

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Noonan, Jr., John T.	2. Court or Organization U.S. Court of Appeals, 9th	3. Date of Report 08/10/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Court of Appeals 95 Seventh Street San Francisco, CA 94103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member	Advisory Council, Transparency International
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 07/01/86	University of California pension based on service
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Noonan, Jr., John T.

Date of Report

08/10/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Pension: University of California	\$47,908.03
2. 2015	Royalties: University of Notre Dame Du Lac	\$140.68
3. 2015	Royalties: University of California Los Angeles	\$132.54
4. 2015	Royalties: LEG, Inc dba West Academic	\$427.09
5. 2015	Royalties: Amazon Digital Services, Inc	\$32.66
6. 2015	Royalties: Harvard University	\$129.26

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Noonan, Jr., John T.

Date of Report

08/10/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Noonan, Jr., John T.

Date of Report

08/10/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Checking #1	A	Interest	M	T					
2. Wells Fargo Market Rate #1	A	Interest	M	T					
3. Wells Fargo retirement CD's	B	Interest	K	T					
4. Teachers Insurance and Annuity Association	D	Interest	M	T					
5. ****Schwab IRA #1 (H)									
6. - Schwab U.S. Treasury Money Fund		None	K	T					
7. - Fidelity Growth Company	D	Dividend	M	T					
8. - Vanguard FTSE All-Wld ex-US Idx Admiral	B	Dividend	L	T					
9. - IVA International I	D	Dividend	L	T					
10. - Parametric Emerging Markets Fund	B	Dividend	L	T					
11. - Metropolitan West Total Return Bond I	A	Dividend	K	T	Buy	02/13/15	J		
12. - Dreyfus/Standish Global Fixed Income I	A	Dividend	K	T					
13. - Principal Diversified Real Asset Inst	A	Dividend	K	T					
14. ****Schwab IRA #2 (H)									
15. - Fidelity Equity Income II	A	Dividend	J	T					
16. - Metropolitan West Total Return Bond Fund	A	Dividend	J	T	Sold (part)	06/10/15	J		
17. Legacy Treasury Direct	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

Noonan, Jr., John T.

Date of Report

08/10/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. ****Schwab #1 (H)									
19. - Schwab U.S. Treasury Money Fund		None	K	T					
20. - Coca-Cola Common	B	Dividend	L	T					
21. - Eli Lilly & Corporation	B	Dividend	L	T					
22. - Fortune Brands Home & Security	A	Dividend	K	T					
23. - General Mills	C	Dividend	L	T					
24. - International Business Machines Common	B	Dividend	L	T					
25. - JP Morgan Chase & Co Com	C	Dividend	M	T					
26. - Monsanto Co New Com	A	Dividend	K	T					
27. - Pfizer Inc.	B	Dividend	L	T					
28. - Procter & Gamble Co Common	C	Dividend	L	T					
29. - BP Plc	B	Dividend	K	T					
30. - Harbor International	D	Dividend	M	T					
31. - IVA International I	C	Dividend	L	T					
32. - Parametric Emerging Markets Fund	A	Dividend	J	T	Sold (part)	06/10/15	K	A	
33. - JPMorgan Strategic Income Opps	C	Dividend	L	T	Sold (part)	06/10/15	K		
34. - Wasatch Long/Short	D	Dividend	K	T	Sold (part)	06/10/15	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Noonan, Jr., John T.

Date of Report

08/10/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Wasatch Long/Short					Sold (part)	12/21/15	J		
36. - Metropolitan West Total Return Bond I	C	Dividend	M	T	Buy (add'l)	02/13/15	K		
37. - Dreyfus/Standish Global Fixed Income I	B	Dividend	K	T	Buy (add'l)	02/13/15	K		
38. - Dreyfus/Standish Global Fixed Income I					Sold (part)	12/21/15	L		
39. - MainStay High Yield Municipal Bond I	A	Dividend			Sold	02/13/15	L	D	
40. - Vanguard FTSE All-Wld ex-US Idx Admiral	B	Dividend	M	T	Buy (add'l)	06/10/15	K		
41. - Vanguard FTSE All-Wld ex-US Idx Admiral					Buy (add'l)	12/21/15	K		
42. - iShares Currency Hedged MSCI EMU		None	L	T	Buy	12/17/15	L		
43. - WisdomTree Europe Hedged Equity ETF	B	Dividend			Buy	06/10/15	L		
44. - WisdomTree Europe Hedged Equity ETF					Sold	12/17/15	L		
45. **** Schwab #2 (H)									
46. - Schwab Govt Money Fund		None	L	T					
47. - Alhambra California Muni	B	Interest	K	T					
48. - Brea & Olinda California Muni	A	Interest			Sold	08/01/15	K		
49. - Burlingame California Muni	B	Interest	K	T					
50. - California State Economic Muni	B	Interest	K	T					
51. - Campbell California Un High Sch Dist Muni	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Noonan, Jr., John T.

Date of Report

08/10/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Clark County Nevada Hwy Muni	B	Interest	K	T					
53. - Contra Costa California Water District Muni	B	Interest	K	T					
54. - Kane Kendall Muni	A	Interest	K	T					
55. - Los Angeles California Cmnty College Muni	B	Interest	K	T	Buy (add'l)	01/02/15	K		
56. - Metropolitan Water District SoCal Muni	B	Interest			Sold	10/05/15	K	A	
57. - San Jose California Uni School District Muni	B	Interest	K	T					
58. - Saugus California Uni Sch Dist Muni	B	Interest	K	T					
59. - Simi Valley California Muni	B	Interest	K	T					
60. - Southern California Public Muni	B	Interest	K	T					
61. - William S Hart California Muni	B	Interest	K	T					
62. - California St Dept Wtr Res Pwr Muni	A	Interest			Sold	05/01/15	J		
63. - Chula Vista California Elem Sch Dis Muni	A	Interest	K	T					
64. - Long Beach California Hbr Muni	A	Interest	K	T					
65. - Los Angeles California Dept Water Muni	A	Interest	K	T					
66. - San Diego California Pub Muni	A	Interest			Sold	04/30/15	K		
67. - Wiseburn California Sch Dis Muni	A	Interest			Sold	08/01/15	K		
68. - Escondido California Muni	A	Interest	K	T	Buy	04/30/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Noonan, Jr., John T.

Date of Report

08/10/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - Los Angeles California Hbr Muni	A	Interest	K	T	Buy	02/03/15	K		
70. - Los Angeles California Uni Sch District Muni		None	K	T	Buy	08/04/15	K		
71. - Rancho California Water District Muni	A	Interest	K	T	Buy	08/18/15	K		
72. - Roseville California Muni		None	K	T	Buy	12/24/15	K		
73. - San Francisco California City & Cnty Muni	A	Interest	K	T	Buy	08/13/15	K		
74. - San Gabriel California Uni Sch District Muni		None	K	T	Buy	10/02/15	K		
75. **** Schwab IRA #3 (H)									
76. - Wells Fargo Advantage Government Sec A	A	Int./Div.	J	T					
77. - Metropolitan West Total Return Bond I	A	Dividend	J	T	Sold (part)	02/13/15	J		
78. **** Schwab IRA #4 (H)									
79. - Wasatch Long/Short	A	Dividend	J	T	Sold (part)	06/10/15	J		
80. ****BofA Trust Number #1 (No personal income) (H)	D	Dividend	O	T					
81. - Dividend Income Common Trust Fund	B	Dividend	K	T	Redeemed (part)	01/06/15	J	A	
82. - High Quality Core Common Trust Fund	A	Dividend	K	T					
83. - Strategic Growth Common Trust Fund	A	Dividend	K	T	Redeemed (part)	01/06/15	J	A	
84. - Strategic Growth Common Trust Fund					Redeemed (part)	03/04/15	J	A	
85. - Mid Cap Growth CTF	A	Dividend	J	T	Redeemed (part)	01/06/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Noonan, Jr., John T.

Date of Report

08/10/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. - Mid Cap Growth CTF					Redeemed (part)	04/06/15	J	A	
87. - Mid Cap Value CTF	A	Dividend	J	T	Redeemed (part)	01/06/15	J		
88. - Mid Cap Value CTF					Redeemed (part)	04/06/15	J	A	
89. - IShares Core S&P Mid Cap ETF	A	Dividend	K	T	Sold (part)	03/04/15	J	A	
90. - Small Cap Growth Leaders CTF	A	Dividend	J	T					
91. - Small Cap Value CTF	A	Dividend	J	T					
92. - IShares Russell 2000 ETF	A	Dividend	J	T					
93. - International Focused Equity Common Trust Fund	A	Dividend	K	T	Buy (add'l)	04/06/15	J		
94. - Vanguard FTSE Developed Markets ETF	A	Dividend	K	T	Buy (add'l)	01/06/15	J		
95. - Emerging Markets Stock Common Trust Fund	A	Dividend	K	T	Redeemed (part)	04/06/15	J	A	
96. - Crossover Fixed Income Common Trust Fund	B	Dividend	M	T	Buy (add'l)	03/04/15	J		
97. - Templeton Global Bond Fund Advisor CL		None			Redeemed	03/02/15	J		
98. - Ivy Asset Strategy Fund	A	Dividend	K	T					
99. - Vanguard REIT	B	Dividend	K	T	Sold (part)	03/04/15	J	A	
100. - Powershares DB Commodity Index Fund		None	J	T	Sold (part)	01/06/15	J		
101. - Pimco High Yield Fund	A	Dividend	J	T	Redeemed (part)	08/03/15	J		
102. - Goldman Sachs Strategic Income Fund	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Noonan, Jr., John T.

Date of Report

08/10/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - iShares Core S&P 500 ETF	A	Dividend	K	T	Buy	01/06/15	K		
104. - iShares Core S&P 500 ETF					Buy (add'l)	08/05/15	J		
105. - WisdomTree Europe Hedged Equity Fund	A	Dividend	J	T	Buy	04/06/15	J		
106. - Pimco Foreign Bonds	A	Dividend	J	T	Buy	03/02/15	J		
107. - Vanguard Total Intl Bond Index Fund ETF	A	Dividend	J	T	Buy	03/04/15	J		
108. ****Trust #2 BofA (No personal income) (H)	D	Dividend	N	T					
109. - Strategic Growth CTF (formerly Large Cap Growth CTF)	A	Dividend	K	T					
110. - Large Cap Value Quantitative Common Trust Fund	A	Dividend	K	T					
111. - Mid Cap Growth CTF	A	Dividend	J	T					
112. - Mid Cap Value CTF	A	Dividend	J	T					
113. - Small Cap Growth Leaders CTF	A	Dividend	J	T					
114. - Small Cap Value CTF	A	Dividend	J	T					
115. - International Equity CTF	A	Dividend	J	T					
116. -Emerging Markets Stock Common Trust Fund	A	Dividend	J	T					
117. - MA Intermediate Tax Exempt Bond	C	Interest	M	T					
118. - Rowe T Price International		None			Redeemed	01/08/15	J		
119. - Columbia High Yield Muni Fund	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

Noonan, Jr., John T.

Date of Report

08/10/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Ivy Asset Strategy Fund	A	Dividend	J	T					
121. - Permanent Portfolio	A	Dividend	J	T					
122. - Pimco All Asset All Auth Fund	A	Dividend	J	T					
123. - Vanguard REIT	A	Dividend	J	T					
124. - Powershares DB Commodity Fund		None	J	T	Sold (part)	01/12/15	J		
125. - Pimco Foreign Bonds	A	Dividend	J	T	Buy	01/08/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Noonan, Jr., John T.

Date of Report

08/10/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

To simplify the organization of the report, and to make yearly updates easier under Part VII, investment accounts with multiple investments will have the investment firm name and designated number listed first, preceded with four (4) asterisks. Next, the underlying investments are listed in the lines thereafter, preceded by a hyphen, as shown below:

****Account Name #1

-Large Cap Fund

-Small Cap Fund

-Mid Cap Fund

Two trusts referred to in this report are as follow:

1. Trust #2: Trust #2 was created on December 15, 1976. It became irrevocable in 1990 and divided into three shares. The trustee has discretion to pay the income and principal of my share to the beneficiaries.
2. Trust #1: Trust #1 was formed in 1976. It became irrevocable in 1987 and was divided into three equal shares. The trustee has discretion to pay some or all of the net income or principal of my share to the beneficiaries.
3. The income of both trusts was paid by the trustee in 2015 to the beneficiaries. At the request of the Committee on Financial Disclosure, I have summarized the reports I have received.

In April 2000, Fleet Bank acquired the Bank of Boston, and then Bank of America acquired Fleet, and became the trustee of the above trusts. The trusts were also subdivided into distinct trusts for the grantors. Report is not made here of sales of odd lots (typically one or two shares) made to make it easier to divide the trusts into thirds.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Noonan, Jr., John T.

Date of Report

08/10/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John T. Noonan, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544