

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Williams, Mary Ellen C.	2. Court or Organization US Court of Federal Claims	3. Date of Report 12/29/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 717 Madison Place, N.W. Suite 612 Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member - Content Advisory Board	ABA Section of Intellectual Property Law
2. Council Member, Section Delegate	ABA Section of Public Contract Law
3. Member - Board of Visitors	Duke University School of Law
4. Adjunct Professor	Johns Hopkins University
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	Self-employed - Financial Advisor
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	ABA and Administrative Office of the U.S. Courts	1/19/2016-1/22/2016	San Francisco, CA	ABA Forum on Construction Law	Lodging/Transportation/Food
2.	ABA and Administrative Office of the U.S. Courts	2/6/2016-2/9/2016	San Diego, CA	ABA Mid-Year Meeting	Lodging/Transportation/Food
3.	ABA	3/11/2016-3/12/2016	Annapolis, MD	ABA Federal Procurement Institute	Transportation
4.	New York Intellectual Property Law Association	4/1/2016-4/2/2016	New York, NY	NYIPLA Annual Judges' Dinner	Lodging/Transportation
5.	Administrative Office of the U.S. Courts	4/11/2016	Washington, DC	Federal Circuit Judicial Conference	Registration

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6.	Duke University School of Law	4/14/2016-4/16/2016	Durham, NC	Board of Visitors Meeting	Lodging/Transportation/Food
7.	ABA and Administrative Office of the U.S. Courts	8/5/2016-8/9/2016	San Francisco, CA	ABA Annual Meeting	Lodging/Transportation/Food
8.	ABA	10/7/2016-10/8/2016	Portland, ME	ABA Public Contract Law Fall Meeting	Lodging/Transportation
9.	ABA	11/16/2016-11/17/2016	Chicago, IL	ABA SCOPO Fall Meeting	Lodging/Transportation
10.					
11.					
12.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Time Warner Common Stock (TWX)	A	Dividend	J	T					
2. Time Warner Cable (TWC)	A	Dividend			Sold	05/20/16	J	A	
3. Time Inc. New	A	Dividend	K	T					
4. Abbott Lab Common Stock (ABVIE)	A	Dividend	K	T					
5. Abbott Laboratories (ABT)	A	Dividend	J	T					
6. Wells Fargo Checking	A	Interest	K	T					
7. 6/10/91 Irrevocable Ins. Trust #1 Beneficiary		None			Closed	12/14/16	O	E	
8. 6/10/91 Irrevocable Ins. Trust #2 Beneficiary		None			Closed	12/14/16	O	E	
9. Riversource Variable Universal Life Ins. (H)									
10. -Fixed Account		None	J	T					
11. -VP Aggressive Class 4		None	L	T					
12. Riversource Variable Universal Life Ins. (H)									
13. -Fixed Account		None	J	T					
14. -VP Mod Aggressive Class 4		None	M	T					
15. Wells Fargo (MM)	A	Interest	K	T					
16. Wells Fargo Checking	A	Interest	K	T					
17. Fidelity Adv. Level Co. Stock-A (FLSAX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Fidelity Adv. Stock Sel. Cap Cl. A (FMAMX)	A	Dividend	L	T					
19. Fidelity Adv. Diverse Int'l-A (FDVAX)	A	Dividend	J	T					
20. RVS Variable Annuity (IRA) Navigator-VP-MOD. AGG. PORT.	A	Dividend			Redeemed	12/14/16	N	A	
21. Ameriprise MM	A	Interest	L	T					
22. Ameriprise Cash	A	Interest	J	T					
23. Pimco Income Cl. A (PONAX)	A	Dividend	M	T					
24. Ameriprise (AMP)	A	Dividend	K	T					
25. Nuveen Core Div Cl. A (NCDAX)	A	Dividend	K	T					
26. Catalyst Insider Buying CLA (INSAX)	A	Dividend	K	T					
27. iShares MBS ETF (MBB) (X)	A	Dividend	K	T	Buy	07/01/16	K		
28. Vanguard High Dividend Yield ETF (VYM) (X)	C	Dividend	M	T	Buy	07/01/16	M		
29. Vanguard Info Tech ETF (VGT) (X)	D	Dividend	M	T	Buy	07/01/16	M		
30. Vanguard Telecom Services (ETF) (VOX) (X)	B	Dividend	M	T	Buy	07/01/16	M		
31. Abbvie Inc (ABBV)	A	Dividend	K	T					
32. Altria Group Inc (MO)	B	Dividend	J	T	Sold (part)	07/01/16	J	A	
33. Ares Capital Corp (ARCC)	B	Dividend			Sold	07/01/16	K		
34. BCE Inc New (BCE)	A	Dividend	K	T					

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35. Deutsche MSCI Europe ETF (DBEU)	A	Dividend			Sold	07/01/16	K		
36. FT NYSE ARCA Biotech ETF (FBT)		None	K	T					
37. General Electric Company (GE)	D	Dividend	K	T	Sold (part)	07/01/16	J		
38. Invesco Ltd (IVZ)		None			Sold	04/04/16	K		
39. Johnson & Johnson (JNJ)	A	Dividend	K	T	Sold (part)	07/01/16	J		
40. Kimberly Clark Corp (KMB)	C	Dividend	K	T	Sold (part)	07/01/16	J		
41. Koninklijke Philips New (PHG)	A	Dividend			Sold	07/01/16	K		
42. Merck & Company Inc New (MRK)	A	Dividend	K	T					
43. NRG Yield Inc A (NYLD)		None			Sold	10/01/15	J		
44. National Grid PLC ADR (NGG)		None	K	T					
45. Paychex Inc (PAYX)	B	Dividend	K	T	Sold (part)	07/01/16	J		
46. PWRSH QQQ ETF (QQQ)	C	Dividend	L	T					
47. Realty Income Corp (O)		None	K	T	Sold (part)	07/01/16	J		
48. Finl Sel Sect SPDR ETF (XLF)	A	Dividend			Sold	07/01/16	K		
49. Wells Fargo & Co New (WFC)	A	Dividend			Sold	10/04/16	K		
50. Wells Fargo (WFC) (X)	A	Dividend	J	T	Buy (add'l)	07/01/16	J		
51. Dominion Res Inc VA New (D)	B	Dividend	K	T					

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52. FT NYSE ARCA Biotech ETF (FTB)		None	K	T					
53. GlaxoSmithKline PLC ADR (GSK)	A	Dividend	K	T					
54. Maxim Integrated Prods (MXIM)	A	Dividend	K	T					
55. Nucor Corp (NUE)	A	Dividend	K	T					
56. Host Hotels & Resorts Inc (HST)	A	Dividend			Sold	04/04/16	K	A	
57. Hlthcr Sel Sect SPDR ETF (XLV)		None	J	T					
58. United Bankshares Inc WV (UBSI)	A	Dividend	K	T					
59. Clorox Company (CLX)	B	Dividend	K	T					
60. Cracker Barrel Old Ctry (CBRL)		None	K	T					
61. Target Corp (TGT)	A	Dividend	K	T					
62. Deutsche MSCI Europe ETF (DBEU)		None			Sold	07/01/16	K		
63. Lockheed Martin (LMT) (X)	A	Dividend	J	T	Buy	04/04/16	J		
64. Lockheed Martin (LMT) (X)	A	Dividend	J	T	Buy (add'l)	07/01/16	J		
65. Cisco Systems (CSCO) (X)	B	Dividend	J	T	Buy	07/01/16	J		
66. Glaxo SmithKline PLC (GSK) (X)	B	Dividend	J	T	Buy	07/01/16	J		
67. Microsoft (MSFT) (X)	A	Dividend	J	T	Buy	07/01/16	J		
68. Simon Property Group (SPG) (X)	A	Dividend	K	T	Buy	07/01/16	K		

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69. Southern Co (SO) (X)	A	Dividend	K	T	Buy	07/01/16	K		
70. Target Corp (TGT) (X)	A	Dividend	J	T	Buy	07/01/16	J		
71. United Bancshares Inc WV (UBSI) (X)	A	Dividend	J	T	Buy	07/01/16	J		
72. Vanguard High Div Yield ETF (VYM) (X)	A	Dividend	K	T	Buy	07/01/16	K		
73. Vanguard Info Tech ETF (VGT) (X)	A	Dividend	K	T	Buy	07/01/16	K		
74. Vanguard Telecom (VOX) (X)	A	Dividend	K	T	Buy	07/01/16	K		
75. Verizon Communications (VZ) (X)	A	Dividend	K	T	Buy	07/01/16	K		
76. Metlife (MET) (X)	A	Dividend	K	T	Buy	10/04/16	K		
77. Williams Family Rev Trust (X)			O		Open	06/15/16	O		
78. - Cash Ameriprise MM	A	Interest	L	T	Open	06/15/16	K		
79. - Eaton Vance Floating Rate CL A (EVBLX)	A	Dividend	K	T	Open	12/14/16	K		
80. - Eaton Vance Short Duration Govt Income CL A (EALDX)	A	Dividend	J	T	Open	12/14/16	J		
81. - Eaton Vance Global Macro Absolute Return Advntg CL A (EGRAX)	B	Dividend	K	T	Open	12/14/16	K		
82. - Federated High Income Bond CL A (FHIIX)	A	Dividend	J	T	Open	12/14/16	J		
83. - T Rowe Price Maryland Tax Free Bond (MDXBX)	E	Interest	N	T	Open	07/22/16	O		
84. - Medtronic PLC (MDT)	A	Dividend	J	T	Open	12/14/16	J	A	
85. - Alphabet Inc CL C (GOOG)	A	Dividend	J	T	Open	12/14/16	J	A	

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86. - Alphabet Inc CL A (GOOGL)	A	Dividend	J	T	Open	12/14/16	J	A	
87. - Amazon.Com Inc (AMZN)	A	Dividend	J	T	Open	12/14/16	J	A	
88. - Ameriprise Financial Inc (AMP)	A	Dividend	J	T	Open	12/14/16	J	A	
89. - Apple Inc (AAPL)	A	Dividend	K	T	Open	12/14/16	K	A	
90. - Celgene Corp (CELG)	A	Dividend	K	T	Open	12/14/16	K	A	
91. - Cisco Systems Inc. (CSCO)	A	Dividend	J	T	Open	12/14/16	J	A	
92. - Coca-Cola Company (KO)	A	Dividend	J	T	Open	12/14/16	J	A	
93. - Colgate-Palmolive Company (CL)	A	Dividend	J	T	Open	12/14/16	J	A	
94. - Comcast Corp CL A New (CMCSA)	A	Dividend	J	T	Open	12/14/16	J	A	
95. - Conocophillips (COP)	A	Dividend	J	T	Open	12/14/16	J	A	
96. - Walt Disney Co (DIS)	A	Dividend	J	T	Open	12/14/16	J	A	
97. - Exxon Mobil Corp (XOM)	A	Dividend	J	T	Open	12/14/16	J	A	
98. - Gilead Sciences Inc. (GILD)	A	Dividend	J	T	Open	12/14/16	J	A	
99. - Intel Corp (INTC)	A	Dividend	J	T	Open	12/14/16	J	A	
100. - Johnson & Johnson (JNJ)	A	Dividend	J	T	Open	12/14/16	J	A	
101. - Mastercard Inc CL A (MA)	A	Dividend	J	T	Open	12/14/16	J	A	
102. - McDonalds Corp (MCD)	A	Dividend	J	T	Open	12/14/16	J	A	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - Merck & Company Inc New (MRK)	A	Dividend	J	T	Open	12/14/16	J	A	
104. - Metlife Inc. (MET)	A	Dividend	J	T	Open	12/14/16	J	A	
105. - Netflix Inc. (NFLX)	A	Dividend	J	T	Open	12/14/16	J	A	
106. - Oracle Corp (ORCL)	A	Dividend	J	T	Open	12/14/16	J	A	
107. - PNC Financial Services Group Inc. (PNC)	A	Dividend	J	T	Open	12/14/16	J	A	
108. - Proctor & Gamble Company (PG)	A	Dividend	J	T	Open	12/14/16	J	A	
109. - Sector Healthcare Select Sector SPDR ETF (XLV)	A	Dividend	J	T	Open	12/14/16	J	A	
110. - Sector Energy Select Sector SPDR ETF (XLE)	A	Dividend	J	T	Open	12/14/16	J	A	
111. - Sector Financial Select Sector SPDR ETF (XLF)	A	Dividend	J	T	Open	12/14/16	J	A	
112. - Sector Industrial Select Sector SPDR ETF (XLI)	A	Dividend	K	T	Open	12/14/16	K	A	
113. - Select Sector TR Real Estate SPDR ETF (XLRE)	A	Dividend	J	T	Open	12/14/16	J	A	
114. - Starbucks Corp (SBUX)	A	Dividend	J	T	Open	12/14/16	J	A	
115. - Under Armour Inc CL A (UAA)		None	J	T	Open	12/14/16	J	A	
116. - Under Armour Inc CL C (UA)		None	J	T	Open	12/14/16	J	A	
117. - United Technologies Corp (UTX)	A	Dividend	J	T	Open	12/14/16	J	A	
118. - Verizon Communications Inc. (VZ)	A	Dividend	J	T	Open	12/14/16	J	A	
119. - Walgreens Boots Alliance Inc. (WBA)	A	Dividend	J	T	Open	12/14/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Williams, Mary Ellen C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. GTW/ACW Irrev Trust FBO JTW (X)	A	Interest	N	T	Open	08/30/16	N	A	
121. GTW/ACW Irrev Trust FBO JAW (X)	A	Interest	N	T	Open	08/30/16	N	A	
122. MetLife Stock (Y)	A	Dividend	J	T					
123.									
124.									
125.									
126.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Williams, Mary Ellen C.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Line 7 - This trust was dissolved upon the death of a family member on May 8, 2016, and the assets were transferred in kind to the Williams Family Rev. Trust on June 15, 2016 (cash) and December 14, 2016 (equities). The person reporting [REDACTED] are trustees. The assets are listed separately in Section VII Lines 77-119.

Part VII Line 8 - This trust was dissolved upon the death of a family member on May 8, 2016. Insurance policy proceeds were transferred into two subordinate trusts on August 30, 2016. These trusts are listed in Section VII Lines 120-21.

Part VII Line 43 - NYLD sold on 10/01/15, no gain. Inadvertantly omitted from 2015 report.

Part VII Line 52 - This entry for FTB is a consolidation of lines 71 and 91 from the 2015 report.

Part VII Line 122 - I inadvertently failed to disclose this asset. I received stock in MetLife as a policyholder in 1999. I am no longer a MetLife policyholder as I exchanged that policy for Riversource Variable Universal Life Insurance in 2007.

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Name of Person Reporting

Willams, Mary Ellen C.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary Ellen C. Willams**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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