

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Grimm, Paul W.	2. Court or Organization U.S. District Court, District of Maryland	3. Date of Report 05/01/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge (active status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Chambers 465A U.S. Courthouse 6500 Cherrywood Lane Greenbelt, MD 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Instructor, part-time	Shemer Bar Review (lecturer)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Shemer Bar Review, lecturer	\$4,760.00
2. 2016	ABA-MD Discovery Problems Solutions, royalties	\$163.67
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Dietician-Communicare
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. ALI	07/28-07/31	Santa Fe, NM	CLE Employment Law Conference	travel expenses
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. M&T Bank accounts	A	Interest	L	T					
2. AXA Equitable TSA (Equi-Vest)		None	L	T					
3. Vanguard GNMA Fund IRA	A	Dividend			Sold	01/14/16	L		
4. Lincoln Multi-Fund (Johns Hopkins Hosp Retirement)	A	Dividend			Sold	04/26/16	K		
5. Fidelity Cash Reserves Roth	A	Dividend	J	T					
6. Fidelity Cash Reserves Roth	A	Dividend	J	T					
7. T Rowe Price Government Money (formerly TRP Prime Reserve) (Y)	A	Dividend	L	T					
8. IRA - MORGAN STANLEY (#1) (H):									
9. - Calamos Strategic Total Return Fund	A	Dividend			Sold	01/13/16	J		
10. - Goldman Sachs Tr Equity Growth Strategy		None			Sold (part)	02/17/16	J	C	
11.					Sold	02/22/16	J	B	
12. - RBC Bank Deposit Program (Y)		None			Merged (with line 45)	01/06/16	J		
13. - Invesco Equity & Income		None			Sold (part)	02/16/16	K	C	
14.					Sold	02/22/16	K	A	
15. - MacQuarie 1st Tr Global Infrastructure Div/Inc		None			Sold	01/13/16	J		
16. - Canoe EIT Income Fund		None			Sold	01/13/16	J		
17. - Cohen & Steers Infrastructure Fund		None			Sold	01/13/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - John Hancock Tax Advntgd Div/ Inc	A	Dividend			Sold	01/13/16	K	B	
19. - Citicorp Mortgage Secs Tr Ser 07-5-IA3	A	Interest			Sold	03/25/16	J	A	
20. - Bank of America Mtge Sec Ser 07-3-2A8	A	Interest			Sold	03/25/16	J	A	
21. - Wells Fargo Mtge Bkd Sec 07-10-II-A-5	A	Interest			Sold	01/25/16	J		
22. - Countrywide Home Loan Alt Loan 04-28CB3-A-1	A	Interest			Sold	01/25/16	J		
23. - Mastr Alt Loan Trust Ser 05-3-2-A-1	A	Interest			Sold	01/25/16	J		
24. - Mastr Alt Loan Trust Ser 05-3-7-A-1	A	Interest			Sold	01/25/16	J		
25. - Alternative Loan Trust 05-9CB-2-A-1	A	Interest			Sold	01/11/16	J		
26. - Alternative Loan Trust 05-47CBA-7	A	Interest			Sold	03/25/16	J	A	
27. - 1st Horizon Alt Mtge Ser 05-FA8 I-A-14	A	Interest			Sold	03/25/16	J	A	
28. - Wells Fargo Mtge Bkd Sec 06-6 I-A-2	A	Interest			Sold	03/25/16	J	A	
29. - Mastr Alt Loan Tr 05-2 I-A-4	A	Interest			Sold	03/03/16	J		
30. - Mastr Alt Loan Tr 04-13 7-A-1	A	Interest			Sold	03/16/16	J		
31. - Alternative Loan Tr 04-18CB5-A-1	A	Interest			Sold	01/25/16	J	A	
32. - CSFB Mtge Bkd 04-8 II-A-1	A	Interest			Sold	03/02/16	J	A	
33. - Bear Stearns Asset Bk Sec Tr 04-AC3 A-1	A	Interest			Sold	03/01/16	J		
34. -CWalt Alt Loan Tr 04-24CB1-A-1	A	Interest			Sold	01/25/16	J		

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35. -1st Horizon Mtge 06-1 1-A-10	A	Interest			Sold	03/25/16	J	A	
36. -Structured Assets Sec 03-40A 5-A	A	Interest			Sold	03/25/16	J	A	
37. -CitiFinancial Mtge Sec 04-1AF-4	A	Interest			Sold	03/09/16	K		
38. -Clearbridge American Energy MLP Fund Inc		None			Sold	01/13/16	J		
39. -Mortgage Pass Thru 03-39EXM3		None			Sold	03/25/16	J	A	
40. -Residential Asset Sec Tr 05-A6	A	Interest			Sold	03/25/16	J	A	
41. -Alternative Loan Trust 05-J8 1-A-5	A	Interest			Sold	03/25/16	J	A	
42. -CWABS Asset-Bkd Cert Tr 05-4	A	Interest			Sold	03/09/16	K		
43. -Adjustable Rate Mtge Tr 05-3 4A1	A	Interest			Sold	03/25/16	J	A	
44. -Banc Amer Fdg Corp Ser 04-1 B-1	A	Interest			Sold	06/06/16	J		
45. - Morgan Stanley Bank	A	Interest	L	T					
46. -Hatteras Alpha Hedged Str Inst		None			Buy	01/13/16	J		
47.					Buy (add'l)	02/02/16	J		
48.					Sold	02/25/16	J		
49. -JP Morgan International Value Sel		None			Buy	01/13/16	J		
50.					Buy (add'l)	02/02/16	J		
51.					Buy (add'l)	05/19/16	J		

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52.					Buy (add'l)	06/24/16	J		
53.					Sold	08/31/16	J	A	
54. -T Rowe Price Value Fund Adv		None			Buy	01/13/16	J		
55.					Buy (add'l)	02/02/16	J		
56.					Buy (add'l)	05/19/16	J		
57.					Buy (add'l)	06/24/16	J		
58.					Sold	11/29/16	J	B	
59. -William Blair International Growth		None			Buy	01/13/16	J		
60.					Buy (add'l)	02/02/16	J		
61.					Buy (add'l)	05/19/16	J		
62.					Buy (add'l)	06/24/16	J		
63.					Sold	08/31/16	J	A	
64. -iShares S&P 500 Growth ETF	A	Dividend	J	T	Buy	01/13/16	J		
65.					Buy (add'l)	02/02/16	J		
66.					Buy (add'l)	05/19/16	J		
67.					Buy (add'l)	06/24/16	J		
68. -iShares S&P 500 Value ETF	A	Dividend	J	T	Buy	01/13/16	J		

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69.					Buy (add'l)	02/02/16	J		
70.					Buy (add'l)	05/19/16	J		
71.					Buy (add'l)	06/24/16	J		
72. -iShares S&P Mid-Cap 400 V ETF	A	Dividend	J	T	Buy	01/13/16	J		
73.					Buy (add'l)	02/02/16	J		
74.					Buy (add'l)	05/19/16	J		
75.					Buy (add'l)	06/24/16	J		
76. -Oppenheimer Large Cap Revenue		None	J	T	Buy	11/29/16	J		
77. -Powershares S&P 500 Low Volatility ETF	A	Dividend	J	T	Buy	02/25/16	J		
78.					Buy (add'l)	05/19/16	J		
79.					Buy (add'l)	06/24/16	J		
80. -SPDR Bbg Barclays 1-3 Month-B	A	Dividend	L	T	Buy	06/17/16	L		
81. -Vanguard FTSE Developed Markets ETF	A	Dividend	J	T	Buy	08/31/16	J		
82. -American Century Emerging Markets Inv	A	Dividend	J	T	Buy	01/13/16	J		
83.					Buy (add'l)	02/02/16	J		
84.					Buy (add'l)	05/19/16	J		
85.					Buy (add'l)	06/24/16	J		

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86. -Baron Small Cap Institutional		None	J	T	Buy	01/13/16	J		
87.					Buy (add'l)	02/02/16	J		
88.					Buy (add'l)	05/19/16	J		
89.					Buy (add'l)	06/24/16	J		
90. -Cohen & Steers Real Assets I	A	Dividend	J	T	Buy	01/13/16	J		
91.					Buy (add'l)	02/02/16	J		
92.					Buy (add'l)	05/19/16	J		
93.					Buy (add'l)	06/24/16	J		
94. -Goldman Sachs Strategic Income I	A	Dividend	J	T	Buy	01/13/16	J		
95.					Buy (add'l)	02/02/16	J		
96.					Buy (add'l)	05/19/16	J		
97.					Buy (add'l)	06/24/16	J		
98. -Goldman Sachs Absolute Return Tracker	A	Dividend	K	T	Buy	01/13/16	J		
99.					Buy (add'l)	02/02/16	J		
100.					Buy (add'l)	02/25/16	J		
101.					Buy (add'l)	05/19/16	J		
102.					Buy (add'l)	06/24/16	J		

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103. -Henderson International Opportunity	A	Dividend	J	T	Buy	08/31/16	J		
104. -Neuberger Berman Core Bond Inst	A	Dividend	K	T	Buy	01/13/16	J		
105.					Buy (add'l)	02/02/16	J		
106.					Buy (add'l)	05/19/16	J		
107.					Buy (add'l)	06/24/16	J		
108. -Prudential Jennison Mid Cap Growth Z		None	J	T	Buy	01/13/16	J		
109.					Buy (add'l)	02/02/16	J		
110.					Buy (add'l)	05/19/16	J		
111.					Buy (add'l)	06/24/16	J		
112. -Prudential Absolute Return Bond Z	A	Dividend	J	T	Buy	01/13/16	J		
113.					Buy (add'l)	02/02/16	J		
114.					Buy (add'l)	05/19/16	J		
115.					Buy (add'l)	06/24/16	J		
116. -Royce Opportunity Inv		None	J	T	Buy	01/13/16	J		
117.					Buy (add'l)	02/02/16	J		
118.					Buy (add'l)	05/19/16	J		
119.					Buy (add'l)	06/24/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Grimm, Paul W.

Date of Report

05/01/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Touchstone Sands Capital Select Growth Y		None	J	T	Buy	01/13/16	J		
121.					Buy (add'l)	02/02/16	J		
122.					Buy (add'l)	05/19/16	J		
123.					Buy (add'l)	06/24/16	J		
124. IRA - MORGAN STANLEY (#2) (H):									
125. -iShares S&P Mid Cap 400 Index	A	Dividend	K	T	Buy	03/16/16	J		
126.					Sold	09/14/16	J	A	
127.					Buy	11/16/16	K		
128. -SPDR S&P 500 ETF Trust	A	Dividend	J	T	Buy	02/22/16	J		
129.					Buy (add'l)	03/03/16	J		
130.					Buy (add'l)	03/24/16	J		
131.					Sold (part)	11/03/16	J	A	
132. -Schwab U.S. Large Cap Value	A	Dividend	K	T	Buy	03/15/16	J		
133.					Sold (part)	11/08/16	J	A	
134.					Buy (add'l)	03/29/16	J		
135.					Buy (add'l)	06/01/16	J		
136. -Schwab US Mid Cap ETF	A	Dividend	K	T	Buy	10/26/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Grimm, Paul W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	11/16/16	J		
138. -Vanguard Russell 1000 Value ETF	A	Dividend	K	T	Buy	11/15/16	K		
139. -Vanguard Index Funds S&P 500 ETF	A	Dividend	K	T	Buy	07/08/16	J		
140.					Buy (add'l)	11/17/16	K		
141. -Vanguard S&P Mid Cap 400 ETF	A	Dividend			Buy	03/09/16	J		
142.					Sold (part)	06/29/16	J	A	
143.					Buy (add'l)	03/23/16	J		
144.					Sold (part)	11/02/16	J	A	
145.					Buy (add'l)	07/07/16	J		
146.					Sold	11/08/16	J	A	
147. -S&P 500 Index	A	Dividend			Buy	03/17/16	J		
148.					Sold	09/15/16	J	A	
149. -SPDR DJIA Trust	A	Dividend			Buy	02/22/16	J		
150.					Buy (add'l)	03/08/16	J		
151.					Sold	05/24/16	J	A	
152.					Buy	03/22/16	J		
153.					Sold	06/21/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Grimm, Paul W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy	07/06/16	J		
155.					Sold	09/13/16	J	A	
156. -SPDR S&P Mid Cap 400 ETF Trust	A	Dividend			Buy	02/22/16	J		
157.					Buy (add'l)	03/02/16	J		
158.					Sold	10/19/16	J	A	
159. -SPDR Barclays Capital 1-3 Month		None			Buy	02/22/16	K		
160.					Sold (part)	03/23/16	J		
161.					Sold	03/24/16	K		
162. -Morgan Stanley Bank	A	Interest	J	T	Open	01/12/16	J		
163. IRA - MORGAN STANLEY (#3) (H):									
164. -Morgan Stanley Bank	A	Interest	J	T	Open	01/04/16	L		
165. -Eaton Vance Tax Mg By-WR	A	Dividend	J	T	Buy	01/12/16	J		
166.					Buy (add'l)	01/20/16	J		
167.					Buy (add'l)	06/17/16	J		
168. -iShares Cohen & Steers REIT ETF	A	Dividend	J	T	Buy	01/12/16	J		
169.					Buy (add'l)	01/20/16	J		
170.					Buy (add'l)	06/17/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -iShares iBoxx Invest Grade Corp Bond	A	Dividend	J	T	Buy	01/12/16	J		
172.					Buy (add'l)	01/20/16	J		
173.					Buy (add'l)	06/17/16	J		
174. -Powershares S&P 500 High Dividend	A	Dividend	J	T	Buy	02/04/16	J		
175.					Buy (add'l)	06/17/16	J		
176. -SPDR Barclays Convertible	A	Dividend	J	T	Buy	01/12/16	J		
177.					Buy (add'l)	01/20/16	J		
178.					Buy (add'l)	06/17/16	J		
179. -SPDR S&P Dividend	A	Dividend	J	T	Buy	01/12/16	J		
180.					Buy (add'l)	01/20/16	J		
181.					Buy (add'l)	06/17/16	J		
182. -Voya Global Advantage	B	Dividend	J	T	Buy	01/12/16	J		
183.					Buy (add'l)	01/20/16	J		
184.					Buy (add'l)	06/17/16	J		
185. -AB High Income Adv	A	Dividend	J	T	Buy	01/12/16	J		
186.					Buy (add'l)	01/20/16	J		
187.					Buy (add'l)	06/17/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Grimm, Paul W.

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05/01/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Goldman Sachs Strategic Income	A	Dividend	J	T	Buy	01/12/16	J		
189.					Buy (add'l)	01/20/16	J		
190.					Buy (add'l)	06/17/16	J		
191. -Henderson Global Income	A	Dividend	J	T	Buy	09/14/16	J		
192. -Principal Preferred Sec Inst.	A	Dividend	J	T	Buy	01/12/16	J		
193.					Buy (add'l)	01/20/16	J		
194.					Buy (add'l)	06/17/16	J		
195. -Prudential Absolute Return Bond Z	A	Dividend	J	T	Buy	01/12/16	J		
196.					Buy (add'l)	01/20/16	J		
197.					Buy (add'l)	06/17/16	J		
198. -iShares Russell 1000 Value ETF		None			Buy	01/12/16	J		
199.					Buy (add'l)	01/20/16	J		
200.					Sold	02/04/16	J	A	
201. -iShares Select Dividend ETF	A	Dividend			Buy	01/12/16	J		
202.					Buy (add'l)	01/20/16	J		
203.					Buy (add'l)	06/17/16	J		
204.					Sold	09/14/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 7: T. Rowe Price Prime Reserve: name change to T Rowe Price Government Money.

Part VII, line 12: RBC Bank Deposit Program: all cash originally held at RBC was transferred to Morgan Stanley on January 6, 2016 and January 20, 2016. The Morgan Stanley Bank account is listed on line 45.

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Name of Person Reporting

Grimm, Paul W.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul W. Grimm

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544