

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fulton, Thomas H.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 09/09/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 601 West Broadway, Suite 528 Louisville, KY 40202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMERIPRISE FINANCIAL									
2. Variable Annuity									
3. First Eagle Global CL A	A	Dividend	J	T					
4. HILLIARD LYONS BROKERAGE ACCT #1									
5. Hilliard Lyons Govt. Fund	A	Dividend	J	T	Sold (part)	06/23/15	J		
6. Bar Harbor Bankshares	A	Dividend	J	T					
7. Connecticut WTR Svc, Inc	A	Dividend	J	T					
8. CISCO Systems, Inc.	A	Dividend	J	T					
9. Walt Disney Stock	A	Dividend	L	T					
10. Home Depot Stock	A	Dividend	K	T					
11. International Paper	A	Dividend	K	T					
12. Kimberly-Clark Corp Stock	A	Dividend	K	T					
13. Microsoft	A	Dividend	J	T					
14. Pfizer, Inc	A	Dividend	J	T					
15. Colgate-Palmolive Co.	A	Dividend	K	T					
16. Staples, Inc.	A	Dividend	J	T					
17. Comcast Corp Class A	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Coming, Inc.	A	Dividend	J	T					
19. Janus Cap Group	A	Dividend	J	T					
20. Motorcar Parts of America	A	Dividend	J	T					
21. Summer Infant	A	Dividend	J	T					
22. O Reily Automotive, Inc	A	Dividend	J	T					
23. Zoetis, Inc	A	Dividend	J	T					
24. Core Molding Tech Inc	A	Dividend	J	T	Buy	06/23/15	J		
25. Halyard Health Inc	A	Dividend	J	T	Buy	01/15/15	J		
26. Supreme Industries inc	A	Dividend	J	T	Buy	10/02/15	J		
27. Veritiv Corp	A	Dividend	J	T	Buy	01/15/15	J		
28. Hilliard Lyons Brokerage Acct. #2									
29. Walmart	A	Dividend	J	T					
30. American Legacy III Variable Annuity	A	Dividend	J	T					
31. Hilliard Lyons Govt Fund	A	Dividend	J	T					
32. Dow Chemical	A	Dividend	J	T					
33. QualComm, Inc.	A	Dividend	J	T					
34. Oracle Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Pfizer, Inc	A	Dividend	J	T					
36. Janus Cap Group	A	Dividend	J	T					
37. Landuer Inc.	A	Dividend	J	T					
38. Motorcar Parts of America	A	Dividend	J	T					
39. Stifel Financial Corp	A	Dividend	J	T					
40. Summer Infant Inc.	A	Dividend	J	T					
41. Zoetis, Inc	A	Dividend	J	T					
42. Petsmart, inc	A	Dividend			Sold	03/12/15	J	B	
43. Hingham Institute for Svg	A	Dividend	J	T					
44. Core Molding Tech, Inc	A	Dividend	J	T	Buy	06/23/15	J		
45. Supreme Industries, Inc	A	Dividend	J	T	Buy	10/02/15	J		
46. Hilliard Lyons Trust Acct.									
47. Abbott Laboratories	A	Dividend	J	T					
48. Abbvie Inc	A	Dividend	J	T					
49. Berkshire Hathaway		None	K	T					
50. Cisco	A	Dividend	J	T					
51. Disney Walt	A	Dividend	J	T					

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52. Exxon Mobile	A	Dividend	K	T					
53. Pepsico	A	Dividend	J	T					
54. Proctor & Gamble	A	Dividend	J	T					
55. Walgreens Co.	A	Dividend	J	T					
56. Goldmans Sach Financial Square Federal Fund	A	Dividend	J	T					
57. Johnson & Johnson Co.	A	Dividend	J	T					
58. TJX Companies	A	Dividend	K	T					
59. Harley Davidson Inc.	A	Dividend	J	T					
60. Microsoft Corp	A	Dividend	J	T					
61. Home Depot	A	Dividend	K	T					
62. Chevron Corp	A	Dividend	K	T					
63. CVS Caremark Corp	A	Dividend	J	T					
64. US Bancorp Del Com	A	Dividend	J	T					
65. Ishares TR S&P 400 Value	A	Dividend	J	T					
66. VanGuard Index Small Cap ETF	A	Dividend	J	T					
67. VanGuard FTSE Emerging Mrkt ETF	A	Dividend	J	T					
68. Southern Co.	A	Dividend	J	T					

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69. JP Morgan Chase	A	Dividend	J	T					
70. Expeditors Intl	A	Dividend	J	T					
71. Allergan Inc	A	Dividend			Sold	03/14/15	J	E	
72. International Business Machs Corp	A	Dividend	J	T					
73. Artisan International	A	Dividend	J	T					
74. Dodge & Cox International Stock	A	Dividend	J	T					
75. Pfizer, Inc	A	Dividend	J	T					
76. Apple, Inc	A	Dividend	J	T	Buy	11/06/15	J		
77. Fastenal Co Com	A	Dividend	J	T	Buy	07/20/15	J		
78. Northern Tr Corp Com	A	Dividend	J	T	Buy	11/06/15	J		
79. Hilliard Lyons Retirement Acct.									
80. Washington Mutual Fund	A	Dividend	J	T					
81. Wells Fargo(formerly Wachovia Securities) Brokerage Acct. #1									
82. Powershares Water Resources	A	Dividend	J	T	Sold (part)	10/09/15	J	B	
83. Wachovia Bank Deposit Sweep Option	A	Interest	K	T					
84. Guggenheim Global Agriculture Port	A	Dividend			Sold	12/18/15	J	A	
85. Advisors Asset MGR-Emerging Mkts	A	Dividend	K	T					

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86. First Trust Portfolios Dow Target 10 Dec 13	C	Dividend			Sold	12/18/15	J	C	
87. Sanofi ADR	A	Dividend	J	T					
88. First Trust Dow Target 10 Jan 15	A	Interest			Buy	01/12/15	J		
89.					Sold	12/18/15	J	A	
90. First Trust Dow Target 15 term	A	Interest	J	T	Buy	12/18/15	J		
91. Guggenheim Dow Jones Values Div	A	Dividend	K	T	Buy	12/18/15	J		
92. Wells Fargo(formerly Wachovia Securites) Acct. #3									
93. Bank Deposit Sweep	A	Interest	J	T					
94. Monmouth Real Estate Reit	B	Dividend	K	T					
95. UMH Properties, Inc.	B	Dividend	K	T					
96. Alpine Global Dynamic	B	Dividend	J	T					
97. Medtronic, Inc	A	Dividend	J	T					
98. Merk&Co. Inc.	A	Dividend	K	T					
99. Yum Brands, Inc.	A	Dividend			Sold	03/15/15	K	C	
100. Exxon Mobile	A	Dividend	J	T					
101. First Trust Dow Target Dvd 2Q14	A	Dividend			Sold	07/14/15	J	B	
102. Aetna, Inc	A	Dividend			Sold	02/06/15	K	C	

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103. BP Plc Spons ADR	A	Dividend	K	T	Buy	02/06/15	J		
104. HESS Corporation	A	Dividend	J	T	Buy	02/06/15	J		
105. First Trust Dow Target DVD 3Q 15	A	Int./Div.	K	T	Buy	07/10/15	K		
106. Wells Fargo(formerly) Wachovia Securities Brokerage Acct. #4									
107. AT & T Inc.	A	Dividend			Sold	11/12/15	K	D	
108. Gilat Satellite Networks	A	Dividend	J	T					
109. Headwaters, Inc.	A	Dividend	J	T					
110. Merk & Co. Inc.	A	Dividend	K	T					
111. Pfizer Incorporated	A	Dividend	K	T					
112. Tetra Tech Inc.	A	Dividend	K	T					
113. Verizon Communications	A	Dividend	K	T					
114. Tekla Helathcare Investors(formaerly known-H & Q Healthcare FD SBI)	B	Dividend	K	T	Sold (part)	09/24/15	J	C	
115. Tekla Life Sciences (formerly known-H & Q Life Sciences)	D	Dividend	K	T	Sold (part)	09/24/15	K	B	
116. IRA Bank Deposit Sweep	A	Interest	J	T					
117. Frontier Communications, Corp	A	Dividend	J	T					
118. Intel Corp	A	Dividend			Sold	11/12/15	J	C	
119. Chevron	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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120. McDonalds Corp	A	Dividend			Sold	11/12/15	K	C	
121. Apple, Inc.	A	Dividend	K	T					
122. Microsoft Corp	B	Dividend			Sold	11/12/15	K	D	
123. Proctor & Gamble Co.	B	Dividend	K	T	Sold (part)	06/15/15	J	B	
124. John Hancock Regional	A	Dividend	K	T					
125. First Trust Financials Select Port	A	Dividend	K	T					
126. First Trust Financials Target Dvd 2Q14	C	Dividend			Sold	07/10/15	L		
127. Aetna, Inc	A	Dividend			Sold	04/24/15	J	C	
128. Catepillar Inc	A	Dividend	K	T	Buy	04/24/15	K		
129. Coca-Cola Company	B	Dividend	L	T	Buy	11/12/15	L		
130. Exxon Mobil Corp	A	Dividend	K	T	Buy	11/12/15	K		
131. International Business Machine Corp	A	Dividend	K	T	Buy	11/12/15	K		
132. Wal-Mart Stores Inc	B	Dividend	K	T	Buy	06/15/15	K		
133. First Trust Dow Target Dvd 3q 15	C	Interest	L	T	Buy	07/10/15	L		
134. Wells Fargo (formerly Wachovia Securities)#5 Brokerage Acct. #5									
135. Bank Deposit Sweep	A	Int./Div.	K	T					
136. Monmouth Real Estate	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fulton, Thomas H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Transocean, LTD	A	Dividend	J	T					
138. UMH Properties, Inc	A	Dividend	J	T					
139. WPX Energy, Inc.	A	Dividend	J	T					
140. General Electric	A	Dividend	K	T					
141. Vodafone Group	B	Dividend	K	T					
142. Verizon Communications	A	Dividend	J	T					
143. Entergy LA LLC	A	Dividend			Sold	09/24/15	K	C	
144. First Trust Portfolios Int. Rate Hedge Fund	C	Dividend			Sold	05/29/15	L	C	
145. First Trust Portfolios Capital Strength Series 22	A	Dividend			Sold	10/29/15	L	B	
146. First Trust Homebuilders Recovery	A	Dividend			Sold	12/21/15	L	D	
147. Guggenheim Dow Jones Value Dividend	B	Dividend			Sold	09/21/15	K	C	
148. First Trust Dow Target 10 14	B	Dividend			Sold	11/13/15	K	B	
149. First Trust Dvrsfd EQ Strtgc	A	Dividend	L	T					
150. First Trust Energy Select Portfolio	A	Dividend	K	T					
151. First Trust Interest Reate Hedge Port	C	Interest	L	T	Buy	05/27/15	L		
152. First Trust Homebuilders Recovery Ser 12 cash	A	Interest	L	T	Buy	12/17/15	L		
153. Guggenheim Value Dividend Focus Portfolio Ser 12	B	Interest	K	T	Buy	09/17/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fulton, Thomas H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. First Trust Capital Strength Series 31 Rein	A	Interest	L	T	Buy	10/27/15	L		
155. First Trust Dow Target 10 Nov 15	B	Interest	K	T	Buy	11/10/15	K		
156. Wells Fargo(formerly Wachovia Securities) Brokerage Acct # 6									
157. Bank Sweep Deposit	A	Interest			Distributed	09/04/15	J		
158. Mutual Series FD, Inc.	A	Dividend			Distributed	07/13/15	K		
159. First Trust Value Target 25 3Q14	A	Dividend			Distributed	07/13/15	K		
160. Pro Cash Plus #1									
161. Federated Capital Reserves	A	Interest	L	T					
162. Target Corp	A	Dividend	K	T					
163. MFS Municipal Income Fund	A	Dividend	K	T					
164. Vanguard Whitehall FDS High Divident	A	Dividend	K	T					
165. Wisdom Tree TR Div. Ex Financials	B	Dividend	K	T					
166. Altria Group Inc Com	A	Dividend	K	T					
167. AT&T Inc.	A	Dividend	K	T					
168. Firstenergy Corp	A	Dividend	J	T					
169. Procter & Gambe Co.	A	Dividend	K	T					
170. Verizon Communications	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fulton, Thomas H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Tax Exempt Bond Fund of America Class A	B	Dividend	L	T					
172. Bristol Myers Squibb	A	Dividend	K	T					
173. American Short Term Tax-Exempt Bond Fund	A	Int./Div.	L	T					
174. PnC Finl Svcs Group Inc Ser Q Non Cum	A	Interest	K	T	Buy	01/02/15	K		
175. Pro Cash #2									
176. Ventas, Inc.	B	Dividend	K	T					
177. Comcast Corp	A	Dividend	K	T					
178. Exxon Mobile Corp	A	Dividend	J	T					
179. General Electric Co.	A	Dividend	K	T					
180. Seadrill limited Shs	B	Dividend	J	T					
181. 3d Sys Corp	A	Dividend	J	T					
182. Westport Innovations Inc	A	Dividend	K	T					
183. American Short Term Tax Exempt Bond Fund	B	Dividend	L	T					
184. Ishares TR MSCI EAFE	A	Dividend	K	T					
185. Pershing Govt. Acct	A	Interest	K	T					
186. FT Unit 2936 Tactical Port	A	Interest			Sold	08/03/15	K	A	
187. American Mutual Fund Class A	C	Dividend			Sold	09/30/15	M	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fulton, Thomas H.

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09/09/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. The Income Fund Fo America Class A	D	Dividend	M	T					
189. Fireye Inc Com	A	Dividend	J	T	Buy	07/02/15	J		
190. Care Cap PPTYS Inc Com	A	Dividend	J	T	Buy	01/15/15	J		
191. US Government Securities Fund Class A Sales	A	Dividend	M	T	Buy	09/30/15	M		
192. FT Unit 5590SR LN & LTD	A	Interest	K	T	Buy	08/03/15	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Fulton, Thomas H.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Fulton, Thomas H.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas H. Fulton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544