

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McEwen, Catherine P.	2. Court or Organization Bankruptcy Court, M. Dist. Fla	3. Date of Report 08/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 801 N. Florida Avenue Suite 854 Tampa, Florida 33602-3899		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Past president/sole board member.	Catherine Peek McEwen, P.A. (administratively dissolved)
2. Advisory Board Member	Salesian Youth Center (charitable organization)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bankruptcy Institute	3/7-9/2013	St. Petersburg, FL	Paskay seminar	Lodging, ground transportation, meals.
2. American Bankruptcy Institute	3/10-12/2013	New York, NY	Dubenstein moot court competition	Air fare, lodging, ground transportation, tips, parking, and meals.
3. National Conference of Bankruptcy Judges	4/8-9/2013	Phoenix, AZ	Mid-Year Meeting	Air fare, lodging, ground transportation, tips, parking, and meals.
4. American Law Institute	5/19-22/2013	Washington, D.C.	Annual meeting	Subsidized air fare and lodging.
5. The Florida Bar	6/26-28/2012	Boca Raton, FL	Fla. Bar annual meeting	Air fare, lodging, ground transportation, tips, parking, and meals.

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6.	Jacksonville Bankruptcy Bar Association	8/22-24/2013	Jacksonville, FL	Annual seminar	Meals and lodging.
7.	The Florida Bar	8/31-9/2/2013	Naples, FL	Fla. Bar Business Law Section retreat	Mileage, lodging, and meals.
8.	National Conference of Bankruptcy Judges	10/29-11/2/2013	Atlanta, GA	Annual meeting	Air fare, ground transportation, lodging.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Tampa Bay Bankruptcy Bar Association	Dues waiver (\$85); regular lunches/holiday party,...cont'd in Pt. VIII.	\$465.00
2.	American Bankruptcy Institute	Comp'd meals (est.), comp'd hotel, waived regis. fee re Pt. IV, lines 1-2	\$1,414.00
3.	The Florida Bar	Comp'd meals (est.) and waived registration fees (three meetings, one seminar).	\$1,182.00
4.	Ray and Kathy Hyer/Gardner-Gibson, Inc./Sun Coatings, Inc.	UF FB Box tickets (8), UF v. GS (tickets, parking pass, food/beverages).	\$2,059.67
5.	Jacksonville Bankruptcy Bar Ass'n	Comp'd meals (est.) and waived registration fee re Pt. IV, line 6.	\$400.00

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Wachovia Bank DDA/CD/savings/MMA accounts	A	Interest	K	T					
2. State Farm Bank Account	A	Interest	J	T					
3. State Farm Life Ins. Co. Var. Deferred Annuity (ROTH IRA)	A	Interest	J	T					
4. AXA IRA #1 (SEP) (nos. 5-22 below)									
5. -Oppenheimer Global Strat. Inc. A (OPSIX)	A	Dividend			Sold	04/09/13	J	A	
6. -Oppenheimer Main St. Select A (OMSOX)	A	Dividend			Sold	04/09/13	J	A	
7. -Oppenheimer Main St. Sm.-M/Cap Class A (OPMSX)	A	Dividend			Sold	04/09/13	J	A	
8. -Oppenheimer Sm. and Mid Cap Value Class A (QVSCX)	A	Dividend			Sold	04/09/13	J	A	
9. -Oppenheimer Select Value (OSVAX)	A	Dividend			Sold	04/09/13	J	A	
10. -Oppenheimer Intl Diversified Class A (OIDAX)	A	Dividend							
11. -OIDYX	A	Dividend	J	T	Buy	04/30/13	J		
12. -Calamos Invt Trust New Growth Fund Class A (CVGRX)	A	Dividend			Sold	04/09/13	J	A	
13. -Calamos Invt TR New Conv FD-Class A (CCVIX)	A	Dividend			Sold	04/08/13	J	A	
14. -ASRAX	A	Dividend	J	T	Buy	04/30/13	J		
15. -ATIAX	A	Dividend	J	T	Buy	04/30/13	J		
16. -ABRZX	A	Dividend	J	T	Buy	04/30/13	J		
17. -AGDAX	A	Dividend	J	T	Buy	04/30/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -DPDFX	A	Dividend	J	T	Buy	04/30/13	J		
19. -FLSAX	A	Dividend	J	T	Buy	04/30/13	J		
20. -FAGAX	A	Dividend	J	T	Buy	04/30/13	J		
21. -FRDPX	A	Dividend	J	T	Buy	04/30/13	J		
22. -GABEX	A	Dividend	J	T	Buy	04/30/13	J		
23. Riversource (fka Ameriprise) IRA (Annuity) (nos. 24-32 below)									
24. -AllBern VPS Intl Val	A	Dividend	K	T					
25. -Fid VIP Contrafd C1 2	A	Dividend	K	T					
26. -Fid VIP Mid Cap C1 2	A	Dividend	K	T					
27. -COL VP Divnd Opp C13	A	Dividend	K	T					
28. -COL Mid Cap Val C13	A	Dividend	K	T					
29. -Wanger USA	A	Dividend	K	T					
30. -Wanger International	A	Dividend	K	T					
31. -FT VIP Small Cap Valu	A	Dividend	K	T					
32. -Oppenheimer Gbl Strgtc, Inc.	A	Dividend	K	T					
33. AXA IRA #2 (Reg. IRA) (nos. 34-37 below)									
34. -Thornburg Value Fund Class A M/F (R/O) (TVAFX)					Sold	04/09/13	J	A	

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35. -Putnam Absolute Ret. 700-B (PDMBX)	A	Dividend	K	T					
36. -PONPX	A	Dividend	J	T	Buy	04/30/13	J		
37. -TPINX	A	Dividend	J	T	Buy	04/30/13	J		
38. JP Morgan Gov't MM Fund for AXA IRAs	A	Interest	J	T					
39. Stock in Catherine Peek McEwen, P.A. (dissolved)		None	J	W					
40. Florida Prepaid Tuition Plan for GFP [REDACTED]		None	J	T					
41. Florida Prepaid Tuition Plan for ATM [REDACTED]		None			Distributed	11/01/13	J		ATM [REDACTED]

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

V.

No. 1: and pizza lunches (est. \$380), total value \$465 (no individual gift item exceeds \$134, although together all items exceed \$335).

No. 2: I used the maximum registration fee, but my actual, if paid, would have been \$180 less than reported.

VII.

No. 23: This annuity's name is RiverSource Life Insurance Company Retirement Advisor 4 Advantage VA.

Nos. 40-41: Pursuant to privacy recommendations, I have used the initials of the minors for whom I purchased these plans.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catherine P. McEwen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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