

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TREADWELL, MARC T.	2. Court or Organization UNITED STATES DISTRICT COURT, MIDDLE DISTRICT OF GEORGIA	3. Date of Report 06/14/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address P. O. BOX 65 MACON, GA 31202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member of Board of Trustees	Mercer University
2. Member of Board of Trustees	The Peyton Anderson Foundation
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 22

Name of Person Reporting

TREADWELL, MARC T.

Date of Report

06/14/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Mercer Law School - Salary	\$6,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Self-employed landscape architect (d/b/a WT Designs)
2. 2015	Self-employed real estate professional (d/b/a WT Development, LLC)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Navicent Health	4/29/2015-5/1/2015	Amelia Island, FL	VHA Trustees Meeting (Spouse is board member)	Food, transportation, lodging
2. Navicent Health	03/19/2015-3/22/2015	Amelia Island, FL	Spring retreat (Spouse is board member)	Food, transportation, lodging
3. Navicent Health	10/8/2015-10/10/2015	Atlanta, GA	Fall retreat (Spouse is board member)	Food, transportation, lodging
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 22

Name of Person Reporting

TREADWELL, MARC T.

Date of Report

06/14/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Trust #2	Mortgage on rental property #1, Fripp Island, SC (Part VII, line 4)	M
2.	Merrill Lynch	Line of Credit - the assets of Brokerage #1 (Part VII, lines 9 - 15) are pledged collateral for this loan	K
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 22

Name of Person Reporting

TREADWELL, MARC T.

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06/14/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. State Bank and Trust Company Accounts		None	J	T					
2. Wells Fargo Bank Account (X)		None	J	T					
3. Bank of America (Y)									
4. Rental Property #1, Fripp Island, South Carolina	E	Rent	O	W					
5. Rental Property #2, Macon, Georgia	D	Rent	M	W					
6. Rental Property #3, Macon, Georgia	D	Rent	L	W					
7. Rental Property #4, Auburn, Alabama	D	Rent	M	W					
8. Lincoln National Life - Survivorship universal life policy		None	L	V					
9. Brokerage #1 (H)									
10. -Merrill Lynch Cash Management Account	A	Interest	L	T					
11. -Powershares VRDO Tax Free Fund	A	Dividend			Sold (part)	01/23/15	K		
12.					Sold (part)	02/26/15	K		
13.					Sold	12/14/15	L		
14. -SP500 Stepup Issuer BAC		None	J	T	Sold (part)	07/24/15	K	D	
15. -Apple Inc. (common)	A	Dividend	J	T					
16. Brokerage #2 (H)									
17. -Merrill Lynch Cash Management Account	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 5 of 22

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18. -American Express Company (common)	A	Dividend	K	T					
19. -Ameriprise Financial Inc (common)	A	Dividend	K	T					
20. -Bristol-Myers Squibb Co (common)	A	Dividend	K	T					
21. -General Electric (common)	A	Dividend	J	T					
22. -Halyard Health Inc. (common)		None	J	T					
23. -Home Depot Inc (common)	A	Dividend	K	T					
24. -Johnson and Johnson (common)	A	Dividend	K	T					
25. -Kimberly Clark (common)	B	Dividend	L	T					
26. -Zimmer Holdings Inc (common)	A	Dividend	J	T					
27. Brokerage #3 (H)									
28. -Merrill Lynch Cash Management Account	A	Dividend	J	T					
29. -Allianz NFJ Dividend Value Fd Cl P	A	Dividend			Sold (part)	03/04/15	J	B	
30.					Sold (part)	09/18/15	J	A	
31.					Sold	10/09/15	J		
32. -Allianz NFJ International Value Fund	A	Dividend			Buy (add'l)	03/04/15	J		
33.					Sold	10/09/15	K		
34. -Ivey Asset Strategy Fund Cl I		None			Sold	03/04/15	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 6 of 22

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35. -Mainstay Large Cap Growth Fund C1 I		None			Buy (add'l)	03/04/15	J		
36.					Sold	10/09/15	K	D	
37. -Mainstay Marketfield Fund C1 I		None			Sold	03/04/15	J		
38. -MFS Value Fd C1 I	A	Dividend			Sold (part)	03/04/15	J	B	
39.					Sold (part)	09/18/15	J	A	
40.					Sold	10/09/15	K	C	
41. -Oppenheimer Rising Dividends Fund C1 Y	A	Dividend			Sold	10/09/15	K	B	
42. -Oppenheimer International Growth Fund C1 Y		None			Buy	03/04/15	J		
43.					Sold	10/09/15	J		
44. -Nuveen High Yield Muni Bond Fd C1 I	A	Dividend			Sold	03/04/15	J	A	
45. -Guggenheim Mid Cap Value Fund C1 I		None			Buy (add'l)	03/04/15	J		
46.					Sold	10/09/15	J		
47. -Permanent Portfolio Fund		None			Sold	03/04/15	J		
48. -Blackrock Equity Dividend Fund Instl		None			Sold (part)	03/04/15	J	A	
49.					Sold	10/09/15	J	B	
50. -Blackrock Short-term Municipal Fund Instl	A	Dividend			Sold (part)	03/04/15	J		
51.					Sold	10/09/15	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 22

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52. -Apple Inc (common)	A	Dividend	K	T	Buy	10/09/15	K		
53. -Ishares U.S. Financial Services ETF	A	Dividend	J	T	Buy	10/09/15	J		
54.					Buy (add'l)	11/18/15	J		
55. -Ishares Cohen & Sters REIT ETF	A	Dividend	J	T	Buy	10/09/15	J		
56. -Guggenheim S&P 500 Equal Weight ETF	A	Dividend	J	T	Buy	10/09/15	J		
57. -Guggenheim S&P Midcap 400 Pure Growth ETF	A	Dividend	J	T	Buy	10/09/15	J		
58. -Guggenheim S&P 500 Pure Growth ETF	A	Dividend	J	T	Buy	10/09/15	J		
59. -Powershares QQQ Trust SE 1	A	Dividend	J	T	Buy	10/09/15	J		
60. -Ishares S&P Midcap 400 Growth	A	Dividend	J	T	Buy	10/09/15	J		
61. -Ishares U.S. Technology	A	Dividend	J	T	Buy	10/09/15	J		
62.					Buy (add'l)	11/18/15	J		
63. -Ishares S&P 500 Growth	A	Dividend	K	T	Buy	10/09/15	J		
64.					Buy (add'l)	11/18/15	J		
65. -Ishares U.S. Consumer Services ETF	A	Dividend	J	T	Buy	10/09/15	J		
66. -Ishares U.S. Healthcare	A	Dividend	J	T	Buy	10/09/15	J		
67.					Buy (add'l)	11/18/15	J		
68. -Ishares U.S. Real Estate	A	Dividend	J	T	Buy	10/09/15	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 22

Name of Person Reporting

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69. -Ishares S&P Mid-cap 400 Value ETF	A	Dividend	J	T	Buy	10/09/15	J		
70. -Ishares S&P Smallcap 600 Growth	A	Dividend	J	T	Buy	10/09/15	J		
71. -SPDR S&P Dividend ETF		None			Buy	09/18/15	J		
72.					Sold	10/09/15	J	A	
73. -SPDR S&P Midcap 400 ETF Trust	A	Dividend	J	T	Buy	10/09/15	J		
74. -SPDR Dow Jones Indust Av ETF Trust	A	Dividend	J	T	Buy	10/09/15	J		
75. -SPDR S&P 500 ETF Trust	A	Dividend	J	T	Buy	10/09/15	J		
76. -Pioneer AMT Free Municipal Fund Cl Y	A	Dividend	J	T	Buy	03/04/15	K		
77.					Sold (part)	10/09/15	K		
78. IRA #1 (H)									
79. -Merrill Lynch cash holding account	A	Int./Div.	J	T					
80. -Blackrock Equity Dividend Fund Instl	A	Dividend			Sold (part)	09/18/15	J	A	
81.					Sold	10/09/15	J	B	
82. -Dreyfus Appreciation Fd	A	Dividend			Sold	09/18/15	J	A	
83. -Lazard Emerging Mkts Equity PtfI Instl Class	A	Dividend			Sold	09/11/15	J		
84. -Mainstay Large Cap Growth Fund Cl I		None			Sold (part)	06/02/15	J	A	
85.					Sold	10/09/15	J	B	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 22

Name of Person Reporting

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86. -MFS Research Bond Fund C I I	A	Dividend			Sold	06/05/15	J	A	
87. -Neuberger Berman High Income Bond Fd C I Inst	A	Dividend			Sold	06/02/15	J	A	
88. -Oppenheimer Rising Dividends Fund C I Y	A	Dividend			Buy (add'l)	09/18/15	J		
89.					Sold	10/09/15	J	A	
90. -TCW Total Return Bond Fd C I I	A	Dividend			Sold	10/09/15	J	A	
91. -The Oakmark Intl Fund		None			Sold (part)	09/11/15	J	A	
92.					Buy (add'l)	09/18/15	J		
93.					Sold	10/09/15	J	A	
94. -John Hancock Classic Value Fund C I I		None			Sold	06/02/15	J	C	
95. -MFS Emerging Markets Debt Fd C I I (Y)									
96. -Clearbridge Small Cap Growth Fund C I I		None			Sold	10/09/15	J	A	
97. -Victory Small Company Opportunity Fund C I Y		None			Sold	10/09/15	J	A	
98. -Pimco Total Return Fund C I P	A	Dividend			Sold	06/02/15	J		
99. -Delaware Corporate Bond Fund Instl C I	A	Dividend	J	T	Buy	06/02/15	J		
100.					Sold (part)	10/09/15	J		
101. -Apple Inc (common)	A	Dividend	J	T	Buy	10/09/15	J		
102. -Ishares Russell 1000 Growth Index ETF	A	Dividend			Buy	09/18/15	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 22

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103.					Sold	10/09/15	J	A	
104. -Ishares Russell 1000 Value Index ETF	A	Dividend			Buy	09/18/15	J		
105.					Sold	10/09/15	J	A	
106. -Ishares Core U.S. Treasury Bond ETF	A	Dividend			Buy	09/18/15	J		
107.					Sold	10/09/15	J	A	
108. -American Funds EuroPacific Growth Fund Class F-2		None			Buy	09/11/15	J		
109.					Sold (part)	09/18/15	J		
110.					Sold	10/09/15	J	A	
111. -Transamerica Emerging Markets Debt Fund C1 I	A	Dividend			Buy	06/02/15	J		
112.					Sold	10/09/15	J		
113. -Wells Fargo Ultra Short-term Income Fund - Class Inst	A	Dividend			Buy	06/02/15	J		
114.					Sold	09/18/15	J		
115. -T. Rowe Price U.S. Treasury Intermediate Fund	A	Dividend			Buy	06/02/15	J		
116.					Sold	09/18/15	J	A	
117. -John Hancock Funds Disciplined Value Fund C1 I		None			Buy	06/02/15	J		
118.					Sold (part)	09/18/15	J		
119.					Sold	10/09/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 22

Name of Person Reporting

TREADWELL, MARC T.

Date of Report

06/14/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Guggenheim S&P 500 Equal Weight ETF	A	Dividend	J	T	Buy	10/09/15	J		
121. -Guggenheim S&P Midcap 400 Pure Growth ETF	A	Dividend	J	T	Buy	10/09/15	J		
122. -Guggenheim S&P 500 Pure Growth ETF	A	Dividend	J	T	Buy	10/09/15	J		
123. -Ishares U.S. Financial Services ETF	A	Dividend	J	T	Buy	10/09/15	J		
124.					Buy (add'l)	10/28/15	J		
125. -Ishares Cohen & Steers REIT ETF	A	Dividend	J	T	Buy	10/09/15	J		
126. -Ishares S&P Midcap 400 Growth	A	Dividend	J	T	Buy	10/09/15	J		
127. -Ishares U.S. Technology	A	Dividend	J	T	Buy	10/09/15	J		
128.					Buy (add'l)	10/28/15	J		
129. -Ishares S&P 500 Growth	A	Dividend	J	T	Buy	10/09/15	J		
130. -Ishares U.S. Consumer Services ETF	A	Distribution	J	T	Buy	10/09/15	J		
131.					Buy (add'l)	10/28/15	J		
132. -Ishares U.S. Healthcare	A	Dividend	J	T	Buy	10/09/15	J		
133.					Buy (add'l)	10/28/15	J		
134. -Ishares U.S. Real Estate	A	Dividend	J	T	Buy	10/09/15	J		
135. -Ishares S&P Midcap 400 Value ETF	A	Dividend	J	T	Buy	10/09/15	J		
136. -Ishares S&P Smallcap 600 Growth	A	Dividend	J	T	Buy	10/09/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 22

Name of Person Reporting

TREADWELL, MARC T.

Date of Report

06/14/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Powershares QQQ Trust SE 1	A	Dividend	J	T	Buy	10/09/15	J		
138. -SPDR Dow Jones Indust Av ETF Trust	A	Dividend	J	T	Buy	10/09/15	J		
139. -SPDR S&P Midcap 400 ETF Trust		None	J	T	Buy	10/09/15	J		
140. -SPDR S&P 500 ETF Trust		None	J	T	Buy	10/09/15	J		
141. IRA #2 (H)									
142. -Morgan Stanley Cash/Bank Deposit Program (Y)									
143. -Merrill Lynch cash holding account (X)	A	Int./Div.	J	T					
144. -American Cap Wrld Gr & Income Fund A	B	Dividend	K	T					
145. -Apple Inc (Common)	A	Dividend	J	T					
146. -Express Scripts Hldg Co (Common)		None	J	T					
147. -Wisdomtree Trust Emerging Markets High Dividend Fund (Y)	A	Dividend	J	T					
148. -Alabama Group Holding LTD		None	J	T	Buy	09/16/15	J		
149. -Novo Nordisk A S ADR		None	J	T	Buy	09/16/15	J		
150. -Southern Company (common)	A	Dividend	J	T	Buy	09/16/15	J		
151. -Tractor Supply Co (common)	A	Dividend	J	T	Buy	09/16/15	J		
152. 401(k) Retirement Plan (H)									
153. -American Funds - Growth Fund of America-R3	E	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 22

Name of Person Reporting

TREADWELL, MARC T.

Date of Report

06/14/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -American Funds - Investment Company of America-R3	D	Dividend	M	T					
155. -American Funds - Fundamental Investors-R3	D	Dividend	M	T					
156. IRA #3 (H)									
157. -Merrill Lynch cash holding account	A	Int./Div.	J	T					
158. -Blackrock Equity Dividend Fund Instl	A	Dividend			Sold (part)	09/18/15	J	A	
159.					Sold	10/09/15	J	B	
160. -Dreyfus Appreciation Fd	A	Dividend			Sold	09/18/15	J	A	
161. -Lazard Emerging Mkts Equity PtfI Instl Class	A	Dividend			Sold	09/11/15	J		
162. -Mainstay Large Cap Growth Fund Cl I		None			Sold (part)	06/02/15	J	A	
163.					Sold	10/09/15	J	B	
164. -MFS Research Bond Fund Cl I	A	Dividend			Sold	06/05/15	J	A	
165. -Neuberger Berman High Income Bond Fd Cl Inst	A	Dividend			Sold	06/02/15	J	A	
166. -Oppenheimer Rising Dividends Fund Cl Y	A	Dividend			Buy (add'l)	09/18/15	J		
167.					Sold	10/09/15	J	A	
168. -TCW Total Return Bond Fd Cl I	A	Dividend			Buy (add'l)	06/02/15	J		
169.					Sold	10/09/15	J		
170. -The Oakmark Intl Fund		None			Sold (part)	09/11/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 22

Name of Person Reporting

TREADWELL, MARC T.

Date of Report

06/14/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	09/18/15	J		
172.					Sold	10/09/15	J	A	
173. -John Hancock Classic Value Fund C1 I		None			Sold	06/02/15	J	C	
174. -Clearbridge Small Cap Growth Fund C1 I		None			Sold	10/09/15	J	A	
175. -Victory Small Company Opportunity Fund C1 Y		None			Sold	10/09/15	J	A	
176. -Pimco Total Return Fund C1 P	A	Dividend			Sold	06/02/15	J		
177. -Delaware Corporate Bond Fund Instl C1	A	Dividend	J	T	Buy	06/02/15	J		
178.					Sold (part)	10/09/15	J		
179. -Apple Inc (common)	A	Dividend	J	T	Buy	10/09/15	J		
180. -Ishares Russell 1000 Growth Index ETF	A	Dividend			Buy	09/18/15	J		
181.					Sold	10/09/15	J	A	
182. -Ishares Russell 1000 Value Index ETF	A	Dividend			Buy	09/18/15	J		
183.					Sold	10/09/15	J	A	
184. -Ishares Core U.S. Treasury Bond ETF	A	Dividend			Buy	09/18/15	J		
185.					Sold	10/09/15	J	A	
186. -American Funds EuroPacific Growth Fund Class F-2					Buy	09/11/15	J		
187.					Sold (part)	09/18/15	J		

1. Income Gain Codes:	A - \$1,000 or less	B - \$1,001 - \$2,500	C - \$2,501 - \$5,000	D - \$5,001 - \$15,000	E - \$15,001 - \$50,000
(See Columns B1 and D4)	F - \$50,001 - \$100,000	G - \$100,001 - \$1,000,000	H1 - \$1,000,001 - \$5,000,000	H2 - More than \$5,000,000	
2. Value Codes	J - \$15,000 or less	K - \$15,001 - \$50,000	L - \$50,001 - \$100,000	M - \$100,001 - \$250,000	
(See Columns C1 and D3)	N - \$250,001 - \$500,000	O - \$500,001 - \$1,000,000	P1 - \$1,000,001 - \$5,000,000	P2 - \$5,000,001 - \$25,000,000	
	P3 - \$25,000,001 - \$50,000,000		P4 - More than \$50,000,000		
3. Value Method Codes	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash Market	
(See Column C2)	U - Book Value	V - Other	W - Estimated		

FINANCIAL DISCLOSURE REPORT

Page 15 of 22

Name of Person Reporting

TREADWELL, MARC T.

Date of Report

06/14/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	10/09/15	J	A	
189. -Transamerica Emerging Markets Debt Fund CI I	A	Dividend			Buy	06/02/15	J		
190.					Sold	10/09/15	J		
191. -Wells Fargo Ultra Short-term Income Fund - Class Inst	A	Dividend			Buy	06/02/15	J		
192.					Sold	09/18/15	J		
193. -T. Rowe Price U.S. Treasury Intermediate Fund	A	Dividend			Buy	06/02/15	J		
194.					Sold	09/18/15	J	A	
195. -John Hancock Funds Disciplined Value Fund CI I		None			Buy	06/02/15	J		
196.					Sold (part)	09/18/15	J		
197.					Sold	10/09/15	J		
198. -Guggenheim S&P 500 Equal Weight ETF	A	Dividend	J	T	Buy	10/09/15	J		
199. -Guggenheim S&P Midcap 400 Pure Growth ETF	A	Dividend	J	T	Buy	10/09/15	J		
200. -Guggenheim S&P 500 Pure Growth ETF	A	Dividend	J	T	Buy	10/09/15	J		
201. -Ishares U.S. Financial Services ETF	A	Dividend	J	T	Buy	10/09/15	J		
202.					Buy (add'l)	10/28/15	J		
203. -Ishares Cohen & Steers REIT ETF	A	Dividend	J	T	Buy	10/09/15	J		
204. -Ishares S&P Midcap 400 Growth	A	Dividend	J	T	Buy	10/09/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 22

Name of Person Reporting

TREADWELL, MARC T.

Date of Report

06/14/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Ishares U.S. Technology	A	Dividend	J	T	Buy	10/09/15	J		
206.					Buy (add'l)	10/28/15	J		
207. -Ishares S&P 500 Growth	A	Dividend	J	T	Buy	10/09/15	J		
208. -Ishares U.S. Consumer Services ETF	A	Dividend	J	T	Buy	10/09/15	J		
209.					Buy (add'l)	10/28/15	J		
210. -Ishares U.S. Healthcare	A	Dividend	J	T	Buy	10/09/15	J		
211.					Buy (add'l)	10/28/15	J		
212. -Ishares U.S. Real Estate	A	Dividend	J	T	Buy	10/09/15	J		
213. -Ishares S&P Midcap 400 Value ETF	A	Dividend	J	T	Buy	10/09/15	J		
214. -Ishares S&P Smallcap 600 Growth	A	Dividend	J	T	Buy	10/09/15	J		
215. -Powershares QQQ Trust SE 1	A	Dividend	J	T	Buy	10/09/15	J		
216. -SPDR Dow Jones Indust Av ETF Trust	A	Dividend	J	T	Buy	10/09/15	J		
217. -SPDR S&P Midcap 400 ETF Trust		None	J	T	Buy	10/09/15	J		
218. -SPDR S&P 500 ETF Trust		None	J	T	Buy	10/09/15	J		
219. Trust #1 (H)									
220. -PWM Fiduciary Master Dep Acct	A	Int./Div.	K	T					
221. -Exxon Mobil Corp (Common)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 22

Name of Person Reporting

TREADWELL, MARC T.

Date of Report

06/14/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Praxair Inc (Common)	A	Dividend	K	T					
223. -General Electric Co (Common)	C	Dividend	L	T					
224. -Home Depot Inc (Common)	B	Dividend	M	T					
225. -Coca-Cola Co (Common)	A	Dividend	J	T					
226. -Colgate Palmolive Co (Common)	A	Dividend	L	T					
227. -Kimberly Clark Corp (Common)	A	Dividend	K	T					
228. -Bristol Myers Squibb Co (Common)	A	Dividend	K	T					
229. -Johnson & Johnson (Common)	B	Dividend	L	T					
230. -American Express Co (Common)	A	Dividend	K	T					
231. -JP Morgan Chase & Co (Common)	A	Dividend	K	T					
232. -Wells Fargo & Co New (Common)	A	Dividend	K	T					
233. -Intel Corp (Common)	B	Dividend	K	T					
234. -Microsoft Corp (Common)	B	Dividend	L	T					
235. -Verizon Communications (Common)	A	Dividend	J	T					
236. -Forum Funds - Absolute Strategies Fd Instl Cl		None			Sold	01/16/15	J	A	
237. -Manning & Napier Funds - World Opptys Ser Cl A		None			Sold	10/21/15	K		
238. -Oppenheimer Funds - Developing Mkts Instl Fd Cl Y	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 22

Name of Person Reporting

TREADWELL, MARC T.

Date of Report

06/14/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -Capital One FncI Corp (Common)	A	Dividend	J	T					
240. -International Business Machines Corp (Common)	A	Dividend	J	T					
241. -Wasatch Long/Short Fund-Ins (Common)	B	Dividend	J	T					
242. -Blackrock Inc Cl A (Common)	A	Dividend	K	T					
243. -ConocoPhilips (Common)	A	Dividend	J	T					
244. -Williams Cos Inc. (Common)	A	Dividend	J	T					
245. -Google Inc Cl A (Common) (Y)		None							
246. -Alphabet Inc Cl A (Common) (X)		None	J	T					
247. -Disney Walt Co New (Common)	A	Dividend	L	T					
248. -Allstate Corp (Common)	A	Dividend	J	T					
249. -Google Inc Cl C (Common) (Y)		None							
250. -Alphabet Inc Cl C (Common) (X)		None	J	T					
251. -Halyard Health, Inc (Common)		None			Sold	01/16/15	J	A	
252. Trust #2 (H)									
253. -PWM Fiduciary Master Dep Acct	A	Int./Div.	J	T					
254. -Exxon Mobil Corp (Common)	A	Dividend	J	T					
255. -General Electric Co (Common)	A	Dividend			Donated				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 22

Name of Person Reporting

TREADWELL, MARC T.

Date of Report

06/14/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -Abbott Labs (Common)	A	Dividend	K	T					
257. -Intel Corp (Common)	A	Dividend	J	T	Donated (part)				
258. -Oppenheimer Funds-Developing Mkts Instl Fd Cl Y	A	Dividend	J	T					
259. -Note Rec. (Mortgage on rental prop #1-Part VI, Ln 1)		None	M	U					
260. -Pepsico Inc (Common)	A	Dividend	J	T					
261. -Google Inc Cl A (Common) (Y)		None							
262. -Alphabet Inc Cl A (Common) (X)		None	J	T					
263. -Abbvie Inc (Common)	A	Dividend	J	T					
264. -Google Inc Cl C (Common) (Y)		None							
265. -Alphabet Inc Cl C (Common) (X)		None	J	T					
266. Trust #4 (Y)									
267. -Cash - ML Bank Deposit Program (Y)									
268. -General Electric (Common) (Y)									
269. -Home Depot Inc (Common) (Y)									
270. -Johnson and Johnson (Common) (Y)									
271. -Wal-Mart Stores Inc (Common) (Y)									
272. -Exxon Mobil (Common) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 22

Name of Person Reporting

TREADWELL, MARC T.

Date of Report

06/14/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. -JPMorganChase (Common) (Y)									
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 22

Name of Person Reporting

TREADWELL, MARC T.

Date of Report

06/14/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS AND TRUSTS

Part VII, Line 8: The value of the universal life policy in Column C(1) is the contract value as of 12/31/2015.

Part VII, Line 95: The prior report showed that this security was partially sold on 01/08/14. This was an error. The 01/08/14 sale was a complete liquidation of the security, so the number of shares owned at 12/31/2014 and 12/31/15 were zero.

Part VII, Lines 141-151: On 8/11/2015, the cash and securities in IRA #2 were moved from one brokerage firm to another brokerage firm in a "trustee to trustee" transfer. This was done at the request of the reporting individual's [REDACTED], who is the beneficiary of the IRA.

Part VII, Line 147: The name of the security (stock symbol DEM) was changed to its correct name, "Wisdomtree Emerging Markets High Dividend Fund". On the previous year's report it was shown as "Wisdomtree Trust Emrg Mkt Eqt Fund".

Part VII, Lines 245-246: Effective 10/02/15, the name of this security changed from "Google Inc Cl A" to "Alphabet Inc Cl A".

Part VII, Lines 249-250: Effective 10/02/15, the name of this security changed from "Google Inc Cl C" to "Alphabet Inc Cl C".

Part VII, Lines 261-262: Effective 10/02/15, the name of this security changed from "Google Inc Cl A" to "Alphabet Inc Cl A".

Part VII, Lines 264-265: Effective 10/02/15, the name of this security changed from "Google Inc Cl C" to "Alphabet Inc Cl C".

Part VII, Lines 266-273: In prior reports, the beneficiary of Trust #4 was a dependent of the reporting individual. For 2015, the beneficiary was not a dependent, so the assets in Trust #4 are not reportable.

FINANCIAL DISCLOSURE REPORT

Page 22 of 22

Name of Person Reporting

TREADWELL, MARC T.

Date of Report

06/14/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MARC T. TREADWELL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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