

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Federman, Arthur B.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/08/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Charles Evans Whittaker Cthse. 400 East 9th St., Suite 6552 Kansas City, Mo. 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- Trustee, with no significant management duties - all assets are listed @VII 1990 and 1992 [redacted] Trusts, [redacted]
[redacted] Assets are disclosed in Part VII
-
-
-
-

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

-
-
-

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank NA	I was a guarantor on a real est. mortgage [REDACTED] Guarantee released- 4-16-12	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Second Mortgage Investment Co., Inc - a 1.58% shareholder	A	Interest	J	W					
2. Commerce Bank NA, Lenexa Ks.- MMkt	A	Interest	L	T	Buy (add'l)	12/31/11	L		pers MMT -no detail req.
3. Capitol Federal Savings, Topeka, Ks. Cash Accts	B	Interest	J	T	Redeemed (part)	07/01/11	J		deposits & withdrawals
4. Goldman Sachs, ILA Federal Portfolio	A	Interest			Closed	11/18/11	J		CKG/MM Acct detail not req
5. Vanguard Fed MM Fund	B	Int./Div.	K	T	Sold (part)	05/16/11	J	A	CKG/ MM Acct no detail Req
6. Vanguard - 500 Index Fund Admiral Shares - Total Bal	C	Int./Div.	M	T					
7. - Vanguard - 500 Index Fund Admiral Shares					Redeemed (part)	09/09/11	J	A	a current year redemption
8. - Vanguard -Total Intern Stock Indx Total					Closed	02/14/11	M		Fd has 0 Bal at 12-31-11
9. Vanguard - Total Intern. Stock Idx Adm-Total	C	Int./Div.	M	T					
10. - Vanguard - Total Intern. Stock Idx Admiral					Buy	02/14/11	M		
11. - Vanguard - Total Intern. Stock Idx Admiral					Buy	05/09/11	J		
12. Vanguard - Total Stock Market Index Fund Admiral Shrs-Bal	C	Dividend	M	T					
13. - Vanguard - Total Stock Market Index Fund Admiral Shrs					Redeemed (part)	05/09/11	J	A	a current year redemption
14. Northwestern Mutual Life Ins Policy	B	Interest	M	T					
15. Federman Family L.P. - Limited Partnership Interest	E	Interest	P1	W	Open	12/31/11	P1		Gift Rec. [REDACTED]
16. Commerce Bank N.A., Kansas City, Mo. - Ckg Acct.	A	Interest	J	T	Buy (add'l)				ckg acct detail not req.
17. FHLB Multi Stepup Callable	B	Interest	L	T					

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 F ~\$50,001 - \$100,000	C ~\$2,501 - \$5,000 G ~\$100,001 - \$1,000,000	D ~\$5,001 - \$15,000 H1 ~\$1,000,001 - \$5,000,000	E ~\$15,001 - \$50,000 H2 ~More than \$5,000,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA Acct. -Total Assets in Accounts - Detail Follows	C	Dividend	M	T					
19. - IRA Acct. - (GNMA) Govt. Natl. Mtg. Ass Pool - Commerce									
20. - IRA Acct - Vangaurd acct - Prime MM FD					Redeemed (part)	09/09/11	J		a mmkt acct-no detail req
21. - IRA Acct - Fidelity Select Health Care									
22. - IRA Acct - T.Rowe Price New ERA									
23. - IRA Acct - Treasury Fund - Capital Reserves MMKT					Closed	01/01/11	K		Closed 7-2-10 - Prior Yr
24. Trust # 1 - Total Assets of Trust -Asset Detail Follows	E	Int./Div.	O	T	Buy (add'l)	05/09/11	K		Inc includes Life Ins div.
25. - Trust # 1 - Guardian Life Ins. - Cash Value									
26. - Trust # 1 - Northwestern Mutual Life - Cash Value									
27. - Trust # 1 -(now) Met Life Ins. Policy - Term -									
28. - Trust # 1 - Goldman Sachs ILA Federal Portfolio					Closed	11/22/11	J		mmkt fd - no detail req.
29. - Trust # 1 - Comm. Bank N.A., Kansas City, Mo. Ckg acct.					Buy (add'l)				ckg acct - no detail req.
30. - Trust # 1 - Comm Bank NA - Kansas City Mo					Open	11/18/11	L		mmkt acct - no detail req
31. - Trust # 1 - Shawnee Cnty Ks Gen Oblig Series					Matured	09/01/11	K	A	
32. - Trust # 1 - FHLB Callable 8-19-11					Redeemed	08/19/11	K	A	
33. - Trust # 1 -US Treas Note									
34. - Trust # 1 - FHLB Callable 02/24/12					Buy	08/24/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Trust # 2 - Total Assets of Trust -Detail Follows	E	Int./Div.	P1	T					
36. - Trust # 2 - Vanguard Fed MM Portfolio, Philadelphia, Pa.					Buy (add'l)				mmkt fd - no detail req.
37. - Trust # 2 - Vanguard Health Care Fund Inv					Buy (add'l)				reinvested div. only
38. - Trust # 2 - Vanguard Total Stock Mkt Idx FundFund					Buy (add'l)				reinvested div. only
39. - Trust # 2 - Goldman Sachs ILA Federal Portfolio					Buy (add'l)				mmkt fd - no detail; req.
40. - Trust # 2 - Commerce Bank - ckg acct.					Buy (add'l)				ckg acct - no detail req.
41. - Trust #2 - GE Money Bank - CD - Salt Lake City					Closed	05/13/11	K	A	cashed in
42. - Trust #2 - GE Money Bank - CD - Draper, Ut					Closed	11/21/11	M	A	cashed in
43. - Trust #2 - Johnson Cnty Ks Unified School GO									
44. - Trust #2 - CD at Commerce Bank, KC, Mo					Matured	08/24/11			N/C but renewed 8-24-11
45. - Trust # 2 - FHLB Callable 9-15-10					Redeemed	9/30/11	M		
46. - Trust #2 - Columbus Bk & Trust - CD									
47. - Trust # 2 - Derby Ks. Gen Oblig Bds									
48. - Trust # 2 - FHLB Callable 3-30-11					Redeemed	03/30/11	L	A	
49. - Trust # 2 - FHLB Stepup Callable					Redeemed	08/19/11	M	A	
50. - Trust # 2 - FHLB Callable 2-23-11					Redeemed	07/28/11	K	A	
51. - Trust # 2 - FNMA Callable 6-28-11					Redeemed	06/28/11	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - Trust # 2 - GE Mony Bank - CD - 7-1-13					Buy	07/01/11	K		
53. - Trust # 2 - CIT Bank CD 11/25/13					Buy	11/23/11	M		
54. - Trust # 2 - FHLB -callable 5/23/12					Buy	05/23/11	K		
55. - Trust # 2 - FFCB Callable - 8/15/12					Buy	08/15/11	L		
56. - Trust # 2 FHLB - callable - 2/24/12					Buy	08/24/11	K		
57. - Trust # 2 FANNIE MAE callable 9-28-12					Buy	09/30/11	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The assets and value amount references shown in Part VII which are identified as Trust # 1 are for the full value of the assets held in Trust even though I only own a 1/3 beneficial interest in the Trust. I am the trustee of the Trust.

The assets and value references shown in Part VII which are identified as Trust # 2 are for the full value of the assets held in Trust even though I only own a 1/3 interest in the Trust. I am the trustee of the Trust.

Asset # 16 is a limited partnership interest - I have received periodic gifts from [REDACTED] of additional limited ownership interests in this limited partnership from January of 2003 through December 31, 2011. My ownership interest is 33% as of 12-31-11. The partnership's investments are almost exclusively in State Tax Exempt Bonds and Certificates of Deposit. I have no management responsibilities for the activities of this partnership.

Asset # 23 was closed out 7-2-10 and was inadvertently left on the 2010 report in error. This report clarifies its status and it will be removed completely from the 2012 report when filed.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Arthur B. Federman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544