

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hacker, James S.	2. Court or Organization United States Court-Southern District of Texas	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 1300 Victoria St., Ste. 2317 Laredo, Texas 78040		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Texas A&M International University--teaching	\$1,000.01
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Texas District/County Retirement Account	A	Interest	J	T					
2. IBC Bank, Acct. #1	A	Interest	J	T					
3. IBC Bank, Acct. #2		None	J	T					
4. Security Services Federal Credit Union, Savings Acct. # 1	A	Interest	J	T					
5. Royalty Interest, Gregg County, Texas (Chinn Exploration)	A	Royalty	K	W					
6. Royalty Interest, Panola County, Texas (Sojitz Energy)	A	Royalty	K	W					
7. Royalty Interest, Claiborne Parrish, LA (Whiting Petro.)		None	J	W					
8. Royalty Interest, Freestone County, Texas		None	J	W					
9. Royalty Interest, Rusk County, Texas (Sabine Oil and Gas)	A	Royalty	K	W					
10. Brokerage Account #1 (H)									
11. Scottrade Money Balance	A	Interest	J	T					
12. Texas Tuition Promise Fund (age based account #1)		None	L	T					
13. Texas Tuition Promise Fund (age based account #2)		None	K	T					
14. Texas Tuition Promise Fund (age based account #3)		None	K	T					
15. Texas Tuition Promise Fund (age based account #4)		None	K	T					
16. Texas Tuition Promise Fund (age based account #5)		None	K	T					
17. Texas College Savings Plan (529 account #1) (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Blended 100% portfolio		None	J	T					
19. Blended Age Based 0-6 portfolio		None			Sold	03/11/16	J		
20. Blended Age Based 7-9 portfolio		None	J	T	Buy	03/11/16	J		
21. Index 100% Equity portfolio		None	J	T					
22.									
23. Texas College Savings Plan (529 account #2) (H)									
24. Blended 100% portfolio		None	J	T					
25. Blended Age Based 0-6 portfolio		None	J	T					
26. Index 100% Equity portfolio		None	J	T					
27.									
28. Texas College Savings Plan (529 account #3) (H)									
29. Blended 100% portfolio		None	J	T					
30. Blended Age Based 0-6 portfolio		None	J	T					
31. Index 100% Equity portfolio		None	J	T					
32.									
33.									
34.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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35.									
36.									
37.									
38.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In prior years, in Part I, I have disclosed being employed as an adjunct professor at Texas A&M International University. My last semester teaching was the Fall of 2015. However, for some reason, the university paid \$1,000.01 of the salary in early 2016, which explains the disclosure in Part III-A.

Texas College Savings Plan (529 account #1: Blended 100% Equity Portfolio; Blended Age Base 7-9 Portfolio; and Index 100% Equity Portfolio). Notably, on March 11, 2016, the fund itself automatically shifted the funds from the Blended Age Base 0-6 Portfolio into the Blended Age Base 7-9 Portfolio. Also, there was contribution in each of the three portfolios in this 529 account under \$1,000 on 05/04/16. The statements for the 529 account only reflect a "change in market value."

Texas College Savings Plan (529 account #2: Blended 100% Equity Portfolio; Blended Age Base 0-6 Portfolio; and Index 100% Equity Portfolio). There was a contribution in each of the three portfolios in this 529 account under \$1,000 on 05/04/16. The statements for the 529 account only reflect a "change in market value."

Texas College Savings Plan (529 account #3: Blended 100% Equity Portfolio; Blended Age Base 0-6 Portfolio; and Index 100% Equity Portfolio). There was a contribution in each of the three portfolios in this 529 account under \$1,000 on 05/04/16. The statements for the 529 account only reflect a "change in market value."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James S. Hacker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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