

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zipps, Jennifer G.	2. Court or Organization United States District Court, District of Arizona	3. Date of Report 02/01/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 405 W. Congress, Ste 5170 Tucson, AZ 85701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. April, 2016	Constitutional Law Drafting Committee Multi-State Bar Examination Meeting	\$3,500.00
2. Sept, 2016	Constitutional Law Drafting Committee Multi-State Bar Examination Meeting	\$3,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bar Examiners	04/21/2016 - 04/24/2016	Miami, FL	Constitutional Law Drafting Committee Meeting	Travel, lodging, food in conjunction with meeting
2. National Conference of Bar Examiners	09/29/2016 - 10/02/2016	Vancouver, British Columbia, Canada	Constitutional Law Drafting Committee Meeting	travel, lodging, food in conjunction with meeting
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America (Accounts)	A	Interest	M	T					
2. Princess Jennifer LLC (LLC owns shopping ctr in NM)		None	K	W					
3. Metropolitan Life money market	D	Interest	O	T					
4. Bank of America (Accounts)	B	Interest	L	T					
5. Fidelity Low Priced Stock	B	Dividend			Buy	05/02/16	K		
6. Fidelity Low Priced Stock	B	Dividend			Sold	10/13/16	K	A	
7. Fidelity Stock Selector Small Cap mutual fund		None			Sold (part)	08/01/16	K	D	
8. Fidelity Stock Selector Small Cap mutual fund		None			Sold	10/13/16	K	C	
9. Fidelity Capital Appreciation mutual fund		None			Sold	03/07/16	L	C	
10. Fidelity Growth Co. mutual fund	D	Dividend	M	T	Buy (add'l)	08/01/16	K		
11. Fidelity AZ Municipal money market fund (checking acct)	A	Dividend			Sold	06/07/16	K	A	
12. Fidelity Government Money Market (checking account)	A	Dividend	K	T	Buy	06/07/16	K		
13. Traveler's Insurance, common stock	A	Dividend	J	T					
14. Fidelity Arizona Municipal Income Fund	C	Dividend	M	T					
15. Fidelity Spartan 500 Index Fund	C	Dividend	M	T					
16. Fidelity Select Construction and Housing Portfolio		None			Sold	04/20/16	J	A	
17. Fidelity Blue Chip Growth Fund		None			Sold	05/02/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity Balanced Fund	A	Dividend			Sold	10/17/16	K	A	
19. Fidelity Select Industrials Portfolio	B	Dividend	K	T	Buy	10/18/16	K		
20. Fidelity Select Industrials Portfolio	B	Dividend		T	Buy (add'l)	11/17/16	K		
21. Fidelity Select Consumer Discretionary Portfolio	A	Dividend	K	T	Buy	03/07/16	L		
22. Fidelity Select Consumer Discretionary Portfolio	A	Dividend			Sold (part)	10/18/16	K	B	
23. Fidelity Select Insurance	A	Dividend	K	T	Buy	10/17/16	K		
24. Fidelity Rollover IRA	A	Dividend	O	T					
25. -John Hancock Disciplined Value	A	Dividend							
26. -Pimco Income Fund Class A	B	Dividend							
27. -Fidelity Contra Fund	C	Dividend							
28. -Fidelity Total Bond Fund	A	Dividend			Sold	05/11/16	K	A	
29. -Fidelity Large Cap Value Enhanced Index		None			Buy	12/27/16	L		
30. -Fidelity Small Cap Value Enhanced Index	A	Dividend			Buy	10/03/16	L		
31. -Fidelity Event Driven Opportunities	B	Dividend			Buy	05/11/16	K		
32. -Fidelity Low Priced Stock Fund	C	Dividend			Buy	04/04/16	L		
33. -Fidelity Low Priced Stock Fund					Buy (add'l)	05/02/16	K		
34. -Fidelity Small Cap Stock Fund	B	Dividend			Sold	10/03/16	L	C	

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35. -Fidelity Growth Strategies Fund	A	Dividend			Sold (part)	05/02/16	K	A	
36. -Fidelity Blue Chip Growth Fund	C	Dividend			Sold (part)	04/04/16	L	A	
37. -Fidelity Blue Chip Growth Fund					Sold (part)	05/02/16	K	A	
38. -Fidelity Select Construction and Housing Portfolio		None			Sold	05/11/16	J	A	
39. -Fidelity Value Strategies Fund	E	Dividend			Buy (add'l)	05/02/16	K		
40. -Fidelity Value Strategies Fund					Sold (part)	10/03/16	K	A	
41. -Fidelity Value Strategies Fund					Sold	12/29/16	L	D	
42. -Fidelity Govt Money Market	A	Dividend							
43. Mass Mutual Group Variable Universal Life Policy	A	Interest			Expired	09/30/16	J	A	See Section VIII

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Mass Mutual policy described at Line 43 of Section VII was described on prior reports as a Mass Mutual Variable Life Policy. It is more precisely described as a Mass Mutual Group Variable Universal Life Policy. While in force, it had no assets selected by or reported to the policyholder.

The Metropolitan Life money market account on line 3 is a settlement option for a life insurance policy. It has no assets selected by or reported to the beneficiary of the policy.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jennifer G. Zipps**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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