

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Peterson, James D.	2. Court or Organization District Court - Western Wisconsin	3. Date of Report 05/14/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - active status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 12/23/2013 to 12/31/2014
7. Chambers or Office Address 120 North Henry Street, Room 560 Madison, WI 53703		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Shareholder (to April 30, 2014)	Godfrey & Khan, S.C.
2. Co-trustee	Trust No. 1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1998-1999	Wisconsin Retirement System Plan; balance payable at retirement
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Peterson, James D.

Date of Report

05/14/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Godfrey & Kahn, S.C. - salary	\$95,000.00
2. 2013	Godfrey & Kahn, S.C. - salary	\$112,702.84
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Associated Banc-Corp - salary and bonus
2. 2013	Associated Banc-Corp - salary and bonus
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Peterson, James D.

Date of Report

05/14/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Peterson, James D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ally Bank cash account	A	Interest	L	T					
2. Associated Bank cash account	A	Interest	J	T					
3. UW Credit Union cash account	A	Interest	L	T					
4. Promissory Note - Godfrey & Khan, S.C.	B	Interest			Redeemed	04/30/14	K		
5. Associated Banc-Corp Stock	A	Dividend	L	T					
6. BMO Financial Group Stock	A	Dividend	K	T					
7. Fidelity National Information Stock	A	Dividend	J	T					
8. American Funds EuroPacific Growth Fund	A	Int./Div.	J	T					
9. BMO Short-Intermediate Bond Fund	B	Int./Div.			Sold	12/31/14	M		
10. BMO TCH Intermediate Income Fund	A	Int./Div.	M	T	Buy	12/31/14	M		
11. Columbia Acorn Fund	E	Int./Div.	N	T					
12. Columbia Mid Cap Value Fund	B	Int./Div.	J	T					
13. CREF Stock		None	L	T					
14. Dodge & Cox Stock Fund	A	Int./Div.	K	T					
15. Fidelity Government Money Market Fund	A	Int./Div.	J	T					
16. Fidelity Small Cap Value Fund	A	Int./Div.	J	T					
17. Franklin Templeton Moderate Allocation Fund	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Peterson, James D.

Date of Report

05/14/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Harbor International Institutional Fund	A	Int./Div.	K	T					
19. Spartan 500 Index Fund	A	Int./Div.	M	T					
20. Spartan Mid Cap Index Fund	A	Int./Div.	J	T					
21. Spartan Small Cap Index Fund	A	Int./Div.	J	T					
22. T. Rowe Price Growth Stock Fund	A	Int./Div.	K	T					
23. Vanguard Institutional Index Fund	A	Int./Div.	M	T					
24. Vanguard Balanced Index Fund	D	Int./Div.	N	T					
25. Godfrey & Kahn, S.C. Stock		None			Redeemed	04/30/14	K		
26. GK Phenomenelle Fund LLC Membership		None	K	U					
27. 2011 Water Street Investors I		None			Sold	04/30/14	J		
28. 2011 Water Street Investors II		None			Sold	04/30/14	J		
29. Northwestern Mutual Universal Life	A	Interest			Redeemed	08/30/14	J		
30. Vanguard 500 Index Fund	A	Int./Div.	J	T					
31. Alger Spectra Fund	A	Int./Div.							
32. Nuveen Real Estate Securities Fund	A	Int./Div.							

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FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Peterson, James D.

Date of Report

05/14/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Trust No. 1 has no reportable assets.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Peterson, James D.

Date of Report

05/14/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James D. Peterson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544