

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Senechal, Alice R.	2. Court or Organization District of North Dakota (NE)	3. Date of Report 04/11/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Part-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address PO Box 5576 Grand Forks, ND 58206-5576		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Employee, Shareholder, President	Robert Vogel Law Office, P.C.
2. North Dakota Board of Law Examiners	Member
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Note	Robert Vogel Law Office, P.C., employee compensation	\$47,820.00
2. Note	University of North Dakota, employee compensation	\$7,000.02
3. Note	North Dakota Board of Law Examiners, member per diem	\$925.00
4. Note	Sharon Lutheran Church, employee compensation	\$159.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Robert Vogel Law Office, P.C.	1/8/2013	Harvey, ND	Litigation activity	Transportation
2. Robert Vogel Law Office, P.C.	1/24/2013	Harvey, ND	Litigation activity	Transportation
3. Robert Vogel Law Office, P.C.	1/27-28/2013	Minneapolis, MN	Litigation activity	Transportation, meals
4. Robert Vogel Law Office, P.C.	2/8/2013	Fargo, Harvey, ND	Litigation activity	Transportation
5. Robert Vogel Law Office, P.C.	4/5/2013	Minot, ND	Litigation activity	Transportation

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6.	Robert Vogel Law Office, P.C.	4/11/2013	Harvey, ND	Litigation activity	Transportation
7.	Robert Vogel Law Office, P.C.	4/17/2013	Grafton, ND	Litigation activity	Transportation
8.	Robert Vogel Law Office, P.C.	4/30/2013	Harvey, ND	Litigation activity	Transportation
9.	Robert Vogel Law Office, P.C.	5/2-3/2013	Rochester, MN	Litigation activity	Transportation, meals, lodging
10.	Robert Vogel Law Office, P.C.	5/29/2013	Grafton, ND	Litigation activity	Transportation
11.	Robert Vogel Law Office, P.C.	6/11/2013	Crookston, MN	Litigation activity	Transportation
12.	Robert Vogel Law Office, P.C.	7/11/2013	Minot, ND	Litigation activity	Transportation
13.	Robert Vogel Law Office, P.C.	7/17/2013	Fargo, ND	Litigation activity	Transportation
14.	Robert Vogel Law Office, P.C.	7/18/2013	Fargo, ND	Litigation activity	Transportation
15.	Robert Vogel Law Office, P.C.	7/25/2013	Devils Lake, ND	Litigation activity	Transportation
16.	Robert Vogel Law Office, P.C.	9/4/2013	Harvey, ND	Litigation activity	Transportation
17.	Robert Vogel Law Office, P.C.	9/13/2013	Fargo, ND	Litigation activity	Transportation
18.	Robert Vogel Law Office, P.C.	9/24/2013	Crookston, MN	Litigation activity	Transportation
19.	Robert Vogel Law Office, P.C.	9/28/2013	Minot, ND	Litigation activity	Transportation
20.	Robert Vogel Law Office, P.C.	10/1-2/2013	Minot ND	Litigation activity	Transportation, meals, lodging
21.	Robert Vogel Law Office, P.C.	10/15-16/2013	Newark, NJ	Litigation activity	Transportation, meals, lodging
22.	Robert Vogel Law Office, P.C.	10/22-23/2013	Minneapolis, MN	Litigation activity	Transportation, meals
23.	Robert Vogel Law Office, P.C.	10/30/2013	Crookston, MN	Litigation activity	Transportation
24.	Robert Vogel Law Office, P.C.	11/1/2013	Jamestown, ND	Litigation activity	Transportation
25.	Robert Vogel Law Office, P.C.	11/20-21/2013	Rugby, ND	Litigation activity	Transportation, meals, lodging
26.	Robert Vogel Law Office, P.C.	11/25/2013	Bismarck, ND	Meeting	Transportation, meals, lodging

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27.	Federal Magistrate Judges Association	3/3-4/2013	Washington, DC	Meeting	Lodging
28.	National Conference of Bar Examiners & State Board of Law Examiners	4/18-22/2013	Boston, MA	Educational conference	Transportation, meals, lodging
29.	State Board of Law Examiners	4/3-4/2013	Bismarck, ND	Meeting	Transportation, meals, lodging
30.	State Board of Law Examiners	5/8-9/2013	Bismarck, ND	Meeting	Transportation, meals, lodging
31.	State Board of Law Examiners	9/26-27/2013	Bismarck, ND	Meeting	Transportation, meals, lodging
32.	State Board of Law Examiners	11/18/2013	Fargo, ND	Meeting	Transportation
33.	Infinity Project	6/20-21/2013	Des Moines, IA	Meeting	Transportation, meals, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Gate City Bank Accounts	B	Interest	L	T					
2. Hewlett Packard, f/k/a, Compaq Computer Corp Common Stock	A	Dividend	J	T					
3. Robert Vogel Law Office, P.C., Stock		None	J	U					
4. Real Estate, McHenry County, ND	D	Rent	M	W					
5. Thrivent Financial Life Insurance Policy		None	K	T					
6. Thrivent Large Cap Stock Fund	C	Dividend	J	T					
7. United States Savings Bonds	A	Interest	J	T					
8. TD Waterhouse MMF Municipal Portfolio	A	Dividend	J	T					
9. ISHARES Trust (Y)									
10. DFA Emerging Markets Core Equity Fund	B	Dividend	L	T					
11. DFA International Sustainability Care I Fund	B	Dividend	L	T					
12. DFA US Targeted Value Portfolio	B	Dividend	L	T					
13. Vanguard International Value Fund	A	Dividend	L	T					
14. Vanguard REIT Index Fund Admiral Shares	A	Dividend	L	T					
15. Mission Investment Fund	A	Interest	K	T					
16. American Century Equity Income - A	D	Dividend	M	T					
17. T.Rowe Price Total Equity Market Index	E	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Federated High Yield Trust SS	B	Dividend	L	T					
19. Federated Total Return Bond Svc	B	Dividend	M	T					
20. Robert Vogel Law Office, P.C. (Y)									
21.									
22.									
23.									
24.									
25.									
26.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III, Line 1, 1/1/2013 - 12/31/2013

Part III, Line 2, 6/1/2013 - 9/15/2013

Part III, Line 3, 1/1/2013 - 12/31/2013

Part III, Line 4, 7/7/2013 & 8/11/2013

Part VII, line 9, disposed of asset prior to 12/31/2012, which I should have indicated in prior report

Part VII, line 20, asset was short term loan which was repaid fully in 2012, prior report should have indicated zero value as of 12/31/2012

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alice R. Senechal

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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