AO 10
Rev. 1/2012

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Scullin, Frederick J.	US District Court (NDNY)	05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2011 **to** 12/31/2011
7. Chambers or Office Address		
PO Box 7255 100 S. Clinton Street Syracuse, NY 13261		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2011	NYS & Local Employees Retirement System - Lifetime
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2011	NYS & Local Employees Retirement System - Lifetime	$6,975.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Massachussetts Mutual Life	Policy Loan(s)	K
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Scullin, Frederick J.	05/14/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. National Financial Brokerage Account - Treasury Fund MM	A	Interest			Sold	09/15/11	J	A	
3. Common Stock - AT&T (T)	A	Int./Div.			Sold	05/23/11	J	A	
4. Common Stock - Nextera Energy (formerly FPL)	A	Int./Div.			Sold	08/03/11	J	A	
5. Key Bank - Cash, Money Markets, FDIC Deposits	A	Interest	K	T	Open	09/15/11	K		
6. Lord Abbett Large Cap Value Fund	A	Dividend	K	T					
7. Ishares Comex Gold Tr		None			Sold	12/30/11	K	D	
8. Brokerage Account #2 IRA									
9. National Financial Brokerage Account - Prime Fund MM	A	Interest			Sold	09/15/11	K	A	
10. Common Stock - ConocoPhillips (COP)	A	Dividend			Sold	03/23/11	J	A	
11. Common Stock - Nextera Energy (formerly FPL)	A	Int./Div.			Sold	09/02/11	J	A	
12. Powershares Emerging Markets Sovereign Debt ETF (PCY)	A	Int./Div.			Sold	09/15/11	J	A	
13. JP Morgan Market Neutral	A	Int./Div.			Sold	08/30/11	J	A	
14. PIMCO Commodity Real Return	A	Int./Div.			Sold	09/15/11	J	A	
15. Cash, Money Markets, FDIC Deposits - Key Bank Cash Accountin	A	Int./Div.	L	T	Open	09/15/11	K		
16. Merger Fund	A	Dividend	J	T					
17. Pimco All Asset All Authority Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Scullin, Frederick J.	05/14/2012

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Pimco Global Multi Asset Fund	A	Dividend	J	T					
19. Powershare Global Exchange	A	Distribution			Sold	12/30/11	J	A	Buy omitted on 2010 report
20. Brokerage Account #3 IRA									
21. National Financial Brokerage Account - Prime Fund MM	A	Interest			Sold	09/15/11	K	A	
22. Common Stock - ConocoPhillips (COP)	A	Dividend			Sold	03/23/11	J	A	
23. Common Stock - Nextera Energy (formerly FPL)	A	Int./Div.			Sold	09/02/11	J	A	
24. Powershares Emerging Markets Sovereign Debt ETF (PCY)	A	Int./Div.			Sold	09/15/11	J	A	
25. JP Morgan Market Neutral	A	Int./Div.			Sold	08/30/11	J	A	
26. Merrill Lynch CMA Account	A	Int./Div.			Sold	09/15/11	J	A	
27. GE Interest Plus Money Market	A	Interest			Sold	09/15/11	J	A	
28. Key Bank - Cash, Money Markets, FDIC Deposits	A	Int./Div.	K	T					
29. Merger Fund	A	Dividend	J	T					
30. Pimco All Asset All Authority Fund	A	Dividend	J	T					
31. Pimco Global Multi Asset Fund	A	Dividend	J	T					
32. Powershares Global Exchange	A	Distribution			Sold	12/30/11	J	A	Buy omitted on 2010 report
33. Columbia NY 529 Plan Balanced NY 529 Class C	A	Dividend	J	T					Buy omitted on 2010 report
34. Massachussetts Mutual SPLD (3)	C	Dividend	L	T					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.									
36.									

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	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Scullin, Frederick J.	05/14/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Frederick J. Scullin

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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