

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WALLACH, EVAN J.	2. Court or Organization U.S. COURT OF INTERNAT, TRADE	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CIRCUIT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. COURT OF INTERNAT, TRADE ONE FEDERAL PLAZA NEW YORK, NY 10278-0001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	PARTNER	WWH PARTNERSHIP (INVESTMENT PARTNERSHIP)
2.	MEMBERSHIP	SPEEDWAY STONE ASSOCIATES, LLC (INVESTMENT)
3.	BOARD OF ADVISORS	INTERNATIONAL HUMANITARIAN LAW CLINIC AT EMORY LAW SCHOOL
4.	MEMBER	AMERICAN LAW INSTITUTE
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

WALLACH, EVAN J.

Date of Report

05/15/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	BROOKLYN LAW SCHOOL - TEACHING	\$8,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	US DEPARTMENT OF EDUCATION - DEPUTY ASSISTANT SECRETARY OF EDUCATION
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. GEORGETOWN UNIVERSITY LAW	MARCH 3, 2011	WASHINGTON, DC	EDUCATIONAL SEMINAR	TRANSPORTATION, LODGING, & MEALS
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

WALLACH, EVAN J.

Date of Report

05/15/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

WALLACH, EVAN J.

Date of Report

05/15/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CITIBANK CASH	A	Interest	J	T					
2. CITIBANK CASH	A	Interest	N	T					
3. GENERAL ELECTRIC	A	Dividend			Sold	02/01/11	J	C	
4. CHASE BANK HOUSEHOLD ESCROW ACCOUNT	A	Interest	J	T					
5. CHARLES SCHWAB CASH	A	Int./Div.	L	T					
6. FIDELITY CONTRAFOUND (IRA)	B	Dividend	L	T					
7. FIDELITY MAGELLAN (IRA)	C	Dividend	L	T					
8. FIDELITY PURITAN (IRA)	B	Dividend	L	T					
9. TIAA-TRADITIONAL	B	Interest	K	T					
10. TIAA-CREF STOCK	D	Dividend	L	T					
11. TIAA-GROWTH STOCK	A	Dividend	J	T					
12. TIAA-GLOBAL EQUITIES	C	Dividend	K	T					
13. TIAA-SOC CH	C	Dividend	L	T					
14. ADDISON AVE-FEDERAL CREDIT UNION	A	Dividend	J	T					
15. FIDELITY ADVISOR SER 1 EQUITY GROWTH (IRA)	A	Dividend	L	T					
16. FIDELITY ADVISOR EQUITY PORT INCOME (IRA)	A	Dividend	K	T					
17. FRANKLIN STRATEGIC BIOTECHNOLOGY (IRA)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

WALLACH, EVAN J.

Date of Report

05/15/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. GROWTH FUND AMERICA (IRA)	A	Dividend	K	T					
19. SELIGMAN COMMUNICATIONS & INFORMATION FUND (IRA)	A	Dividend	K	T					
20. ALCATEL-LUCENT CORP COMMONSTOCK (IRA)	A	Dividend			Sold	12/30/11	J	A	
21. GENERAL ELECTRIC CO (IRA)	B	Dividend	K	T					
22. LSI CORP (IRA)	A	Dividend			Sold	12/30/11	J	A	
23. MICROSOFT COPR (IRA)	A	Dividend			Sold	12/30/11	K	D	
24. MVC CAPITAL (IRA)	B	Dividend	K	T					
25. PFIZER INCORPORATED (IRA)	A	Dividend			Sold	04/28/11	J	B	
26. WELLS FARGO CASH (IRA)	A	Interest	K	T					
27. WELLS FARGO -FIRST CLEARING, LLC	E	Distribution			Distributed	03/07/11	K		
28. 1 PARCEL REAL PROPERTY TUCSON, AZ (1/3) INTEREST	A	Rent	K	W					
29. 1 PARCEL REAL PROPERTY TUCSON, AZ (1/3) INTEREST	A	Rent	M	W					
30. UBS FINANCIAL(IRA)	A	Distribution			Distributed	08/19/11	K		
31.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

WALLACH, EVAN J.

Date of Report

05/15/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

WALLACH, EVAN J.

Date of Report

05/15/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **EVAN J. WALLACH**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544