

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KERN, TERENCE C.	2. Court or Organization USDC/NDOK	3. Date of Report 05/01/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address The Federal Building 224 South Boulder Ave., Rm 241 Tulsa, OK 74103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Per conversation w/committee-complete list of mineral interests		None	J	W					
2. Custer County, OK (H)		None	J	W					
3. 1-12N-16W, Chesapeake, Chaparral (Hix, Penner) (X)	A	Royalty	J	W					
4. 3-12N-16W (X)		None	J	W					
5. 3-14N-16W, Raven Ridge (Colt) (X)	C	Royalty	J	W					
6. 6-12N-15W, Ward (Randol, Anita) (X)	A	Royalty	J	W					
7. 7-12N-15S (X)		None	J	W					
8. 7-14N-15W, Crawley (Miller Trust) (X)	A	Royalty	J	W					
9. 10-12N-16W, Linn Operating (Newcomb) (X)	A	Royalty	J	W					
10. 10-15N-16W, Encino Operating (Bitterroot) (X)	A	Royalty	J	W					
11. 14-15N-16W, Excalibur (Anita) (X)	A	Royalty	J	W					
12. 16-15N-16W, Mewbourne, Unit Petroleum (Jones) (X)	A	Royalty	J	W					
13. 18-12N-14W (X)		None	J	W					
14. 22-15N-16W, Chesapeake (Boyd Miller) (X)	A	Royalty	J	W					
15. 23-16N-17W, Blake Production (Putnam Oswego) (X)	A	Royalty	J	W					
16. 27-15N-16W (X)		None	J	W					
17. 28-15N-16W, Excalibur (Lealon, Johnston) (X)	A	Royalty	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. 29-15N-16W, Mewbourne Oil (Elizabeth, Dotson) (X)	A	Royalty	J	W					
19. Dewey County, OK (H)		None	J	W					
20. 11-15N-17W (X)		None	J	W					
21. 11-16N-17W (X)		None	J	W					
22. 20-16N-17W (X)		None	J	W					
23. 23-16N-17W, Blake Production (Putnam Oswego) (X)	A	Royalty	J	W					
24. 34-16N-17W, Encino Operating (Branham)	A	Royalty	J	W					
25. Garvin County, OK (H)		None	J	W					
26. 1-1N-2W, MacKellar, Geo. Dale, Rimrock (Eva, Mundy-Walters, Grimes) (X)	A	Royalty	J	W					
27. 4-1N-1W (X)		None	J	W					
28. 5-1N-1W (X)		None	J	W					
29. 10-1N-1W (X)		None	J	W					
30. 10-2N-2E (X)		None	J	W					
31. 12-3N-3W, ONEOK, Sunoco (Curry, Pecan) (X)	A	Royalty	J	W					
32. 13-1N-1W, Pruitt, Vitol (N Hoover Hoxbar, Tuley Deese)	A	Royalty	J	W					
33. 16-2N-1E (X)		None	J	W					
34. 20-1N-1W (X)		None	J	W					

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35. 22-1N-1E (X)		None	J	W					
36. 22-2N-1W (X)		None	J	W					
37. 26-1N-3W, Citation (Floyd)	A	Royalty	J	W					
38. 29-3N-3E, D&K Oil (Crump-Muncrief)	A	Royalty	J	W					
39. 36-1N-3W (X)		None	J	W					
40. Logan County, OK (H)		None	J	W					
41. 31-17N-1E (X)		None	J	W					
42. Major County, OK (H)		None	J	W					
43. 21-20N-16W, Carrera Energy (Almond Joy) (X)	A	Royalty	J	W					
44. 27-20N-16W, XTO Energy, PNG Oper (Sparks, Miller, Harry Bensch)	A	Royalty	J	W					
45. 27-20N-26W (X)		None	J	W					
46. 34-20N-16W, Chesapeake (Kimball) (X)		None	J	W					
47. McClain County, OK (H)		None	J	W					
48. 22-8N-4W, Newfield Exploration (Larry)	D	Royalty	J	W					
49. Murray County, OK (H)		None	J	W					
50. 1-1N-1E (X)		None	J	W					
51. 18-1N-2E, American Petroleum (N Davis Basal)	A	Royalty	J	W					

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52. 35-2N-2E (X)		None	J	W					
53. 36-2N-1E (X)		None	J	W					
54. Seminole County, OK (H)		None	J	W					
55. 27-10N-7E (X)		None	J	W					
56. Stephens County, OK (H)		None	J	W					
57. 10-2S-5W, Jack Oil (Crump-Wildcat) (X)	A	Royalty	J	W					
58. 15-2S-5W (X)		None	J	W					
59. BROKERAGE ACCOUNT # 1 (H) Y		None							
60. --UBS Bank USA Deposit Acct Y	A	Interest			Closed	11/2/18	J	A	
61. BROKERAGE ACCOUNT #2 (H)									
62. UBS Bank USA Deposit Acct(Active Asset Money Fund)	A	Interest	L	T					
63. Plains All American Pipeline	A	Dividend	K	T					
64. Enterprise Products Partner LP MLP	D	Distribution	K	T					
65. Williams Partners LP MLP	A	Dividend	K	T					
66. ONEOK Inc New	B	Dividend	K	T					
67. USA Compression Partners	A	Distribution	K	T	Buy	6/7/18	K		
68.					Buy (add'l)	6/15/18	J		

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69. Apple Inc	A	Dividend	J	T	Buy	11/14/18	J		
70. Blackstone Group	A	Distribution	J	T	Buy	12/11/18	J		
71. BROKERAGE ACCOUNT #3 (H)									
72. Fidelity Investment Fund Deposit Acct (Active Asset Money Fund)	A	Interest	K	T					
73. Williams Cos Inc	A	Dividend			Buy (add'l)	04/04/18	J		
74.					Buy (add'l)	04/05/18	J		
75.					Buy (add'l)	04/05/18	J		
76.					Sold	12/05/18	J	A	
77. Intel Corp	A	Dividend	J	T	Buy	03/05/18	J		
78.					Buy (add'l)	04/04/18	J		
79. Bank of America Corp	A	Dividend	J	T	Buy	07/06/18	J		
80. Energy Transfer Partners	A	Distribution	J	T	Buy	07/09/18	J		
81. American Midstream Partners	A	Dividend	K	T	Buy	07/24/18	J		
82.					Buy (add'l)	07/31/18	J		
83.					Buy (add'l)	08/02/18	J		
84.					Buy (add'l)	12/11/18	J		
85. AT&T Inc	A	Dividend	J	T	Buy	08/08/18	J		

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86.					Buy (add'l)	08/08/18	J		
87.					Buy (add'l)	11/15/18	J		
88. Blackstone Mortgage (BXMT)	A	Dividend	J	T	Buy	08/08/18	J		
89.					Buy (add'l)	12/26/18	J		
90. Nuveen PFD (JPS)	A	Dividend	J	T	Buy	09/17/18	J		
91.					Buy (add'l)	10/09/18	J		
92.					Buy (add'l)	12/24/18	J		
93. AllianceBernstein GBL	A	Dividend	J	T	Buy	10/09/18	J		
94.					Buy (add'l)	10/11/18	J		
95.					Buy (add'l)	12/18/18	J		
96. Brookfield Real Assets	A	Dividend	J	T	Buy	10/09/18	J		
97.					Buy (add'l)	10/09/18	J		
98. Doubleline Income Solutions	A	Dividend	K	T	Buy	10/09/18	J		
99.					Buy (add'l)	10/11/18	J		
100. Medical PPTYs	A	Dividend	J	T	Buy	10/09/18	J		
101.					Buy (add'l)	12/11/18	J		
102. Superior Drilling Prods	A	Dividend	J	T	Buy	11/05/18	J		

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103.					Buy (add'l)	11/15/18	J		
104.					Buy (add'l)	12/18/18	J		
105. Blackstone Group (BX)	A	Dividend	J	T	Buy	11/23/18	J		
106.					Buy (add'l)	11/23/18	J		
107. New Residential Invst Corp	A	Dividend	J	T	Buy	12/17/18	J		
108.					Buy (add'l)	12/19/18	J		
109.					Buy (add'l)	12/21/18	J		
110.					Buy (add'l)	12/24/18	J		
111. Nuveen Amt Free (NVG)	A	Dividend	J	T	Buy	12/24/18	J		
112. Arbor Rlty	A	Dividend	J	T	Buy	12/26/18	J		
113. BROKERAGE ACCOUNT #4 - IRA (H)									
114. UBS Bank USA Dep Acct	A	Interest	J	T					
115. Financial Select Sector	A	Dividend	J	T					
116. SPDR S&P 500	B	Dividend	K	T	Buy (add'l)	02/09/18	J		
117. Technology Select Sector	B	Dividend	J	T					
118. Apple, Inc.	E	Dividend	L	T					
119. Intel Corp.	B	Dividend	J	T					

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	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

KERN, TERENCE C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	9/14/18	J		
121. Plains All American Pipeline	A	Dividend	K	T					
122. Bank of America Corp	D	Dividend	K	T					
123. OK 529 College Savings Plan Aggressive Managed Allocat-no control	A	Interest	L	T	Buy	04/04/18	J		
124.					Buy (add'l)	10/15/18	J		
125. Chambers-BIP Kodiak	A	Distribution	K	T					
126. Direct Access Capital Holdings	B	Interest	K	T					
127. Moriah Cash Management (X)	E	Interest	N	T					
128. Bank of Oklahoma accounts	A	Interest	L	T					
129. Mabrey Bank accounts	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ TERENCE C. KERN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544