

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Batchelder, Alice M.	2. Court or Organization 6th Cir. Ct. of Appeals	3. Date of Report 05/01/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address [REDACTED] [REDACTED]		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Intellectual Property Law & Technology Advisory Council - University of Akron School of Law
2. Member	Board of Trustees - Grove City College - see note 1
3. Member	Board of Directors - Foundation for Research on Economics and the Environment
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Batchelder, Alice M.

Date of Report

05/01/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2016

OPERS - pension

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Univ. of Notre Dame Law
School

3/2-3/3

Univ. of Notre Dame,
Indiana

Moot Court judge

air fare and hotel

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Batchelder, Alice M.

Date of Report

05/01/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	First Nat'l Bank of Wyoming	Mtge/Note on Property # 21 (see note 2)	K
2.	Westfield Bank	Mtge/Note on Properties 1, 8, 14, 20	M
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Batchelder, Alice M.

Date of Report

05/01/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Account # 1 -- IRA	B	Dividend	L	W					
2. - Sequoia Financial Group Cash Account	A	Interest	J	W					
3. CORP PIMCO Investment Grade Corp. Bond	A	Dividend	J	W					
4. SCHE Schwab Emerging Markets	A	Dividend	J	W	Buy	05/02/17	J		
5. SCHR Schwab Intermediate Term	A	Dividend	J	W					
6. SCHC Schwab Int'l Small	A	Dividend	J	W	Buy	11/10/17	J		
7. SCHZ Schwab US aggregate bond	A	Dividend	J	W					
8. SCHV Schwab US Large Cap	A	Dividend	J	W					
9. SCHX Schwab US Large Cap	A	Dividend	J	W	Buy	02/06/17	J		
10. SCHA Schwab US Small Cap	A	Dividend	J	W	Buy	02/06/17	J		
11. RWO Spdr Dow Jones Global	A	Dividend	J	W	Buy	02/06/17	J		
12. CWI/ SPDR MSCI ACWI EX-US	A	Dividend	J	W	Buy	02/06/17	J		
13. ACIM/SPDR MSCI ACWI IMI ETF	A	Dividend	J	W					
14. AGGY Wisdomtree Barclays Yield US	A	Dividend	J	W					
15. GNR/SPDR S+P Global Nat'l	A	Dividend	J	W					
16. GII SPDR S&P Global Infrastructure	A	Dividend	J	W					
17. SCHX/Schwab US Large Cap					Sold	11/08/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. ACIM/SPDR MSCI ACWI IMI					Sold	11/08/17	J	A	
19. CWI/SPDR MSCI ACWI EX-US					Sold	11/08/17	J	A	
20. RWD/SPDR Dow Jones Global real					Sold	05/02/17	J	A	
21. GNR/SPDR S+P /Global Nat'l					Sold	02/06/17	J	A	
22. SCHZ/Schwab Aggregate Bond					Sold	02/06/17	J	A	
23. ACIM/SPDR MSCI ACWI IMI					Sold	02/06/17	J	A	
24. Account #2 - IRA	C	Dividend	M	W					
25. -Sequoia Financial Group Cash Account	A	Interest	J	W					
26. CORP/AMCO Invest Grade Corp	A	Dividend	J	W					
27. SCHE/ Schwab Emerging Markets	A	Dividend	J	W	Buy	05/02/17	J		
28. SCHR/ Schwab Intermediate term	A	Dividend	J	W					
29. SCHC/ Schwab Intern'l Small	A	Dividend	J	W	Buy	11/08/17	J		
30. SCHZ/ Schwab US aggregate bond	A	Dividend	J	W					
31. SCHV/ Schwab US Large Cap	A	Dividend	J	W					
32. SCHX/ Schwab US Large Cap	A	Dividend	K	W	Buy	02/06/17	J		
33. SCHA/Schwab US Small Cap	A	Dividend	J	W	Buy	02/06/17	J		
34. RWO SPDR Dow Jones Global Real Estate	A	Dividend	J	W	Buy	02/06/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. CWI SPDR MSCI ACWI Ex-US	A	Dividend	K	W	Buy	02/06/17	J		
36. ACIM SPDR MSCI ACWI IMI	A	Dividend	K	W					
37. GII/ SPDR S&P Global Infrastructure	A	Dividend	J	W					
38. GNR SPDR Global Natural Resources	A	Dividend	J	W					
39. AGGY Wisdomtree Barclays yield US	A	Dividend	J	W					
40. CWI/SPDR MSCI ACWI EX-US					Sold	11/08/17	J	A	
41. ACIM/SPDR MSCI ASWI IMI					Sold	11/08/17	J	A	
42. SCHX/Schwab US Large Cap					Sold	11/08/17	J	A	
43. GNR/SPDR S+P Global Natural Res					Sold	02/06/17	J	A	
44. ACIM/SPDR MSCI ACWI IMI					Sold	02/06/17	J	A	
45. RWD/SPDR Dow Jones Global Real					Sold	05/02/17	J	A	
46. SCHZ/Schwab US Aggregate Bond					Sold	02/06/17	J	A	
47. Account #3 - IRA	B	Dividend	L	W					
48. - Sequoia Financial Group Cash Account	A	Dividend	J	W					
49. GWPEX American Funds Growth	A	Dividend	J	W					
50. IVW I Shares S&P 500 Growth	A	Dividend	J	W					
51. BLPFX/American Moderate Growth	A	Dividend	J	W	Buy	01/31/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. Real Estate (H) - see Part VIII, note 4									
53. Prop. # 1 -- duplex -- Medina, Ohio	D	Rent	M	Q					
54. Prop. # 2 -- 2-family -- Medina, Ohio (1/3 interest)	C	Rent	K	Q					
55. Prop. # 3 -- Single family -- Medina, Ohio (1/3 interest)	C	Rent	K	Q					
56. Prop. # 4 -- 4 duplex units -- Medina, Ohio (1/2 interest)	D	Rent	M	Q					
57. Prop. # 5 -- 2 duplex units -- Medina, Ohio (1/2 interest)	D	Rent	L	Q					
58. Prop. # 6 -- 2-fam. & 3-fam. Houses -- Medina, OH (1/2 int)	D	Rent	L	Q					
59. Prop. # 7 -- Apartments -- Medina, Ohio (1/3 interest)	E	Rent	N	Q					
60. Prop. # 8 -- 2 duplex units -- Medina OH	D	Rent	M	Q					
61. Prop. # 9 -- Farm -- Lodi, OH	D	Rent	O	Q					
62. Prop. # 10 -- hog feeder, Lodi, OH (1/5 int)		None	J	W					
63. Prop. # 11 -- single family -- Medina OH	D	Rent	M	Q					
64. Prop. # 12 -- single family -- Medina, OH (1/2 interest)	C	Rent	K	Q					
65. Prop. # 13 -- Commercial bldg -- Medina OH (2/3 interest)	E	Rent	N	Q					
66. Prop. # 14 -- duplex -- Medina, OH	E	Rent	M	Q					
67. Prop. # 15 -- vacant lot -- Medina, OH (1/2 interest)		None	K	Q					
68. Prop. # 16 -- Vacant land -- Medina, OH		None	M	Q					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Batchelder, Alice M.

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69. Prop. # 17 -- single family -- Medina, OH	D	Rent	M	Q					
70. Prop. # 18 -- single family -- Medina OH (1/2 interest)	B	Rent	K	W					
71. Prop. # 19 -- commercial bldg -- Medina OH	E	Rent	M	Q					
72. Prop. # 20 -- Comm. Bldg with apt. -- Medina OH	D	Rent	M	Q					
73. Prop. # 21 -- Vacant land -- Big Horn County, WY	A	Rent	N	W					
74. Misc. (H)									
75. Farmers Savings Bank accounts	B	Interest	M	T					
76. Huntington National Bank accounts	A	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Batchelder, Alice M.

Date of Report

05/01/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1: The "audit" feature advises me that I should list the assets of the entity of which I am a trustee. I am assuming that this is not true when that entity is an educational institution, and the audit has simply detected the term "trust" in the item. Otherwise, this requirement is ridiculous, and I cannot comply with it.

Note 2: Real Estate assets in Sec. VII whose value method is reported as "Q": I have again used the Medina County Auditor's most recent appraisal figures. I am unable to provide the date of the appraisal in any of these cases because it was not provided to me. These appraisals are the basis for our real estate taxes.

Note 3: Re: Reimbursements -- I continue to participate in Blackstone and events of organizations such as James Wilson Institute, but I do not accept any reimbursement for my expenses.

Note 4: Could the Committee arrange to have the font on this report made a little smaller? If I smash my nose against my monitor screen, I can read it, but it's hard to use the keyboard that way. If it were just a little smaller, maybe you could goad me into retiring. . .

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Batchelder, Alice M.

Date of Report

05/01/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alice M. Batchelder

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544