

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Russell, David E.	2. Court or Organization Eastern District of California	3. Date of Report 04/24/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Recalled Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Bankruptcy Court 501 I Street, Suite 3-200 Sacramento, CA 95814		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee of Family Trust. Assets reported in Part VII	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. California Bankruptcy Forum	May 15, 2014 to May18, 2014	Monterey, California	Participation in Bankruptcy Programs for professionals	3 nights lodging and some meals
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
5. _____	_____	_____	_____	_____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SMR IRA									
2. 1. American Electric Power Co	A	Dividend	J	T					
3. 2. Berkshire Hathaway Inc		None	J	T					
4. 3. BP PLC ADS	A	Dividend	J	T					
5. 4. Chico Fas Inc.	A	Dividend	J	T					
6. 5. Clorox Co De	A	Dividend	J	T					
7. 6. Emerson Electric Co	A	Dividend	J	T					
8. 7. General Mills Inc.	A	Dividend	J	T					
9. 8. Hawaiian Electric Ind	A	Dividend	J	T					
10. 9. Nestle Spon ADR Reg Shr	A	Dividend	J	T					
11. 10. Pepsico Inc	A	Dividend	J	T					
12. 11. PG&E Corporation	A	Dividend	J	T					
13. 12. Plum Creek Timber	A	Dividend	J	T					
14. 13. Procter & Gamble	A	Dividend	J	T					
15. 14. Target Corp	A	Dividend	J	T					
16. 15. M/S Bank	A	Interest	J	T					
17. DER IRA (see Part VIII)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. 1. M/S Bank	A	Interest	J	T					
19. 2. Alerian MLP ETF	A	Distribution	J	T					
20. 3. CPFL Energia S.A.	A	Dividend	J	T					
21. 4. Health Care Sel Sect SPDR FD	A	Dividend	J	T					
22. 5. Industrial Sel Sect SPDR FD	A	Dividend	J	T					
23. 6. ISHARES Cohen & Steers REIT ETF	A	Dividend	J	T					
24. 7. ISHARES High Divd ETF	A	Dividend	J	T					
25. 8. ISHARES Intl Sel Divd ETF	A	Dividend	J	T					
26. 9. ISHARES NASDAQ Biotech ETF					Sold	04/03/14	J	B	
27. 10. ISHARES S&P Midcap 400 Index	A	Dividend	K	T					
28. 11. ISHARES S&P Smallcap 600 Index	A	Dividend	K	T					
29. 12. ISHARES US Technology ETF	A	Dividend	J	T					
30. 13. S & P 500 Index FD	A	Dividend	J	T					
31. 14. AQR Managed Futures Strategy I	A	Dividend	J	T	Buy (add'l)		J		Reinvest Dividends
32. 15. Columbia Acorn Z	A	Dividend	J	T	Buy (add'l)		J		Reinvest Dividends
33. 16. Eaton Vance Diver Cur Inc	A	Dividend			Sold	12/11/14	J		
34. 17. First Eagle Global I	A	Dividend	J	T	Buy (add'l)		J		Reinvest Dividends

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35. 18. First Eagle Gold I	A	Dividend	J	T					
36. 19. Goldman Sachs N-11 Equity	A	Dividend	J	T	Buy (add'l)		J		Reinvest Dividends
37. 20. Good Harbor US Tactical Core I		Dividend	J	T	Buy (add'l)		J		Reinvest Dividends
38. 21. Mainstay High Yield Opport I	A	Dividend	J	T	Buy (add'l)		J		Reinvest Dividends
39. 22. Oppenheimer Intl Sm Com Y	A	Dividend	J	T	Buy (add'l)		J		Reinvest Dividends
40. 23. Oppenheimer Sr Floating Rate Y	A	Dividend	J	T	Buy (add'l)		J		Reinvest ;Dividends
41. 24. Pimco Emerging Local Bd P	A	Dividend	J	T	Buy (add'l)		J		Reinvest Dividends
42. 25. Pioneer Mlti Ast Ult Sht Inc Y	A	Dividend	K	T	Buy (add'l)		J		Reinvest Dividends
43. 26. Pioneer Strategic Income Y	A	Dividend	K	T	Buy (add'l)		J		Reinvest Dividends
44. 27. Prudential Total Return Bd Z	A	Dividend	K	T	Buy (add'l)		J		Reinvest Dividends
45. 28. Templeton Global Bd Fd Adv	A	Dividend	J	T	Buy (add'l)		J		Reinvest Dividends
46. CASH FUNDS									
47. 1. American Express Savings	A	Interest	K	T					
48. 2. Golden 1 Credit Union	A	Int./Div.	K	T					
49. 3. Safe Credit Union	A	Int./Div.	J	T					
50. 4. Schools Financial Credit Union	A	Int./Div.	K	T					
51. FAMILY TRUST									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. 1. M/S Bank & Money Mkt Fds	A	Interest	K	T					
53. 2. 3M Company	A	Dividend	J	T					
54. 3. Abbott Laboratories	A	Dividend	J	T					
55. 4. Abbvie Inc Com	A	Dividend	J	T					
56. 5. Altria Group Inc	A	Dividend	J	T					
57. 6. American Tower REIT Com	A	Distribution	J	T					
58. 7. Anadarko Petroleum	A	Dividend	J	T					
59. 8. Anal Capital Mgmt Inc	A	Dividend			Sold	06/10/14	J		Loss on sale
60. 9. Arthur J. Gallagher	A	Dividend	J	T					
61. 10. AT&T	A	Dividend	J	T					
62. 11. Atmos Energy	A	Dividend	J	T					
63. 12. Berkshire Hathaway B		None	K	T					
64. 13. Catapillar Inc	A	Dividend	J	T					
65. 14. Celgene Corp		None	J	T					
66.			J	T	Open	06/25/14	J		Stock Dividend
67. 15. Chevron Corp		None	J	T	Buy	12/11/14	J		
68. 16. Cincinnati Financial	A	Dividend	J	T					

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69. 17. Conoco Phillips	A	Dividend	J	T					
70. 18. Costco Wholesale Corp New	A	Dividend	J	T	Buy	01/17/14	J		
71. 18. Dow Chemical Company	A	Dividend	J	T					
72. 19. Duke Energy Corp	A	Dividend	K	T					
73. 20. Eli Lilly & Co	A	Dividend	J	T					
74. 21. Frontier Communications Corp	A	Dividend	J	T					
75. 22. Google Inc Cl C		None	J	T	Spinoff (from line 76)	04/02/14	J		Stock Dividend
76. 23. Google Inc-Cl A		None	J	T					
77. 24. Halyard Health		None	J	T	Spinoff (from line 25)	02/28/14	J		
78. .Halyard Health		None	J	T	Sold (part)	02/28/14	J		Fractional share
79. 25. Health Care REIT	A	Dividend	J	T					
80. 26. Home Depot Inc	A	Dividend	J	T					
81. 27. Johnson & Johnson	A	Dividend	J	T					
82. 28. Kimberly Clark Corp	A	Dividend	J	T					
83. 29. Kinder Morgan Incorp	A	Dividend	J	T	Buy	01/07/14	J		
84. 30. Kraft Food Group Inc Com	A	Dividend	J	T					
85. 31. Leucadia Nat CP	A	Dividend	J	T	Buy	05/21/14	J		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86. 32. Lowes Companies Inc	A	Dividend	J	T					
87. 33. MercadoLibre Inc	A	Dividend	J	T					
88. 34. Mondalez Intl Inc Com	A	Dividend	J	T					
89. 35. Netgear Inc		None	J	T					
90. 36. Nextera Energy Inc Com	A	Dividend	J	T					
91. 37. Novartis AG ADR	A	Dividend	J	T					
92. 38. Otter Tail Corp	A	Dividend	J	T					
93. 39. Phillip Morris Intl Inc	A	Dividend	J	T					
94. 40. Phillips 66 Com	A	Dividend	J	T					
95. 41. Rackspace Hosting Inc Com		None	J	T					
96. 42. Royal Dutch Shell PLC CL B	A	Dividend	J	T	Buy	05/21/14	J		
97. 43. Sohu.Com Inc		None			Sold	10/08/14	J		Loss on sale
98. 44. Spectra Energy Corp	A	Dividend	J	T					
99. 45. Stratasys LTD SHS		None	J	T	Buy	01/17/14	J		
100. 46. Sysco Corp	A	Dividend	J	T					
101. 47. Time Inc	A	Dividend	J	T	Spinoff (from line 41)	06/06/14	J		Stock Dividend
102. 48. Time Warner Cable Inc New	A	Dividend	J	T					

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103. 49. Time Warner Inc New	A	Dividend	J	T					
104. 50. TJX COS Inc New	A	Dividend	L	T					
105. 51. USG Corp New		None	K	T					
106. 52. Verizon Communications	A	Dividend	J	T					
107. 53. Waste Connections		None	J	T					
108. 54. Barcap IPath DJ UBS Comm Indx		None			Sold	06/10/14	J		Loss on Sale
109. 55. Blackrock Cal Muni Inc Trust	A	Int./Div.	J	T					
110. 56. IShares MSCI EAFE ETF	A	Dividend	J	T					
111. 57. IShares Emerging Mkts ETF	A	Dividend	J	T					
112. 58. Prospect Capital Corp	A	Distribution	J	T	Buy	05/28/14	J		
113. 59. SPDR S & P Emerging Asia Pacif	A	Dividend	J	T					
114. 60. SPDR S & P Intl Utilities Sect	A	Dividend	J	T					
115. 61. The Technology Sel Sec SPDR FD	A	Dividend	K	T					
116. 62. California St Genl Oblg Bds	A	Interest	K	T					
117. 63. Columbia Select Smllr Cp Val A	A	Dividend	J	T					
118. 64. Franklin CA Tax Free Income Fd	A	Dividend	J	T					
119. 65. Franklin Mutual Global Discovery A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. 66. Thornburg CA Ltd Term Muni A Fd	A	Dividend	J	T					
121. 67. Rental Property, Lincoln, CA	E	Rent	N	R		4//32013			

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 17. The DER IRA is managed by our broker. We have limited control over the investments.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David E. Russell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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