

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McEwen, Catherine P.	2. Court or Organization Bankruptcy Court, M. Dist. Fla	3. Date of Report 05/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 801 N. Florida Avenue Suite 854 Tampa, Florida 33602-3899		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisory Board Member	Salesian Youth Center (charitable organization)
2. Director at Large Board Member (advisory)	Hillsborough Association for Women Lawyers
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. June 2015	Western Michigan University Cooley Law School and I have orally agreed that I will teach one semester or more per year, subject to Eleventh Circuit approval.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 12/2017	Western Michigan University Cooley Law School	\$4,125.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	The Florida Bar	January 25-26, 2017	Kissimmee, FL	Mid-Year Meeting	Lodging, meals.
2.	National Conference of Bankruptcy Judges	April 5, 2017	Washington, D.C.	Legislative Committee meetings on the Hill	Air fare, parking, ground transportation, meals.
3.	The Florida Bar	June 21-23, 2017	Boca Raton, FL	Annual Meeting	Air fare, ground transportation, lodging, meals.
4.	Jacksonville Bar Ass'n	August 24-25, 2017	Ponte Vedra Beach, FL	Annual Seminar	Air fare, ground transportation, lodging, meals.
5.	National Conference of Bankruptcy Judges	October 7-10, 2017	Las Vegas, NV	Annual Meeting	Air fare, parking, ground transportation, lodging.

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6.	The Florida Bar	September 1-4, 2017	Manalapan, FL	Business Law Section Labor Day Retreat	Air fare, ground transportation, lodging, meals.
7.	National Conference of Bankruptcy Judges	December 10-11, 2017	Washington, D.C.	Meeting with Chief Justice	Air fare, parking, ground transportation, lodging, meals.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.	The Florida Bar	Registration waivers for Annual Meeting, BLS Labor Day Retreat, View from the Bench	\$1,045.00
2.	Tampa Bay Bankruptcy Bar Association	Dues waiver, 8 formal/8 consumer lunches, holiday party, guest lunches, annual dinner	\$410.00
3.	Ray Hyer, Gardner Gibson, Sun Coatings	Skybox tickets to USF game (6), Sir Paul McCartney concert (1), + food and beverages	\$640.00
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. WELLS FARGO DDA/Savings Accounts	A	Interest	M	T					
2. State Farm Bank Account	A	Interest	J	T					
3. SCHWAB IRA (H) (4-20 BELOW)									
4. BND	A	Int./Div.	K	T	Buy (add'l)	04/20/17	J		
5. EFV	A	Int./Div.	J	T	Buy	04/20/17	J		
6. EMB	A	Int./Div.			Sold	04/20/17	J	A	
7. EMLC	A	Int./Div.	J	T	Buy (add'l)	04/20/17	J		
8. IQDF	A	Int./Div.	J	T					
9. SPIB (X)	A	Int./Div.	J	T	Sold (part)	04/20/17	J	A	
10. JNK	A	Int./Div.	J	T	Sold (part)	04/20/17	J	A	
11. SCHP	A	Int./Div.	J	T					
12. SNLN	A	Int./Div.	J	T	Buy (add'l)	04/20/17	J		
13. VBR	A	Int./Div.	J	T	Sold (part)	04/20/17	J	A	
14. VEA	A	Int./Div.	J	T					
15. VO	A	Int./Div.	J	T					
16. VOE	A	Int./Div.			Sold	04/20/17	J	A	
17. VTI	A	Int./Div.	K	T	Buy (add'l)	04/20/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. VTV	A	Int./Div.	J	T	Sold (part)	04/20/17	J	A	
19. VWO	A	Int./Div.	J	T	Buy (add'l)	04/20/17	J		
20. VGIT	A	Int./Div.	J	T	Buy	04/20/17	J		
21. MASSMUTUAL ANNUITY IRA (H) (21-26)									
22. MML EQUITY	A	Int./Div.	L	T					
23. MML GLOBAL	A	Int./Div.	K	T					
24. MML GROWTH & INCOME	A	Int./Div.	L	T					
25. MML MANAGED BOND	A	Int./Div.	M	T					
26. MML MID CAP GROWTH	A	Int./Div.	K	T					
27. BANK OF AMERICA CASH ACCOUNT (PARENTS)	A	Interest	L	T					
28. AMERICAN FUNDS ROTH IRA (H)									
29. AF GROWTH PORTFOLIO CL A	A	Int./Div.	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Pt. III. A. No. 1: The net check they sent me was dated in December 2017, but they did not send it to me until 2018. I have the post-marked envelope. I am reporting it as 2017 income in this FDR out of an abundance of caution. I intend to report the same check in my 2018 FDR and on my 2018 1040.

Pt. IV. No. 6 and Pt. V. No. 1: Direct-pay meals are included in the registration for the Labor Day Retreat, but I have insufficient information from which to allocate the cost of the meals to reimbursements in Pt. IV, so there is some overlap there.

Pt. VII.

No. 9: ITR changed ticker symbol to SPIB in October 2017.

No. 27: I am a signer on my parents' regular and money market checking accounts. Although I am legally on the title, I am not a beneficial owner.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catherine P. McEwen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544