

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Miller, Jr., Charles S.	2. Court or Organization District of North Dakota	3. Date of Report 05/06/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 220 East Rosser Ave P.O. Box 670 Bismarck, ND 58502		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director and President	Missouri Valley YMCA
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank Accounts	A	Interest	J	T					
2.									
3.									
4. Brokerage #1									
5. --RTK--Common Stock			J	T					
6. --Fidelity Brokerage Services LLC Cash Account	A	Interest	J	T					
7.									
8.									
9. Brokerage #2									
10. --Schwab Money Market Fund			J	T					
11.									
12.									
13. IRA #1	C	Dividend	M	T					
14. --Fidelity Contrafund									
15. --Fidelity Puritan Fund									
16.									
17.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA #2	A	Int./Div.	L	T					
19. --Schwab Money Market Fund									
20. --Schwab Cash Account									
21. --American Fds Int Growth & Income Fd					Buy	01/17/14	J		
22.					Buy (add'l)	03/14/14	J		
23.					Buy (add'l)	06/24/14	J		
24.					Sold	07/17/14	J	A	
25. --Artisan International Fund					Sold	01/31/14	J		
26. --ProFunds Rising US Dollar Fd					Buy	08/15/14	J		
27.					Sold	09/19/14	J	A	
28. --ProFunds Ultra Japan Fund					Sold	01/13/14	J		
29. --ProFunds Ultra Small-Cap Fd					Buy	12/23/14	J		
30. --ProFunds UltraSector Health Care Fd					Buy	10/31/14	J		
31. --ProFunds Ultra Utilities Fd					Buy	08/28/14	J		
32.					Buy (add'l)	12/30/14	J		
33. --Rydex Energy Fd					Buy	03/26/14	J		
34.					Sold	09/03/14	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. --Rydex Health Care Fund					Buy	10/14/14	J		
36. --Rydex Leisure Fund					Sold	01/17/14	J	B	
37. --Rydex Nasdaq 100 Fund					Buy	02/14/14	J		
38.					Sold	03/27/14	J		
39.					Buy	05/23/14	J		
40.					Sold	10/14/14	J	A	
41. --Rydex Precious Metals Fund					Buy (add'l)	05/23/14	J		
42.					Buy (add'l)	11/18/14	J		
43.					Sold	12/16/14	J		
44. --Rydex Real Estate FD					Buy	01/31/14	J		
45.					Sold	05/23/14	J	A	
46.					Buy	10/24/14	J		
47.					Buy (add'l)	11/18/14	J		
48. --Rydex S&P 500 Index Fd					Buy (add'l)	12/09/14	J		
49. --Rydex S&P 500 Pure Growth Fd					Sold (part)	03/27/14	J	A	
50.					Sold	04/08/14	J	B	
51. --Rydex S&P Mid Cap 400 Pure Value Fd					Buy	12/31/14	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. --Schwab S&P 500 Fd					Buy	10/03/14	J		
53.					Buy (add'l)	12/16/14	J		
54. --SunAmerica Focused Dividend Strategy Fd					Sold	03/14/14	J	A	
55. --Thornburg Global Oppty Fd					Buy	04/25/14	J		
56.					Sold	09/11/14	J	A	
57. --Tocqueville Gold Fund					Buy (add'l)	04/25/14	J		
58. --					Sold	12/17/14	J		
59.									
60. SEP [REDACTED]	A	Int./Div.	L	T					
61. --(Same funds and transactions as IRA #2)									
62.									
63.									
64. Wells Fargo Rollover C/F - Rollover IRA	E	Int./Div.	O	T					
65. --Wells Fargo Cash Sweep Account									
66. --Vanguard Energy ETF (VDE)									
67. --Vanguard Intermediate Bond ETF (BIV)					Buy	07/23/14	K		
68. --AAPL					Buy (add'l)	02/27/14	J		

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69.					Buy (add'l)	04/02/14	J		
70.					Buy (add'l)	04/16/14	K		
71.					Sold (part)	07/23/14	L	E	
72. --ABT									
73. --BKS									
74. --BMO					Sold	07/23/14	K	C	
75. --BNS					Buy (add'l)	02/24/14	J		
76. --CAG									
77. --CELG					Buy	04/02/14	J		
78.					Buy (add'l)	04/16/14	J		
79.					Sold	07/23/14	K	D	
80. --CSCO									
81. --EMR									
82. --ETN					Sold (part)	07/23/14	J	C	
83. --FCX									
84. --GIS									
85. --HRL									

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86. --INTC									
87. --JNJ									
88. --KO					Sold	07/23/14	K	D	
89. --LEG									
90. --MCD									
91. --MMM					Sold (part)	07/23/14	J	D	
92. --NAQ					Spinoff (from line 93)	08/15/14	J		
93. --NAT					Buy (add'l)	04/16/14	J		
94. --NSC									
95. --PG									
96. --RY									
97. --SJM									
98. --YYY									
99. --TD					Buy	06/16/14	J		
100. --TRGT					Buy (add'l)	03/27/14	J		
101. --TRST									
102. --UNP					Sold (part)	07/23/14	J	D	

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103. --UTX									
104. --XLNX									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII

Line 119 - error in omitting acquisition in October 2012. Error did not affect the totals for the Rollover IRA for 2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles S. Miller, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544