

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cassady, William E.	2. Court or Organization U.S.D.C. S.D. Alabama	3. Date of Report 10/27/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2014 to 12/31/2014
5b. ☒ Amended Report		
7. Chambers or Office Address United States Courthouse 113 Saint Joseph Street Rm. 306 Mobile, Alabama 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Legislative Committee	Federal Magistrate Judges Association
2.	Executor	Estate #1
3.	Manager	Cassady Farms, LLC
4.	Manager	Southbury Condo, LLC
5.	Litigation Committee	Federal Magistrate Judges Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Bank (Account)	A	Interest	J	T					
2. Franklin/Templeton World Fund CL A (TEMWX) IRA	D	Dividend	M	T					
3. Franklin Income Fund CL A IRA (FKINX)	D	Dividend	M	T					
4. The Southern Company - DSPP-COMMON STOCK	B	Dividend	K	T	Buy	01/23/14	K		
5. Vanguard Mutual Funds IRA									
6. -Asset Allocation Fund (merged into VBINX, Line 7, in 2012)		None							See Note 8, Part VIII.
7. -Balanced Index Fund Admiral Shares (VBINX)	D	Dividend	L	T					
8. -STAR Fund (VGSTX)	D	Dividend	L	T					
9. Vanguard Mutual Funds (non-IRA)									
10. -Balanced Index Fund (VBIAX)	C	Dividend	M	T	Buy	05/27/14	M		See Note 1, Part VIII.
11.					Buy (add'l)	07/14/14	K		
12.					Buy (add'l)	08/13/14	J		
13. Rental Property - Jefferson Co., B'ham, AL (Southbury, LLC)	D	Rent	L	W					
14. Butler Co, AL land (Apr. 4/14/10) (Cassady Farms, LLC)		None	N	Q					
15. House & lot, Greenville, AL (Apr. 4/4/10) (Cassady Farms, LLC)	D	Rent	K	Q					
16. Common Stock, Town & Country National Bank	E	Int./Div.	M	U					
17. Participating Units - Cassady Farms, LLC		None	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Participating Units - Southbury Condo, LLC		None	L	T					
19. Brokerage Account #1									
20. - Bank Deposit Sweep Account	A	Interest	J	T					
21. -OPEN END MUTUAL FUNDS									
22. --Franklin Tax Free TR Fed Intern Term Advisor CL (FITZX)	A	Dividend	K	T	Sold (part)	08/25/14	J	A	
23. --Nuveen Mun Trust Inter Duration Mun Bd Fd CL (NUVBX)	A	Dividend	K	T	Sold (part)	08/25/14	J	A	
24. --T Rowe Prie Summit Mun Fds Inc - Mun Inter Fd (PRSMX)	A	Dividend	K	T	Sold (part)	08/25/14	J	A	
25. --T Rowe Price Tax Free Shrt (PRSFY)	A	Dividend	K	T	Sold (part)	08/25/14	J	A	
26. --Thornburg Invt Tr Ltd Ter Mun Fd Cl 1 (LTMIX)	A	Dividend	K	T	Sold (part)	08/25/14	J	A	
27. --Cohen & Steers Rlty Shs (CSRSX)	A	Dividend			Sold	08/25/14	J	B	
28. --Dreyfus Appreciation Fd (DGAGX)	A	Dividend	J	T	Sold (part)	02/26/14	J	B	
29.					Buy (add'l)	08/26/14	J		
30. --Europacific Growth Fd F1 (AEGFX)	A	Dividend			Merged (with line 31)	02/26/14	J	B	See Note 4, Part VIII.
31. --American Europacific Growth FU CL F2 (AEPFX)	A	Dividend	J	T	Buy (add'l)	08/25/14	J		
32.			J	T	Buy (add'l)	08/26/14	J		
33. --Goldman Sachs Tr (FTXXX)	A	Dividend	J	T	Sold (part)	04/11/14	J	A	
34.			J	T	Sold (part)	07/11/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35.			J	T	Sold (part)	10/10/14	J	A	
36.			J	T	Sold (part)	01/10/14	J	A	
37.					Buy (add'l)	08/26/14	J		
38. --MFS SER TR III MUNICIPAL HIGH INCOME FD CL I (MMIIX)	A	Dividend	J	T	Sold (part)	08/25/14	J	A	See Note 5, Part VIII.
39. --NUVEEN INVT FDS INC REAL ESTATE SECS FUND CL I (FARCX)	A	Dividend	J	T	Buy	08/25/14	J		
40. --Oppenheimer Intl Bond Fund Cl A (OIBAX)	A	Dividend	J	T					
41. --Templeton Income TR GLB BD Advisor (TGBAX)	A	Dividend	J	T	Sold (part)	08/25/14	J	A	
42. --Eagle Mid Cap Stock Fund (HMCJX)	A	Dividend	J	T	Sold (part)	08/25/14	J	A	
43. --Deutsche Tax Free (SZMIX)	A	Dividend	J	T	Sold (part)	08/25/14	J	A	See Note 3, Part VIII
44. --Fidelity ADV EMERG MKTS (FMKIX)	A	Dividend	J	T	Sold (part)	08/25/14	J	A	
45. --GULF POWER NOTES 5-18-16 (GUA)	A	Interest			Sold	11/06/14	K	A	
46. --GUGGENHEIM ZACKS INCM ADVAN STRAT PT 2 YR SR 3 REIN (CTZICX)	A	Dividend			Sold	06/12/14	K	C	
47. --EQUITY TRUSTS									
48. --FIRST TRUST PORTFOLIOS MUNI INCOME CLOSED END SERIES 53 REI	A	Dividend			Sold	12/05/14	J	A	
49. --FIRST TRUST PORTFOLIOS STRATEGIC DIV SELECT SER 9	A	Dividend	J	T	Sold (part)	08/14/14	K	C	
50. --First Trust Portfolios Tactical Inc Series 21	B	Int./Div.	K	T					
51. --First Trust Portfolios Interest Rate Hedge Series 71	B	Dividend	K	T					See Note 2, Part VIII.

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. --First Trust Energy Select Portfolio Series 53 Reinvest	A	Dividend	J	T	Buy	12/05/14	J		
53. --First Trust Preferred Income Port Series 70 Rein	A	Dividend	K	T	Buy	11/06/14	K		
54. --First Trust Port LP Target GBLB DVD Leaders CPN CUSIP: 30276S714					Sold	05/29/14	K	C	See Note 2, Part VIII
55. --GUGGENHEIM NATIONAL MUNI PORT OF CEFS SER 26 REINVEST	A	Dividend	K	T	Buy	06/16/14	K		
56. --FIRST TRUST MUNI INCM SEL C/E PORT SER 62 REINV	A	Dividend	K	T	Buy	08/14/14	K		
57. --FIRST TRUST MUNICIPAL INCOME SELECT CLOSED END PORT SRS 60 R	A	Dividend	K	T	Buy	06/16/14	K		
58. Calamos Strategic Total Return Fd. (CSQ)	A	Int./Div.			Sold	05/29/14	J	B	
59. -CLOSED END MUTUAL FUNDS									
60. --EATON VANCE NATL MUN OPPORTUNITIES TRUST EOT	A	Dividend	J	T	Buy	02/26/14	J		
61. --Liberty All-Star Equity Fund (USA)	A	Int./Div.	J	T	Sold (part)	02/26/14	J	A	
62. --NUVEEN DIVIDEND ADVANTAGE MUN FD 2 COM NXZ	A	Dividend	J	T	Buy	02/26/14	J		
63. Transamerica Advisors Life Ins. Co.	D	Distribution	J	T					See Note 6, Part VIII.
64. The Ohio National Life Ins. Co. Annuity	C	Distribution	L	T					
65. Pacific Life Annuity	E	Distribution	K	T					See Note 6, Part VIII.
66. ESTATE #1									See Note 7, Part VIII.

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1 - Part VII. Investments

The Vanguard Mutual Fund, Part VII, line 9, was purchased in 2014.

Note 2 - Part VII. Investments

The equity trust, Part VII, line 48, was inadvertently left off the 2013 Report. The original purchase was made in July 2013 with a value code of K.

Note 3 - Part VII. Investments

The name of the fund listed in Part VII, line 40 was changed in August 2014. The change was from DWS Tax Free TR Inter Tax/Amt Free FD INSTL CL to DEUTSCHE TAX FREE TR INTER TAX/AMT FREE FD INSTL CL.

Note 4 - Part VII. Investments

The shares of EUROPACIFIC GROWTH FD CL F-1 listed in Part VII, line 27, were exchanged for the same amount of shares of AMERICAN EUROPACIFIC GROWTH FU CL F2 on 5/28/14. See lines 27-29.

Note 5 - Part VII. Investments

The mutual fund listed in Part VII, line 35, was mistakenly identified as MFS Ser Trust III CL A (MMHYX). The correct fund is MFS SER TR III MUNICIPAL HIGH INCOME FD CL I (MMIIX).

Note 6 - Part VII. Investments

Distributions from the annuities listed in Part VII, lines 60 and 62, were completed in 2014.

Note 7 - Part VII. Investments

The assets of Estate #1 have all been distributed to the beneficiaries. My role as executor of the estate continues until the estate is formally closed.

Note 8 - Part VII. Investments

On February 10, 2012, the Traditional IRA funds held in a Vanguard Account named Asset Allocation Fund were merged into the Balanced Index Fund Admiral Shares (VBINX)(see Part VII, Line 7). The value code for the shares on that date was K. A dividend was distributed into the Asset Allocation Fund on February 7, 2012 that had an income code A. This merger eliminated any shares in the Asset Allocation Fund after 2012. The Traditional Balanced Index Fund Admiral Shares, after the merger on February 10, 2012, distributed a dividend on March 29, 2013 with an income code A. The value of the Balanced Index Fund Admiral Shares on December 31, 2012 should be coded as K. This information was not provided on the 2013 Report through oversight.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William E. Cassady

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544