

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Seibert, James E.	2. Court or Organization U.S. District Court, NDWV	3. Date of Report 09/16/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 1125 Chapline Street Wheeling, WV 26003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Power of Attorney	Checking Account
2. Advisory Committee	Wheeling Auto Club Fund of the Community Foundation for the Ohio Valley, Inc.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Self-Employed, Real Estate Agent

2. 2014

First Choice Real Estate, LLC - Owner and Independent Contractor Realtor

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	United Bank	JDS Investments, LLC - Guarantor of Note Secured by Deed of Trust	L
2.	United Bank	JDS Investments, LLC - Guarantor of Note Secured by Deed of Trust	K
3.	United Bank	James E Seibert - Note Secured by Deed of Trust	K
4.	NML Insurance Co.	Loan against life insurance policy.	K
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. United Bank Accounts	A	Interest	J	T					
2. Main Street Bank Corp Accounts	A	Interest	K	T					
3. United Bank - POA	A	Interest	J	T					
4. First Choice Real Estate LLC	A	Interest	K	T					
5. Brokerage SEP Account #1	A	Dividend	J	T					
6. - American Funds - Short- Term Bond Fund of America									
7. Sun Life Assurance Whole Life Insurance	A	Dividend	J	T					
8. Sun Life Financial Common Stock	A	Dividend	J	T					
9. Guardian Whole Life Insurance	A	Dividend	J	T					
10. Guardian Whole Life Insurance	A	Dividend	J	T					
11. NML Insurance Co. Whole Life Insurance	A	Dividend	J	T					
12. NML Insurance Co. Whole Life Insurance	A	Dividend	J	T					
13. NML Insurance Co. Whole Life Insurance	A	Dividend	J	T					
14. NML Insurance Co. Whole Life Insurance	A	Dividend	J	T					
15. NML Insurance Co. Whole Life Insurance	A	Dividend	J	T					
16. NML Insurance Co. Whole Life Insurance	A	Dividend	K	T					
17. NML Insurance Co. Whole Life Insurance	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. NML Insurance Co. Whole Life Insurance	A	Dividend	J	T					
19. Main Street Bank CDs	A	Interest	J	T					
20. Main Street Bank Common Stock	A	Dividend	J	T					
21. Gold FR Rooster		None	J	T					
22. Silver Eagle		None	J	T					
23. Peregrine Pharmaceuticals		None	J	T					
24. Direct Online Marketing - Note Receivable	A	Interest	M	T					
25. JDS Investments LLC - Rental property, Ohio County, WV	D	Rent	M	U					
26. -Royalty Interest, Ohio County, WV (X)	D	Royalty							
27. Prudential Advanced Series Apex II Annuity		None	M	T					
28. Summit Brokerage Svcs Inc SEP Retirement Acct #2	C	Int./Div.	N	T					
29. -Pershing Prime Reserves Money Market Fund									
30. -BP PLC Spons Adr Common Stock									
31. -RSTK Main Street Financial Services Corp Com Stk (X)									
32. -Aston/Montag & Caldwell Growth Fund					Sold	01/02/14	J	A	
33. -AQR Funds Diversified Arbitrage Fund Class I					Sold	01/02/14	K		
34. -Artisan Fds Inc Mid Cap Value Fd Inv Shs					Sold	01/02/14	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Davis New York Venture Class Y					Sold	01/02/14	J	A	
36. -Doubleline Funds Tr Total Return Bond Fund					Sold	01/02/14	K		
37. -Eaton Vance Municipals Tr National Municipal Income Fund					Sold	01/02/14	K	A	
38. -The Hartford Capital Appreciation Fd Cl I					Sold	01/02/14	K	B	
39. -Hotchkis & Wiley Funds High Yield Fund Class A					Sold	01/02/14	J	A	
40. -JPMorgan Trust Strategic Income Oppty Fund					Sold	01/02/14	K	A	
41. -MFS Research Fund Class I					Sold	01/02/14	J	B	
42. -Wells Fargo Advantage Diversified Cap Builder Instl Cl					Sold	01/02/14	K	C	
43. -Western Asset Fds Inc Core Plus Bd Port					Sold	01/02/14	K	A	
44. -American Short-Term Bond Fund A Class A					Buy	01/07/14	J		
45. -American Short-Term Bond Fund A Class A					Sold	11/19/14	J	D	
46. -American Growth and Income Portfolio Fund Class C					Buy	01/03/14	K		
47.					Buy (add'l)	02/05/14	J		
48.					Buy (add'l)	03/13/14	J		
49.					Buy (add'l)	04/02/14	J		
50.					Buy (add'l)	04/17/14	J		
51.					Buy (add'l)	05/07/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -American Growth Portfolio Fund Class C					Buy	01/03/14	K		
53.					Buy (add'l)	02/05/14	J		
54.					Buy (add'l)	03/13/14	J		
55.					Buy (add'l)	04/02/14	J		
56.					Buy (add'l)	04/17/14	J		
57.					Buy (add'l)	05/07/14	J		
58. -American Balanced Portfolio Fund Class C					Buy	01/03/14	K		
59.					Buy (add'l)	02/05/14	J		
60.					Buy (add'l)	03/13/14	J		
61.					Buy (add'l)	04/02/14	J		
62.					Buy (add'l)	04/17/14	J		
63.					Buy (add'l)	05/07/14	J		
64.					Buy (add'l)	09/03/14	J		
65. Commercial Rental Property #1, Wheeling, WV (2010 \$82,825)	D	Rent	L	R					
66. Gas royalty interest, Lewis County, WV	A	Royalty	J	W					
67. KJT, LLC - Rental Property, Wellsburg, WV (X)	A	Rent	J	U					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note A -

Parcel 1 is located in Wheeling, WV.

Parcel 2 is located in Valley Grove, WV.

This is a piece of family-owned real estate.

Note B-

The income earned during the reporting period for SEP Retirement Account #2 was reported by the broker in total.

This income and the gross value at the end of the reporting period are being reported on the FDR in the same way, in total, in column B and column C on line 28.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James E. Seibert**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544