

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BROOKS, SIDNEY B.	2. Court or Organization U.S. BANKRUPTCY COURT	3. Date of Report 08/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. BANKRUPTCY COURT 721 19TH STREET, ROOM 560 DENVER CO 80202-2508		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	CO-TRUSTEE	██████████ TRUST #3
2.	TRUSTEE	██████████ TRUST #1
3.	ADVISOR	WORLD BANK INSOLVENCY INSTITUTE
4.	ADVISOR	U.S. RUSSIA FOUNDATION
5.	TRUSTEE	NANDA CENTER FOR INTERNATIONAL AND COMPARATIVE LAW

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

BROOKS, SIDNEY B.

Date of Report

08/13/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. VARIOUS	Management fees as Trustee for [REDACTED] Trust #1	\$5,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2014	SELF EMPLOYED ATTORNEY
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. U.S. RUSSIA FOUNDATION	January 24-January 30, 2014	Moscow, Russia	U.S. Russia Foundation judicial training program meetings	Transportation, lodging and meals
2. U.S. RUSSIA FOUNDATION	May 2-3, 2014	Washington, D.C.	U.S. Russia Foundation Rule of Law program	Transportation, lodging and meals
3. U.S. RUSSIA FOUNDATION	June 16-25, 2014	Moscow and St. Petersburg, Russia	Fourth Saint Petersburg International Legal Forum	Transportation, lodging and meals
4. U.S. RUSSIA FOUNDATION	November 17-19, 2014	Washington, D.C.	U.S. Russia Foundation meetings and presentation to Chief Justice	Transportation, lodging and meals
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting
BROOKS, SIDNEY B.

Date of Report
08/13/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

BROOKS, SIDNEY B.

Date of Report

08/13/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ENT CU Checking account	A	Interest	J	T					
2. JP Morgan Chase Bank accounts	A	Interest	K	T					
3. 5.44% Colfax LLC, Real Property Denver, CO	B	Rent	L	W					
4. Rental property #1 Silverthorne, CO	D	Rent	M	W					
5. 8.3% Interest real property, Frisco, CO	A	Rent	K	W					
6. .521% Interest Broadway LLC, Real Prop Denver, CO	A	Rent	J	W					
7. 5.99% Interest Melbro, Real Property Denver, CO	A	Rent	J	W					
8. Rental property #2, Denver, CO	D	Rent			Sold	12/02/14	O	G	William Ankele & Karen Kins
9. IRA: Fidelity	A	Int./Div.	K	T					
10. -BRKB					Sold	5/6/2014	J		
11. -FDRXX									
12. -PEO					Sold	01/17/14	J		
13. Fidelity Treasury Only Money Market	A	Int./Div.	O	T	Buy	12/02/14	O		
14. Wells Fargo Savings	A	Int./Div.	K	T	Open	12/02/14	K		
15. Wells Fargo Checking	A	Int./Div.	J	T	Open	12/02/14	J		
16. [REDACTED]: Wells Fargo Bank Acct	A	Interest	N	T					
17. [REDACTED] Employer Ret Vanguard Target 2025	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

BROOKS, SIDNEY B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. [REDACTED] IRA: Fidelity	D	Int/Div.	N	T					
19. -FINFX									
20. -SGENX									
21. -FPACX									
22. -AIG									
23. -BRKB									
24. -GE									
25. -PWE									
26. -PFE									
27. -RSG									
28. -JOE									
29. -AMEFX									
30. -FAIRX									
31. -WFC									
32. -FDLXX									
33. -KYNPRE									
34. -NTXPRC									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

BROOKS, SIDNEY B.

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35. [REDACTED] Personal Acct: Fid: FTEXX	A	Dividend	K	T					
36. Trust #1: Trustee, No interest in income, beneficial int in principal	D	Rent	N	W				D	
37. -50% Int real property, Frisco, CO									
38. -3.125% Int Broad LLC, Denver, CO									
39. -14.06% Interest Bannock St LLC Denver, CO					Sold	01/13/14	N		
40. Trust #1: Trustee, No interest in income, beneficial int in principal	G	Int/Div.	P2	T					
41. -ICAFX									
42. -AIVSX									
43. -Key Bank Account									
44. -WMFFX									
45. -TESIX									
46. -AMBFX									
47. -BKRA									
48. - Fed Nat Mtg Assn Debs coup 5/16									
49. -Fed Home Loan Mtg Corp 3/18									
50. - Fin Corp CPN FICO Strip 6/18									
51. - Fin Corp CPN FICO Strips 12/18									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

BROOKS, SIDNEY B.

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08/13/2015

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52. - Fed Jud Off Bldg TR Zero 2/19									
53. -Fed Home Ln Mtg Corp CPN 3/19									
54. -Natl Mtg Deb Gen Int Pmt7/19									
55. - Res. Fdg Corp Fed Bk Ent CPN 7/19									
56. -Res FDG Fed Bk Int Pmt 10/20									
57. - Tenn Vy Fed Bk Entry Gen 11/20									
58. -Stnt Ln Mktg Asn Zero CPN 10/22									
59. -CEE					Sold	11/25/14	K	A	
60. -PEO					Buy (add'l)	12/23/14	J		
61. -CAIFX									
62. -SWZ					Buy (add'l)	07/24/14	J		
63. - RPIBX									
64. -FRUAX									
65. -FAIRX					Sold	11/21/14	L	A	
66. -W/M Wrigley Jr Co Notes 7/15									
67. - Bank of the West Account					Closed	11/12/14	N	A	
68. - FDLXX									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

BROOKS, SIDNEY B.

Date of Report

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69. Trust #3: Trustee, no beneficial interest (Y)									
70. - 8.33% Int real property, Frisco, CO (Y)									
71. -.521% Int Broadway LLC, Denver, CO (Y)									
72. Trust #3: Trustee, no beneficial interest (Y)									
73. -American Wash Mutual Investors A (Y)									
74. -Wells Fargo: FCISX (Y)									
75. -Wells Fargo Cash Fund- (Y)									
76. - FAIRX (Y)									
77. -SGENX (Y)									
78. -DODFX (Y)									
79. -FDLXX (Y)									
80.									
81.									
82.									
83.									
84.									
85.									

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FINANCIAL DISCLOSURE REPORT

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86.									
87.									
88.									
89.									
90.									
91.									
92.									
93.									
94.									
95.									
96.									

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

BROOKS, SIDNEY B.

Date of Report

08/13/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. POSITIONS (continued)

6. TRUSTEE UNIVERSITY OF DENVER, STURM COLLEGE OF LAW ALUMNI COUNCIL

7. TRUSTEE ROTARY CLUB OF DENVER FOUNDATION

PART VII. INVESTMENTS AND TRUSTS (continued with additional, explanatory information)

(Y) Trust #3: Trustee, no beneficial Interest:

Assets reported on Calendar Year 2013, lines 69 through 79, were assets in a Trust for which the filer is a Trustee, but holds no interest in income or a beneficial Interest in principal. Per current year clarification to Filing Instructions, these assets are no longer required to be reported.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

BROOKS, SIDNEY B.

Date of Report

08/13/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **SIDNEY B. BROOKS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544