

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                          |                                                                  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Sweet, Robert W.                                                                                                                         | <b>2. Court or Organization</b><br><br>U.S. District Court                                                                                                                                                                                                                               | <b>3. Date of Report</b><br><br>08/13/2015                       |
| <b>4. Title</b> (Article III judges indicate active or senior status;<br>magistrate judges indicate full- or part-time)<br><br>Judge (Senior status)                                                          | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2014<br>to<br>12/31/2014 |
| <b>7. Chambers or Office Address</b><br><br>U.S. District Court<br>500 Pearl St - Room 1940<br>New York, NY 10007                                                                                             |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts,<br/>checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u>            | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|----------------------------|------------------------------------|
| 1. | Member, Board of Directors | The Constitution Works             |
| 2. | Member, Board of Directors | World Figure Skating Museum        |
| 3. |                            |                                    |
| 4. |                            |                                    |
| 5. |                            |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 14

Name of Person Reporting

Sweet, Robert W.

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08/13/2015

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

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08/13/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

# FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Pfizer                                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 09/24/14                | J                               |                                |                                                                   |
| 2. IBM                                                                                                                      | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Walt Disney Company                                                                                                      | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. AT&T Corp                                                                                                                | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 09/24/14                | J                               |                                |                                                                   |
| 5. Alcatel -Lucent                                                                                                          |                                         | None                                          |                                                 |                                           | Sold                                            | 09/24/14                | J                               | A                              |                                                                   |
| 6. 3M                                                                                                                       | C                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. Imation Corp                                                                                                             |                                         | None                                          |                                                 |                                           | Sold                                            | 09/24/14                | J                               | A                              |                                                                   |
| 8. Proctor & Gamble Co                                                                                                      | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. General Electric Co.                                                                                                     | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 09/24/14                | J                               |                                |                                                                   |
| 10. LSI Corp                                                                                                                |                                         | None                                          |                                                 |                                           | Sold                                            | 05/06/14                | J                               | A                              |                                                                   |
| 11. Comcast Corp                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. Smucker J M Company                                                                                                     |                                         | None                                          |                                                 |                                           | Sold                                            | 09/24/14                | J                               | A                              |                                                                   |
| 13. Amazon.com Inc.                                                                                                         |                                         | None                                          | L                                               | T                                         | Sold<br>(part)                                  | 02/06/14                | J                               | D                              |                                                                   |
| 14. Apple                                                                                                                   | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 09/23/14                | K                               |                                |                                                                   |
| 15. BP PLC ADR                                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/24/14                | J                               |                                |                                                                   |
| 16. Target                                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 09/23/14                | K                               |                                |                                                                   |
| 17. Federated Capital Reserves (a)                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                      |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|--------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                        | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H ~\$1,000,001 - \$5,000,000                                   | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$250,000,001 - \$500,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                      | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

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Page 5 of 14

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                 |   |              |    |   |  |  |  |  |  |
|-------------------------------------------------|---|--------------|----|---|--|--|--|--|--|
| 18. Wells Fargo Treasury Plus (a)               | A | Dividend     | J  | T |  |  |  |  |  |
| 19.                                             |   |              |    |   |  |  |  |  |  |
| 20. Amazon.com Inc                              |   | None         | N  | T |  |  |  |  |  |
| 21. USTN 1.375% TIP 7/15/18                     | D | Interest     | N  | T |  |  |  |  |  |
| 22. Fid. Trust Co Int'l Money Market Fund (a)   | A | Dividend     | J  | T |  |  |  |  |  |
| 23. RBC Prime Money Market (a)                  | A | Dividend     | L  | T |  |  |  |  |  |
| 24. Estes - Sheridan LP                         | G | Distribution | J  | U |  |  |  |  |  |
| 25. NP Stratham LLC                             |   | None         | N  | U |  |  |  |  |  |
| 26. NP Dover LP                                 |   | None         | K  | U |  |  |  |  |  |
| 27. NP Nashua LLC                               | D | Distribution | L  | U |  |  |  |  |  |
| 28. NP Hanson LLC                               |   | None         | J  | U |  |  |  |  |  |
| 29. NP Portfolio Account LLC                    | E | Distribution | M  | U |  |  |  |  |  |
| 30. NPS2 LLC                                    |   | None         | J  | U |  |  |  |  |  |
| 31. Execwest LLC                                |   | None         | M  | U |  |  |  |  |  |
| 32. Execusouth LLC (converted to TIC ownership) |   | None         | J  | U |  |  |  |  |  |
| 33.                                             |   |              |    |   |  |  |  |  |  |
| 34. Trust #1 (lines 34- 99) (b)                 | E | Distribution | P1 | T |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 6 of 14

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                          |  |  |  |  |                |          |   |   |  |
|--------------------------|--|--|--|--|----------------|----------|---|---|--|
| 35. 3M                   |  |  |  |  |                |          |   |   |  |
| 36. Ace Limited          |  |  |  |  | Sold           | 06/30/14 | L | E |  |
| 37. Agilent Technologies |  |  |  |  |                |          |   |   |  |
| 38. Amer Intl Group      |  |  |  |  |                |          |   |   |  |
| 39. Amgen                |  |  |  |  | Sold<br>(part) | 01/22/14 | J | B |  |
| 40. Analog Devices Inc.  |  |  |  |  |                |          |   |   |  |
| 41. Apple Inc            |  |  |  |  |                |          |   |   |  |
| 42. Applied Material     |  |  |  |  |                |          |   |   |  |
| 43. Biogen Idec          |  |  |  |  | Buy<br>(add'l) | 03/04/14 | J |   |  |
| 44. Biogen Idec          |  |  |  |  | Buy<br>(add'l) | 04/22/14 | J |   |  |
| 45. Borg Warner          |  |  |  |  | Sold           | 06/26/14 | K | C |  |
| 46. Cisco Systems        |  |  |  |  | Sold<br>(part) | 05/12/14 | J |   |  |
| 47. Coca Cola            |  |  |  |  | Sold           | 06/13/14 | K | B |  |
| 48. Comcast              |  |  |  |  |                |          |   |   |  |
| 49. Disney               |  |  |  |  | Sold<br>(part) | 01/13/14 | J | C |  |
| 50. EMC                  |  |  |  |  | Sold<br>(part) | 06/20/14 | J | A |  |
| 51. EMC                  |  |  |  |  | Buy<br>(add'l) | 03/04/14 | J |   |  |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                      | T = Cash Market                                                                                                      |                         |

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Page 7 of 14

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Exelis Inc.                                                                                                             |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/02/14                | J                               | B                              |                                                                   |
| 53. Exelis Inc.                                                                                                             |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/12/14                | J                               | A                              |                                                                   |
| 54. Exxon Mobil Corp                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 01/22/14                | J                               | D                              |                                                                   |
| 55. Exxon Mobil Corp                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/12/14                | J                               | D                              |                                                                   |
| 56. Halliburton                                                                                                             |                                         |                                               |                                                 |                                           | Sold                                            | 12/08/14                | J                               |                                |                                                                   |
| 57. ITT Industries                                                                                                          |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/02/14                | J                               | C                              |                                                                   |
| 58. Ingersoll Rand                                                                                                          |                                         |                                               |                                                 |                                           | Sold                                            | 04/22/14                | K                               | D                              |                                                                   |
| 59. International Paper Co                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         | J                               | B                              |                                                                   |
| 60. iShares Core S&P 500                                                                                                    |                                         |                                               |                                                 |                                           | Buy                                             | 12/08/14                | L                               |                                |                                                                   |
| 61. Keysight                                                                                                                |                                         |                                               |                                                 |                                           | Spinoff<br>(from line 37)                       | 11/06/14                | J                               |                                |                                                                   |
| 62. Lowes                                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 63. Marathon Oil                                                                                                            |                                         |                                               |                                                 |                                           | Sold                                            | 05/02/14                | J                               | B                              |                                                                   |
| 64. Marathon Oil                                                                                                            |                                         |                                               |                                                 |                                           | Buy                                             | 06/13/14                | J                               |                                |                                                                   |
| 65. Marathon Oil                                                                                                            |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/30/14                | J                               |                                |                                                                   |
| 66. McDonalds Corp                                                                                                          |                                         |                                               |                                                 |                                           | Sold                                            | 01/22/14                | K                               | D                              |                                                                   |
| 67. Medtronics                                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 68. Merck                                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 01/22/14                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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Page 8 of 14

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. Merck                                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/22/14                | J                               | B                              |                                                                   |
| 70. Micron                                                                                                                  |                                         |                                               |                                                 |                                           | Buy                                             | 08/14/14                | J                               |                                |                                                                   |
| 71. Microsoft                                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 72. Netapp                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/12/14                | J                               |                                |                                                                   |
| 73. Netapp                                                                                                                  |                                         |                                               |                                                 |                                           | Sold                                            | 08/14/14                | J                               |                                |                                                                   |
| 74. Oracle                                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 75. Packaging Corp                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 76. Pfizer                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 01/22/14                | J                               | A                              |                                                                   |
| 77. Phillip Morris                                                                                                          |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/02/14                | J                               | A                              |                                                                   |
| 78. Pub Srv Enterprise                                                                                                      |                                         |                                               |                                                 |                                           | Buy                                             | 12/17/14                | J                               |                                |                                                                   |
| 79. Suncor                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/08/14                | K                               |                                |                                                                   |
| 80. Suntrust                                                                                                                |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/30/14                | J                               |                                |                                                                   |
| 81. TRW Auto                                                                                                                |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/12/14                | J                               | B                              |                                                                   |
| 82. Teradata Corp                                                                                                           |                                         |                                               |                                                 |                                           | Sold                                            | 03/04/14                | J                               | D                              |                                                                   |
| 83. Priceline Group                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 05/12/14                | J                               |                                |                                                                   |
| 84. Priceline Group                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/30/14                | J                               |                                |                                                                   |
| 85. Priceline Group                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/08/14                | J                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                 | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                       | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

Sweet, Robert W.

Date of Report

08/13/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
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| 86. Travelers Co                                                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 87. Union Pacific                                                                                                           |                                         |                                               |                                                 |                                           | Buy                                             | 05/12/14                | K                               |                                |                                                                   |
| 88. United Contl Holdings                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 01/21/14                | J                               | C                              |                                                                   |
| 89. United Contl Holdings                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/02/14                | J                               | C                              |                                                                   |
| 90. United Technologies                                                                                                     |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 01/22/14                | J                               | D                              |                                                                   |
| 91. United Technologies                                                                                                     |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/02/14                | J                               | D                              |                                                                   |
| 92. Unitedhealth Group                                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 93. Universal Health                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 01/22/14                | J                               |                                |                                                                   |
| 94. Vectrus                                                                                                                 |                                         |                                               |                                                 |                                           | Spinoff<br>(from line 52)                       | 10/02/14                | J                               |                                |                                                                   |
| 95. WSTN Digital                                                                                                            |                                         |                                               |                                                 |                                           | Buy                                             | 02/04/14                | J                               |                                |                                                                   |
| 96. Wal Mart                                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 97. Xylem                                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/02/14                | J                               | C                              |                                                                   |
| 98. Xylem                                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/12/14                | J                               | C                              |                                                                   |
| 99. CMA Money Fund (a)                                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 100.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 101. Trust #2 (lines 101 -113) (b)                                                                                          | G                                       | Distribution                                  | P1                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 102. USTN 1.875% due 7/15/19                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

Sweet, Robert W.

Date of Report

08/13/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. Apple Inc                                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 104. Microsoft                                                                                                              |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 105. North Colorado Springs Land & Improvemnet Co.                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 106. Seagate Tech                                                                                                           |                                         |                                               |                                                 |                                           | Sold                                            | 05/28/14                | M                               | G                              |                                                                   |
| 107. Fiduciary Trust Int'l Money Market (a)                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 108. Silvercrest Global Fund (formerly MW Global Partners)                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 109. Pershing Sq LP                                                                                                         |                                         |                                               |                                                 |                                           | Redeemed (part)                                 | 01/07/14                | N                               | F                              |                                                                   |
| 110. Pershing Sq LP                                                                                                         |                                         |                                               |                                                 |                                           | Redeemed (part)                                 | 04/11/14                | N                               | G                              |                                                                   |
| 111. Pershing Sq LP                                                                                                         |                                         |                                               |                                                 |                                           | Redeemed (part)                                 | 06/16/14                | N                               | G                              |                                                                   |
| 112. Pershings Sq LP                                                                                                        |                                         |                                               |                                                 |                                           | Redeemed                                        | 10/10/14                | N                               | G                              |                                                                   |
| 113. SPDR Gold Trust                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/29/14                | M                               |                                |                                                                   |
| 114.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 115. Trust #3 (lines 115 - 133) (b)                                                                                         | G                                       | Distribution                                  | P1                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 116. Fiduciary Trust Int'l Money Market (a)                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 117. Berkshire Hathaway                                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 118. iShares Comex Gold                                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 119. SPDR Gold Trust                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                    |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Sweet, Robert W.

Date of Report

08/13/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. Gotham Absolute Return                                                                                                 |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/12/14                | J                               |                                |                                                                   |
| 121. Gotham Absolute Return                                                                                                 |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/19/14                | J                               |                                |                                                                   |
| 122. Gotham Neutral Fund                                                                                                    |                                         |                                               |                                                 |                                           | Buy                                             | 05/14/14                | P1                              |                                |                                                                   |
| 123. Gotham Neutral Fund                                                                                                    |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/19/14                | K                               |                                |                                                                   |
| 124. Estes - Sheridan LP                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 125. NP Strathan LLC                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 126. NP Dover LLC                                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 127. NP Nashua LLC                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 128. NP Hanson LLC                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 129. NP Portfolio Account LLC                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 130. NPS2 LLC                                                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 131. Execwest LLC                                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 132. Trans-Lux Corp new                                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 133. Trans-Lux Series A pfd                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 134.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 135. Citibank money market account                                                                                          | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 136. Citibank checking account                                                                                              |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Sweet, Robert W.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. JPMorgan Chase checking account                                                                                        |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 138.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 139.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 140.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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# FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

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08/13/2015

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

### VII. Investments and Trusts

(a) = This is a money market type fund with shares remaining at a constant value of \$1.00. Purchases and sales, with no gain or loss, were made throughout the year.

(b) = Income beneficiary only.

# FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Sweet, Robert W.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert W. Sweet

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544