

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) EATON, RICHARD K.	2. Court or Organization U.S. COURT OF INTERNATIONAL TRADE	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. COURT OF INTERNATIONAL TRADE ONE FEDERAL PLAZA NEW YORK, NY 10278-0001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust # 1 (the assets of Trust # 1 are in two accounts listed as Trust and Trust II)
2. Trustee	Ithaca College Board of Trustees
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Museum of the City of New York -- Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Judicial Conference Committee on the Judicial Branch	June 12-13, 2013	Washington, DC	Judicial Branch Committee Meeting	Transportation, Food and Lodging
2. Judicial Conference Committee on the Judicial Branch	November 13-14, 2013	Washington, DC	Judicial Branch Committee Meeting	Transportation, Food and Lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TIAA-CREF Savings and Investment Plan	D	Dividend	M	T					
2. Schwab Muni Money Fund (Mutual Fund)	C	Interest	K	T					
3. Goldman Sachs Common	A	Dividend	K	T					
4. Schwab 1000 Mutual Fund	A	Dividend	J	T					
5. Berkshire Hathaway Common (Held in IRA)		None	M	T					
6. Google Common (Held in IRA)		None	J	T					
7. ABB Ltd. (Held in IRA)	A	Dividend	J	T					
8. Ace Ltd. Common (Held in IRA)	A	Dividend	J	T					
9. Anadarko Pet (Held in IRA)	A	Dividend	J	T					
10. Apache (Held in IRA)	A	Dividend			Sold	04/16/13	J	A	
11. Apple Common (Held in IRA)	A	Dividend	J	T					
12. Amazon Common (Held in IRA)		None	J	T					
13. American Exp. Common (Held in IRA)	A	Dividend	J	T					
14. Blackrock Inc. Common (Held in IRA)	A	Dividend	J	T					
15. Bristol-Myers Common (Held in IRA)	A	Dividend	J	T	Buy	01/17/13	J		
16. Citigroup Common (Held in IRA)	A	Dividend			Sold	05/23/13	J	A	
17. Chevron Common (Held in IRA)	A	Dividend	J	T	Buy	03/05/13	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Comcast Common (Held in IRA)	A	Dividend	J	T	Buy	03/05/13	J		
19. Costco Common (Held in IRA)	A	Dividend	J	T	Buy	01/10/13	J		
20. Cummins Common (Held in IRA)	A	Dividend	J	T					
21. Emerson Elec. Common (Held in IRA)	A	Dividend	J	T					
22. Exxon Common (Held in IRA)	A	Dividend			Sold	04/04/13	J	A	
23. Estee Lauder Common (Held in IRA)	A	Dividend	J	T	Buy	08/15/13	J		
24. Express Scripts Common (Held in IRA)		None	J	T	Buy	09/10/13	J		
25. Honeywell Common (Held in IRA)	A	Dividend	J	T	Buy	03/05/13	J		
26. Gilead Common (Held in IRA)		None	J	T					
27. Mead Johnson (Held in IRA)	A	Dividend	J	T					
28. Nestle (Held in IRA)	A	Dividend	J	T					
29. Oracle (Held in IRA)	A	Dividend	J	T					
30. Potlatch Common (Held in IRA)	A	Dividend	J	T					
31. Mondelez Common (Held in IRA)	A	Dividend	J	T	Buy	04/16/13	J		
32. Novo-Nordisk Common (Held in IRA)	A	Dividend	J	T	Buy	09/18/13	J		
33. Republic Services Common (Held in IRA)	A	Dividend	J	T					
34. Teva Common Common (Held in IRA)	A	Dividend			Sold	06/04/13	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Diageo Common (Held in IRA)	A	Dividend	J	T					
36. IBM Common (Held in IRA)	A	Dividend	J	T					
37. Johnson Controls (Held in IRA)	A	Dividend	J	T					
38. JP Morgan Common (Held in IRA)	A	Dividend	J	T	Buy	02/19/13	J		
39. Merck (Held in IRA)	A	Dividend	J	T					
40. Ralph Lauren Common (Held in IRA)	A	Dividend	J	T					
41. Plumb Cr. Timber (Held in IRA)	A	Dividend	J	T					
42. Precision Cast. Common (Held in IRA)	A	Dividend	J	T					
43. Nuance Com. Common (Held in IRA)	A	Dividend			Sold	03/19/13	J	A	
44. Qualcomm Common (Held in IRA)	A	Dividend	J	T					
45. Rockwell Aut. Common (Held in IRA)	A	Dividend	J	T					
46. Schlumberger Common (Held in IRA)	A	Dividend	J	T					
47. Vodafone ADR (Held in IRA)	A	Dividend	J	T					
48. United Tech Common (Held in IRA)	A	Dividend	J	T	Buy	09/19/13	J		
49. Wisdomtree ETF (Held in IRA)	A	Dividend	J	T					
50. I Shares Iboxx (Held in IRA)	A	Interest	J	T					
51. I Shares Barclays (Held in IRA)	A	Interest	J	T					

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52. Vanguard Emerging Markets Mutual Fund (Held in IRA)	A	Dividend	J	T					
53. Valley National Bancorp Common	G	Dividend	P1	T					
54. Citibank Accounts	D	Interest	K	T					
55. House, Cabin and Land, Park County, MT	E	Rent	P1	W					
56. Abbott Laboratories Common	B	Dividend	L	T					
57. Agilent Tech. Common	A	Dividend			Sold	04/16/13	J	A	
58. ABBVIE Common	B	Dividend	K	T	Buy	02/19/13	K		
59. Ametek Common	A	Dividend	K	T	Buy	05/23/13	K		
60. Allergan Common	A	Dividend			Sold	08/15/13	K	A	
61. Amer. Ex. Common	A	Dividend	L	T					
62. Apache Common	A	Dividend			Sold	06/20/13	K	B	
63. Apple Common	D	Dividend	N	T					
64. Bristol-Myers Common	B	Dividend	K	T	Buy	03/05/13	K		
65. Boardwalk Pipe Common	A	Dividend			Sold	03/19/13	K	B	
66. Cerner Corp. Common		None			Sold	09/10/13	K	B	
67. Cytek Industries Common	A	Dividend	K	T	Buy	03/19/13	K		
68. Cummins Common	A	Dividend	K	T					

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69. Costco Common	A	Dividend	K	T					
70. Dupont Common	A	Dividend	K	T	Buy	06/20/13	K		
71. Emerson Electric Common	B	Dividend	K	T					
72. General Electric Common	B	Dividend	K	T	Buy	07/12/13	K		
73. Gilead Sciences Common		None	L	T					
74. Google Common		None	L	T					
75. Honeywell Common	B	Dividend	K	T	Buy	01/10/13	K		
76. IBM Common	A	Dividend	K	T					
77. Johnson Cont. Common	B	Dividend	L	T					
78. JP Morgan Common	B	Dividend	L	T	Buy	03/19/13	L		
79. Kellogg Common	A	Dividend			Sold	08/15/13	K	A	
80. Lululemon Common		None	K	T	Buy	05/23/13	K		
81. Microchip Teck Common	B	Dividend	K	T	Buy	06/20/13	K		
82. McDonalds Common	B	Dividend	L	T					
83. Mead Johnson	A	Dividend	K	T					
84. Nike Common	A	Dividend	L	T					
85. Oracle Common	A	Dividend			Sold	09/10/13	J	A	

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86. Pepsico Common	A	Dividend			Sold	08/15/13	J	A	
87. Proxair Common	A	Dividend	K	T	Buy	02/19/13	K		
88. T Rowe Price Common	A	Dividend	K	T	Buy	03/23/13	K		
89. Precision Cast. Common	A	Dividend	L	T					
90. Philip Morris Common	B	Dividend	L	T					
91. Qualcomm Common	B	Dividend	L	T					
92. Schlumberger Ltd. Common	B	Dividend	L	T					
93. Rockwell Aut. Common	A	Dividend	K	T	Buy	01/17/13	K		
94. Teva Pharm. Common	A	Dividend			Sold	12/23/13	J		
95. Southwestern Energy Common		None	K	T	Buy	03/05/13	K		
96. Swatch Common	A	Dividend	K	T	Buy	06/04/13	K		
97. Samsung Common	A	Dividend	K	T	Buy	02/19/13	K		
98. United Tech Common	A	Dividend	K	T					
99. Verizon	A	Dividend			Sold	12/24/13	J	A	
100. Ace Ltd. Common	B	Dividend	L	T					
101. Altria Group Inc. Common	C	Dividend	L	T					
102. Amazon Common		None	L	T					

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103. Anadarko Pet. Common	A	Dividend	K	T					
104. Blackrock Common	B	Dividend	L	T					
105. Bunge Ltd. Common	A	Dividend			Sold	12/20/13	K	A	
106. Chevron Texaco Common	B	Dividend	L	T					
107. Comcast Common	A	Dividend	K	T	Buy	05/13/13	K		
108. Covidien Common	A	Dividend	K	T					
109. Devon Energy Common	A	Dividend			Sold	08/15/13	J	A	
110. Diageo Common	B	Dividend	L	T					
111. Disney Common	A	Dividend	K	T	Buy	03/05/13	K		
112. Dominion Resources Common	B	Dividend	K	T					
113. Exxon Mobil Corp. Common	A	Dividend	K	T					
114. Enterprise Partners Common	B	Dividend	K	T	Buy	02/19/13	K		
115. Estee Lauder Common	A	Dividend	K	T	Buy	06/04/13	K		
116. Express Scripts Common		None	K	T	Buy	01/17/13	K		
117. Hengan Int. Common	B	Dividend	L	T					
118. Hyundai Motors Common		None			Sold	12/24/13	K	A	
119. Joy Global	A	Dividend			Sold	12/20/13	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Merck Common	B	Dividend			Sold	04/16/13	K	B	
121. Nestle Common	B	Dividend	K	T					
122. Nantional Oilwell Common	A	Dividend	K	T	Buy	05/13/13	K		
123. Novodisk Common	A	Dividend	K	T	Buy	09/18/13	K		
124. Met Life Inc., Common	A	Dividend	K	T					
125. Wisdomtree Ener. Common	C	Dividend	M	T					
126. Franklin Sm Cp Fd Common	A	Dividend	L	T					
127. Pioneer Sm Cp Fund		None	L	T					
128. Vanguard Emerging Markets Mutual Fund	A	Dividend			Sold	12/23/13	J	A	
129. Vodafone ADR	B	Interest	K	T					
130. American Express Corporate Bonds	D	Interest	M	T					
131. Anheuser-Busch Corp. Bds	B	Interest	M	T					
132. Caterpillar Corp. Bds.	B	Interest	M	T					
133. Bell South Corporate Bonds	D	Interest	M	T					
134. Dominion Resources Corporate Bonds	D	Interest	M	T					
135. DOW Corporate Bonds	D	Interest			Sold	12/23/13	M	B	
136. GE Corporate Bonds	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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EATON, RICHARD K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Goldman Sachs Corporate Bonds	D	Interest	M	T					
138. Sempa Energy Corporate Bonds	D	Interest	M	T					
139. Simon PPTY Gp Corp. Bds.	C	Interest	M	T					
140. Yum Brand Corporate Bonds	C	Interest	M	T					
141. Nassau County Revenue Bond Municipal Bond	B	Interest	L	T					
142. NYS Environ Bond Municipal Bond	C	Interest	L	T					
143. Taconic Partners Mutual Fund X	E	Distribution	O	T					
144. Apache Inc. Common (Trust)	A	Dividend			Sold	04/16/13	J	A	
145. Altria Common (Trust)	A	Dividend	J	T					
146. Amazon Common (Trust)		None	J	T					
147. Anadarko Pet Common (Trust)	A	Dividend	J	T					
148. Agilent Tech. Common Trust)	A	Dividend	J	T					
149. Blackrock Common (Trust)	A	Dividend	J	T					
150. Bunge Ltd. Common Trust	A	Dividend	J	T					
151. Chevron Common (Trust)	A	Dividend	J	T					
152. Comcast Common (Trust)	A	Dividend	J	T	Buy	01/17/13	J		
153. Covidien (Trust)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Cummins Common (Trust)	A	Dividend	J	T					
155. Devon Energy Common (Trust)	A	Dividend			Sold	12/23/13	J	A	
156. Diageo Common (Trust)	A	Dividend	J	T					
157. Dominion Resources Common (Trust)	A	Dividend	J	T					
158. Express Scripts Common (Trust)		None	J	T					
159. Exxon Common (Trust)	A	Dividend	J	T					
160. Estee Lauder Common (Trust)	A	Dividend	J	T	Buy	09/10/13	J		
161. Hyundai Common (Trust)		None			Sold	06/20/13	J	A	
162. IBM Common (Trust)	A	Dividend	J	T					
163. Johnson Cont. Comon (Trust)	A	Dividend	J	T					
164. Lululemon Common (Trust)		None	J	T	Buy	01/19/13	J		
165. Mead Johnson Nutrition Common (Trust)	A	Dividend	J	T					
166. Merck Common (Trust)	A	Dividend	J	T					
167. Metlife Common (Trust)	A	Dividend	J	T					
168. Nestle Common (Trust)	A	Dividend	J	T					
169. Nuance Comm. (Trust)		None			Sold	12/23/13	J	A	
170. Mondelez Common (Trust)	A	Dividend	J	T	Buy	09/10/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Novodisk Common (Trust)	A	Dividend	J	T	Buy	01/17/13	J		
172. Philip Morris Common (Trust)	A	Dividend	J	T					
173. Precision Cast. Common (Trust)	A	Dividend	J	T					
174. Trimble Nav Common (Trust)		None	J	T	Buy	05/13/13	J		
175. United Tech. Common (Trust)	A	Dividend	J	T					
176. Verizon Common (Trust)	A	Dividend	J	T					
177. Wisdomtree Common (Trust)	A	Dividend	J	T					
178. ABB Ltd. Common (Trust)	A	Dividend	J	T					
179. Abbott Laboratories Common (Trust)	A	Dividend	J	T					
180. Ace Ltd. Common (Trust)	A	Dividend	J	T					
181. American Ex. Common (Trust)	A	Dividend	J	T					
182. Apple Common (Trust)	A	Dividend	J	T					
183. Costco Common (Trust)	A	Dividend	J	T					
184. Emerson Elec. Common (Trust)	A	Dividend	J	T					
185. EMC Corp. Common (Trust)		None	J	T					
186. Gilead Sciences Common (Trust)		None	K	T					
187. Google Common (Trust)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Joy Global Common (Trust)	A	Dividend			Sold	12/23/13	J	A	
189. Kellogg Co. Common (Trust)	A	Dividend			Sold	01/19/13	J	A	
190. McDonald Common (Trust)	A	Dividend	J	T					
191. Nike Common (Trust)	A	Dividend	J	T					
192. Pepsico Common (Trust)	A	Dividend	J	T					
193. Proctor & Gamble Common (Trust)	A	Dividend	J	T					
194. Qualcomm Common (Trust)	A	Dividend	J	T					
195. Schlumberger Common (Trust)	A	Dividend	J	T					
196. Teva Pharm. Common (Trust)	A	Dividend			Sold	12/20/13	J	A	
197. Wisdomtree Mutual Fund Emerg. Mkts. (Trust)	A	Dividend	J	T	Buy	05/13/13	J		
198. Goldman Sachs Corporate Bonds (Trust)	B	Interest			Sold	09/18/13	L	B	
199. Bellsouth Corporate Bonds (Trust)	B	Interest			Sold	02/19/13	L	A	
200. Credit Suisse Corporate Bonds (Trust)	B	Interest			Sold	05/05/13	L	A	
201. Nassau City Municipal Bond (Trust)	A	Interest			Sold	06/04/13	L	A	
202. NYS Environ Municipal Bond (Trust)	A	Interest			Sold	12/20/13	L	A	
203. Abb Ltd. Common (Trust II)	A	Dividend			Sold	12/23/13	J	A	
204. Agilent Tech Common (Trust II)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Apache Common (Trust II)	A	Dividend			Sold	12/24/13	J	A	
206. Blackrock Common (Trust II)	A	Dividend	J	T					
207. Borg Warner Common (Trust II)	A	Dividend	K	T	Buy	01/19/13	K		
208. Bunge Common (Trust II)	A	Dividend	J	T					
209. Chevron Common (Trust II)	A	Dividend	K	T					
210. Carefusion common (Trust II)		None	J	T	Buy	09/18/13	J		
211. Covidien Common (Trust II)	A	Dividend	J	T					
212. Devon Energy Common (Trust II)	A	Dividend			Sold	04/16/13	J	A	
213. Dupont Common (Trust II)	A	Dividend	J	T	Buy	01/10/13	J		
214. Estee Lauder (Trust II)	A	Dividend	J	T	Buy	06/20/13	J		
215. Express Scripts (Trust II)		None	J	T	Buy	09/18/13	J		
216. EMC Corp. Common (Trust II)	A	Dividend	J	T	Buy	03/19/13	J		
217. Exxon Mobil Common (Trust II)	A	Dividend	K	T					
218. Hengan Common (Trust II)	A	Dividend	K	T					
219. Hyundai Common (Trust II)		None			Sold	09/19/13	J	A	
220. Lululemon Common (Trust II)		None	J	T	Buy	03/19/13	J		
221. IBM Common (Trust II)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Mead Johnson Common (Trust II)	A	Dividend	J	T					
223. Merck Common (Trust II)	A	Dividend	J	T					
224. Metlife Inc. Common (Trust II)	A	Dividend	J	T	Buy	05/23/13	J		
225. Mondelez Common (Trust II)	A	Dividend	J	T	Buy	01/17/13	J		
226. Nestle Common (Trust II)	A	Dividend	J	T	Buy	09/10/13	J		
227. Praxair Common (Trust II)	A	Dividend	J	T	Buy	07/12/13	J		
228. Phillip Morris Common (Trust II)	A	Dividend	K	T					
229. Precision Cast. Common (Trust II)	A	Dividend	J	T					
230. Ralph Lauren Common (Trust II)	A	Dividend	K	T					
231. United Tech Common (Trust II)	A	Dividend	K	T					
232. Verizon Common (Trust II)	A	Dividend	J	T					
233. Ace Ltd. Common (Trust II)	A	Dividend	J	T					
234. Anadarko Pet. Common (Trust II)	A	Dividend	K	T					
235. Amazon Common (Trust II)		None	K	T					
236. Apple Common Common (Trust II)		None	K	T	Buy	04/16/13	K		
237. Costco Common (Trust II)	A	Dividend	K	T					
238. Cummins Common (Trust II)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Pepsico Common (Trust II)	A	Dividend	K	T					
240. Taiwan Semiconductor Common (Trust II)	A	Dividend	J	T	Buy	01/17/13	J		
241. Altria Common (Trust II)	A	Dividend	J	T	Buy	09/10/13	J		
242. American Ex Common (Trust II)	A	Dividend	K	T					
243. Cognizant Common (Trust II)		None			Sold	09/18/13	J	A	
244. Diageo Common (Trust II)	A	Dividend	K	T					
245. Emerson Electric Common (Trust II)	A	Dividend	J	T					
246. Gilead Sciences Common (Trust II)		None	K	T					
247. Google Common (Trust II)		None	K	T					
248. Joy Global Common (Trust II)	A	Dividend			Sold	12/20/13	J	A	
249. Johnson Cont. Common (Trust II)	A	Dividend	K	T					
250. McDonalds Common (Trust II)	A	Dividend	J	T					
251. Nike Common (Trust II)	A	Dividend	K	T					
252. Novo Nordisk Common (Trust II)	A	Dividend	J	T	Buy	06/04/13	J		
253. Proctor & Gamble Common (Trust II)	A	Dividend	J	T					
254. Qualcomm Common (Trust II)	A	Dividend	K	T					
255. Schlumberger Common (Trust II)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Teva Pharm. Common (Trust II)	A	Dividend			Sold	08/15/13	J	A	
257. Trimble Nav Common (Trust II)		None	J	T	Buy	02/19/13	J		
258. Wisdomtree ETF (Trust II)	B	Dividend	K	T					
259. Vanguard ETF (Trust II)		None	J	T					
260. Goldman Sachs Corporate Bonds (Trust II)	A	Interest	L	T					
261. Bellsouth Corporate Bonds (Trust II)	A	Interest	L	T					
262. Caterpillar Corp. Bds. (Trust II)	A	Interest	K	T					
263. Credit Suisse Corporate Bonds (Trust II)	A	Interest			Sold	09/10/13	L	A	
264. Nassau City Municipal Bond (Trust II)	A	Interest	K	T					
265. NYS Environ Municipal Bond (Trust II)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **RICHARD K. EATON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544