

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cummings, Samuel R.	2. Court or Organization U.S. District Court Northern District of Texas	3. Date of Report 06/15/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 1205 Texas Avenue Room C-210 Lubbock, Texas 79401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. money market-savings - Wells Fargo Bank	B	Interest	M	T					
2. CD - Wells Fargo Bank	F	Interest	P1	T					
3. CD-IRA - Wells Fargo Bank	B	Interest			Redeemed	02/17/15	N	A	see Part VIII
4. Oil & Gas Royalty & Working Interests: (H)									
5. --Dynergy (Targo) Midstream Service	B	Royalty	J	W					
6. --Roy Furr Estate Operator	B	Royalty	J	W					
7. Brokerage Account #1 (H)									
8. --CD - Morton Community Bank, Morton, IL	C	Interest			Redeemed	01/26/15	L	A	proceeds purchased bond
9. --CD - Bank of North Carolina, Thomasville, NC	B	Interest			Redeemed	11/13/15	K	A	proceeds purchased bond
10. --CD - Provincial Financial Bank, Clayton, MO	B	Interest	K	T					
11. --CD - National Republic Bank, Chicago, IL	A	Interest	J	T					
12. --CD - Roundbank, Waseca, MN	C	Interest	M	T					
13. --Bond - Eagle Mountain-Saginaw TX School District	B	Interest	L	T					
14. --Bond - Pflugerville TX ISD Building PSF	B	Interest			Redeemed	02/17/15	K	A	proceeds purchased bond
15. --Bond - Barbers Hill TX ISD	B	Interest	K	T					
16. --Bond - Ft. Worth TX CTF oblig.	B	Interest	L	T					
17. --Bond - NW Harris County Municipal Utility District	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Bond - Ft. Bend County Municipal Utility District	C	Interest	L	T					
19. --Bond - Donna TX ISD School Building PSF	B	Interest	L	T					
20. --Bond - Pharr San Juan Alamo TX ISD	B	Interest	L	T					
21. --Bond - Dallas TX ISD Building Fund	B	Interest	K	T					
22. --Bond - University of TX Revs. Fin. Sys.	C	Interest	M	T					
23. --Bond - Waxahachie TX CTFS oblig.	B	Interest	L	T					
24. --Bond - Lubbock TX ISD Sch Bldg Fund PSF	B	Interest	L	T					
25. --Burleson TX CTF oblig.	A	Interest	K	T					
26. --McKinney TX Ind. Sch. Dis. g/o	A	Interest	L	T					
27. Brokerage Account #2 (H)									
28. --CD - Bank of North Carolina, Thomasville, NC	B	Interest	M	T					
29. --CD - Discover Bank, Greenwood, DE	B	Interest	M	T					
30. --CD - Luana Savings Bank., Luana, IA	B	Interest	M	T					
31. Brokerage Account #1 (H)									
32. --Bond - Texas Tech University Bond	A	Interest	K	T					
33. --Bond-Hale Center TX ED FACS CORP (cont'd in Part VIII)	B	Interest	L	T					
34. --Bond - Temple Tex. Rev. & Ltd. Tax Bds	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Brokerage Account #3 (H)									
36. --Nureen Quality Preferred FD3	B	Dividend	K	U					
37. --Nureen Quality Preferred FD3	B	Dividend	K	U					
38. Brokerage Account #1 (H)									
39. --Bond - Ft. Bend TX Util Dis BDS	B	Interest	L	T					
40. --Bond - Victoria County TX Jr. College Dist.	B	Interest	L	T					
41. --Bond - Crowley TX ISD	B	Interest	L	T					
42. --Bond-50 Northside Tex. Ind. Sch. Dis. Tax Bds	B	Interest	L	T					
43. --Bond-Stanton TX ISD Bldg. Bds	B	Interest	L	T					
44. --Bond-North Hopkins TX ISD	B	Interest	L	T					
45. --Bond-Weston Mun. Ut. Dis. TEXU Ltd Bonds	B	Interest	L	T					
46. --Bond-Cypress Hill Mun. Dist. No. 1	B	Interest	L	T					
47. --Bond-Montgomery Cnty. TX Mun. Util. Dist.	B	Interest	L	T					
48. Brokerage Account #2 (H)									
49. --825 shares Tr. High Divident ETF	B	Dividend	L	U					IRA
50. --CD - Barclays Bank	A	Interest	M	U					IRA
51. --CD - Discover BK Greenwood	B	Interest	L	U					IRA

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52. Brokerage Account #4 (H)									
53. --Bond-Lake Mun. Ut. Dis. Tax	B	Interest	M	T	Buy	02/11/15	M		see part VIII
54. --Bond-Edinburgh Tax & Rev. CTFS oblig.	B	Interest	K	T	Buy	03/13/15	K		see part VIII
55. --Bond-Houston Higher Ed. Fin. Corp.	B	Interest	L	T	Buy	06/05/15	L		see part VIII
56. --Bond-Fort Bend Cnty. Util. Dist.	B	Interest	K	T	Buy	12/15/15	K		see part VIII
57. Brokerage Account-Sam R Cummings IRA (H)									
58. --First Trust Capital Investment Trust	B	Dividend	M	T	Buy	01/14/15	M		IRA
59. --First Tr Value Divid Index SHS	B	Dividend	M	T	Buy	04/13/15	M		IRA
60. --First Tr ETF Staples ALPHA DEX	B	Dividend	M	T	Buy	04/13/15	M		IRA
61. --Bond-Texas Tech Univ. Ref. and IMPT	A	Interest	L	T	Buy	04/13/15	L		IRA
62. --Global X FD DIS ETF	B	Dividend	L	T	Buy	05/15/15	L		IRA
63. --First Trust DSIP Portfolio-Unit Trust	B	Dividend	M	T	Buy	11/23/15	M		IRA

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page 4, line 3 - proceeds to Raymond James IRA

Part VII, page 5, line 33 - Rev. Wayland Baptist Univ. Proj. B/E B/O

Part VII, page 7, lines 53-56 - Sam R. Cummings & (redacted), tenants in common

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Samuel R. Cummings**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544