

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zobel, Rya W.	2. Court or Organization DC MA,	3. Date of Report 05/02/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. D.J., senior since 04/01/2014	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 1 Courthouse Way Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Rya W. Zobel Irrevocable Trust
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NY Intellectual Property Law Association	April 1, 2016	New York, NY	Meeting	transportation, lodging, food
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Assets of Rya W. Zobel									
2. Fidelity Select Retailing FSRPX	A	Dividend	K	T					
3. T.Rowe Price Blue Chip Growth, Inc TRBCX	A	Dividend	J	T					
4. Calamos Growth Class A CVGRX	A	Dividend	J	T					
5. Ishares Comex Gold Trust IAU	A	Dividend	J	T	Buy (add'l)	06/17/16	J		
6. Sector SPDR TR SHS Ben Int Financial XLF	A	Dividend			Sold	01/28/16	J		
7.			J	T	Buy	11/15/16	J		
8. Powershares QQQ TR Unit Ser 1 QQQ (X)	A	Dividend	K	T					
9. IShares TR Russell 2000Index FD IWM	A	Dividend	K	T					
10. Amex SPDR Energy Select Index XLE	A	Dividend	J	T					
11. Amex SPDR Consumer Dis Select XLY	A	Dividend	K	T					
12. SPDR S&P Retail ETF XRT	A	Dividend	K	T					
13. IShares DJ US Broker Dealers IAI	A	Dividend	J	T					
14. Amex SPDR Technology Select Index XLK	A	Dividend	K	T					
15. IShares Biotechnology IBB	A	Dividend	J	T					
16. IShares Mid-Cap 400 Growth IJK	A	Dividend	K	T					
17. IShares Core S&P 500 IVV	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. SPDR S&P Hi Yld Aristocrats IDX SDY	A	Dividend	J	T					
19. Vaneck Vectors Semi- Conductors ETF (X)	A	Dividend	J	T	Buy	08/15/16	J		
20. Vanguard Health Care Index VHT (X)	A	Dividend	J	T	Buy	08/15/16	J		
21. FDIC Insured Deposit Account	A	Int./Div.	K	T					
22. Citizens Bank, checking	A	Interest	L	T					
23. Hanscom Federal Credit Union, savings	A	Interest	K	T					
24.									
25. Assets of Rya W. Zobel Irrevocable Trust									
26. Fidelity Total Bond FTBFX	B	Dividend	K	T					
27. American High Income Class F AHTFX	A	Dividend	J	T					
28. Forward Select Income KIFAX	B	Dividend	K	T					
29. Putnam Diversified Income FD CL Y, PDVYX	A	Dividend	J	T					
30. Putnam Income FD CL Y, PNCYX	A	Dividend	J	T					
31. Goldman Sachs Global Income Institutional, GSGLX	A	Dividend	J	T					
32. Payden Short ond, PYSBX	A	Dividend	J	T					
33. Vanguard Wellesley Income Fund PNCYX	A	Dividend	J	T					
34. FDIC Insured Deposit Account (X)	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Rya W. Zobel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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