

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BRANDT, PHILIP H.	2. Court or Organization U.S. BANKRUPTCY COURT, W.D. WA	3. Date of Report 04/29/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE - RECALLED	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 700 STEWART STREET SUITE 7130 SEATTLE, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting

BRANDT, PHILIP H.

Date of Report

04/29/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. Annual	USNR Retirement Pay [DFAS]	\$19,492.48
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. Various	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bar Ass'n.	21-22 Mar 2012	Las Vegas, NV	ABA Bus Law Section Mtg	transportation, food, lodging
2. American Bar Ass'n	2-5 Aug 2012	Chicago, IL	ABA Annual Mtg	transportation, food, lodging
3. Geo. Mason Foundation	23-28 Sept 2012	Arlington, VA	Law & Econ Seminar	transportation, food, lodging
4. Nat'l Conf. of Bkey. Judges	24-27 Oct 2012	San Diego, CA	NCBJ Annual Mtg	lodging
5. American Bar Ass'n	24-27 Oct 2012	San Diego, CA	NCBJ Annual Mtg	transportation, food, lodging
6. American Bar Ass'n	15-17 Nov 2012	Washington, DC	ABA Bus Law Section Mtg	transportation, food, lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 6

Name of Person Reporting

BRANDT, PHILIP H.

Date of Report

04/29/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Access Group	Student loan	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting

BRANDT, PHILIP H.

Date of Report

04/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America	A	Interest	K	T					
2. Crown Life	A	Interest	J	T					
3. USAA Subscriber Savings	A	Dividend	J	T					
4. NW Mutual Life	D	Dividend	N	T					
5. RBC Bank Deposit Program	A	Dividend	K	T					
6. Russell Growth Strategies	D	Distribution			Sold	02/22/12	N	A	
7. USAA Federal Savings	A	Int./Div.	K	T					
8. Am Cap Fund A	A	Distribution	K	T	Buy	02/22/12	K		
9. Fundamental Invs Fund A	A	Distribution	K	T	Buy	02/22/12	K		
10. Wn Mutual Invs A	A	Distribution	K	T	Buy	02/22/12	K		
11. Intl Growth & Income A	A	Distribution	K	T	Buy	02/22/12	K		
12. New Perspectives Fund A	A	Distribution	K	T	Buy	02/22/12	K		
13. Small Cap World Fund A	A	Distribution	J	T	Buy	02/22/12	K		
14. New World Fund	A	Distribution	J	T	Buy	02/22/12	K		
15.									
16.									
17.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

BRANDT, PHILIP H.

Date of Report

04/29/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VI - Liabilities: Access Group paid off during 2012.

Part VII, Investments, line 5: RBC Bank Deposit Program formerly RBC Global Asset Management

lines 8 - 14: all part of American Funds

FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting

BRANDT, PHILIP H.

Date of Report

04/29/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **PHILIP H. BRANDT**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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