. - IPath Dow Jones UBS Commodity		None	J	T					
541. - iShares MSCI Emerging Markets ETF	A	Dividend	K	T					
542. - Nuveen Intl. Select Fd Cl 1	A	Dividend			Sold	09/25/12	J	A	
543. - Oppenheimer SR Floating Rate Bond Fund	A	Dividend	J	T	Buy	09/26/12	J		
544. - Scout Int'l Fund	A	Dividend	J	T	Buy	09/26/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. -- TCW Emerging Market Income Fund	A	Dividend	J	T	Buy	09/19/12	J		
546. - T Rowe Price Mid-cap Growth Fd #64		None	J	T	Sold (part)	02/27/12	J	A	
547. - T. Rowe Price Group Common Stock	A	Dividend	J	T	Buy	03/14/12	J		
548. - T. Rowe Price Int'l Bond Fund	A	Dividend	J	T	Buy	09/19/12	J		
549. - SPDR Dow Jones Intl.	A	Dividend	J	T					
550. - TFS Market Neutral Fund		None	J	T					
551. - Nuveen Real Estate Security Class I	A	Dividend	J	T	Buy	03/01/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII, line 3, Potomac Cliffs at Watson House Real Estate Partnership, purchased on 4/20/1987 at cost of \$26,150 (1/2-share).

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas C. Wheeler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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