

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TREADWELL, MARC T.	2. Court or Organization UNITED STATES DISTRICT COURT, MIDDLE DISTRICT OF GEORGIA	3. Date of Report 05/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address P. O. BOX 65 MACON, GA 31202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member of Board of Trustees	Mercer University
2. Member of Board of Trustees	The Peyton Anderson Foundation
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Mercer Law School - Salary	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Self-employed landscape architect (d/b/a WT Designs)
2. 2016	Self-employed real estate professional (d/b/a WT Development, LLC)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Trust #2	Mortgage on rental property #1, Fripp Island, SC (Part VII, line 4)	M
2.	Merrill Lynch	Line of Credit - the assets of Brokerage #1 (Part VII, lines 9-12) are pledged collateral for this loan	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. State Bank and Trust Company Accounts		None	K	T					
2. Wells Fargo Bank Account (Y)									
3. Bank of America (X)		None	J	T					
4. Rental Property #1, Fripp Island, South Carolina	E	Rent	O	W					
5. Rental Property #2, Macon, Georgia	D	Rent	M	W					
6. Rental Property #3, Macon, Georgia	D	Rent	L	W					
7. Rental Property #4, Auburn, Alabama	D	Rent	M	W					
8. Lincoln National Life - Survivorship universal life policy		None	L	V					
9. Brokerage #1 (H)									
10. -Merrill Lynch Cash Management Account	A	Interest	J	T					
11. -SP500 Stepup Issuer BAC		None	J	T					
12. -Apple Inc. (common)	A	Dividend	K	T					
13. Brokerage #2 (H)									
14. -Merrill Lynch Cash Management Account	A	Interest	J	T					
15. -Amazon Com Inc (common)		None	J	T	Buy	05/23/16	J		
16. -American Express Company (common)	A	Dividend	K	T					
17. -Ameriprise Financial Inc (common)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. -Bristol-Myers Squibb Co (common)	A	Dividend	K	T					
19. -Facebook Inc (common)		None	J	T	Buy	05/23/16	J		
20. -General Electric (common)	A	Dividend	J	T					
21. -Halyard Health Inc. (common)		None	J	T					
22. -Home Depot Inc (common)	A	Dividend	K	T					
23. -Johnson and Johnson (common)	A	Dividend	K	T					
24. -Kimberly Clark (common)	B	Dividend	L	T					
25. -Zimmer Holdings Inc (common)	A	Dividend	J	T					
26. Brokerage #3 (H)									
27. -Merrill Lynch Cash Management Account	A	Dividend	J	T					
28. -Apple Inc (common)	A	Dividend	K	T					
29. -Ishares U.S. Financial Services ETF	A	Dividend	J	T	Sold (part)	01/12/16	J		
30.					Buy (add'l)	06/01/16	J		
31.					Sold (part)	08/02/16	J		
32.					Buy (add'l)	10/11/16	J		
33. -Ishares Cohen & Steers REIT ETF	A	Dividend			Sold	01/15/16	J		
34.					Buy	01/28/16	J		

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35.					Sold	05/13/16	K	B	
36. -Guggenheim S&P 500 Equal Weight ETF		None			Sold	01/06/16	J		
37. -Guggenheim S&P Midcap 400 Pure Growth ETF		None			Sold	01/06/16	J		
38. -Guggenheim S&P 500 Pure Growth ETF		None			Sold	01/06/16	J		
39. -Powershares QQQ Trust SE 1	A	Dividend	K	T	Sold (part)	01/19/16	J		
40.					Buy	01/28/16	J		
41.					Sold	04/01/16	J	A	
42.					Buy	10/11/16	K		
43.					Sold (part)	10/17/16	J		
44. -Ishares S&P Midcap 400 Growth		None			Sold	01/06/16	J		
45. -Ishares U.S. Technology	A	Dividend	J	T	Sold (part)	01/06/16	J		
46.					Buy	04/11/16	J		
47.					Sold	06/01/16	J		
48.					Buy	08/02/16	J		
49.					Sold (part)	10/11/16	J	A	
50. -Ishares S&P 500 Growth		None			Sold (part)	01/15/16	J		
51.					Sold	01/19/16	J		

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52. -Ishares U.S. Consumer Services ETF		None			Sold	01/15/16	J		
53. -Ishares U.S. Healthcare	A	Dividend			Sold	01/12/16	J		
54.					Buy	08/02/16	J		
55.					Sold	10/11/16	J		
56. -Ishares U.S. Real Estate	A	Dividend	J	T	Sold (part)	01/15/16	J		
57.					Sold	01/16/16	J		
58.					Buy	06/30/16	J		
59.					Buy (add'l)	08/02/16	J		
60.					Sold (part)	10/11/16	J		
61. -Ishares S&P Mid-cap 400 Value ETF		None			Sold	01/06/16	J		
62.					Buy	03/30/16	J		
63.					Buy (add'l)	04/01/16	J		
64.					Sold	04/07/16	K		
65. -Ishares S&P Smallcap 600 Growth	A	Dividend	K	T	Sold (part)	01/06/16	J		
66.					Buy	10/11/16	K		
67.					Sold (part)	10/17/16	J		
68. -SPDR S&P Midcap 400 ETF Trust	A	Dividend	J	T	Sold (part)	01/07/16	J		

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69.					Buy	03/30/16	K		
70.					Sold	04/07/16	K		
71.					Buy	04/19/16	J		
72.					Buy (add'l)	04/20/16	J		
73.					Sold	05/13/16	J		
74.					Buy	10/11/16	J		
75.					Sold (part)	10/17/16	J		
76. -SPDR Dow Jones Indust Av ETF Trust	A	Dividend			Sold	01/19/16	J		
77.					Buy	01/28/16	J		
78.					Buy (add'l)	04/19/16	J		
79.					Buy (add'l)	04/20/16	J		
80.					Sold (part)	05/04/16	J		
81.					Sold	05/13/16	K	B	
82. -SPDR S&P 500 ETF Trust	A	Dividend			Sold	01/19/16	J		
83.					Buy	06/08/16	J		
84.					Sold	06/30/16	J		
85. -Pioneer AMT Free Municipal Fund C1 Y	A	Dividend	J	T					

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86. -Ishares Core S&P Mid-cap ETF	A	Dividend	J	T	Buy	05/24/16	K		
87.					Buy (add'l)	06/08/16	J		
88.					Buy (add'l)	06/30/16	J		
89.					Sold (part)	10/11/16	K	B	
90. -Ishares MSCI Emerging Mkts	A	Dividend	J	T	Buy	04/01/16	J		
91.					Buy (add'l)	04/20/16	J		
92.					Sold (part)	05/04/16	J		
93.					Sold	05/13/16	J		
94.					Buy	10/11/16	K		
95.					Sold (part)	10/17/16	J		
96. -Ishares Morningstar Small Cap Value ETF	A	Dividend	J	T	Buy (add'l)	05/24/16	J		
97.					Sold (part)	10/11/16	J	A	
98. -Ishares Core S&P 500 ETF	A	Dividend			Buy	01/25/16	L		
99.					Sold	02/03/16	L	A	
100.					Buy	02/23/16	K		
101.					Buy (add'l)	02/24/16	K		
102.					Sold	03/08/16	L	B	

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103. -Ishares Tr Russell 2000	A	Dividend	J	T	Buy	06/08/16	J		
104.					Sold (part)	06/30/16	J		
105.					Buy (add'l)	10/11/16	J		
106.					Sold (part)	10/17/16	J	A	
107. -Ishares U.S. Basic Materials ETF	A	Dividend	J	T	Buy	04/11/16	J		
108.					Buy (add'l)	04/19/16	J		
109.					Buy (add'l)	04/20/16	J		
110.					Sold (part)	05/04/16	J		
111.					Sold (part)	05/04/16	J		
112.					Buy (add'l)	06/30/16	J		
113.					Sold (part)	10/11/16	J	A	
114. -Ishares U.S. Consumer Goods ETF		None			Buy	04/11/16	J		
115.					Sold	06/01/16	J		
116. -Ishares U.S. Energy ETF	A	Dividend			Buy	06/01/16	J		
117.					Sold	08/02/16	J		
118. -Ishares U.S. Industrials ETF	A	Dividend	J	T	Buy	06/16/16	J		
119.					Sold	08/02/16	J	A	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy	10/11/16	J		
121. -Ishares U.S. Utilities		None			Buy	01/06/16	J		
122.					Sold	01/15/16	J	A	
123.					Buy	04/11/16	J		
124.					Sold	06/01/16	J	A	
125. -Ishares S&P Smallcap 600 Value	A	Dividend	J	T	Buy	03/30/16	K		
126.					Sold	04/07/16	K		
127.					Buy	04/20/16	J		
128.					Sold	05/04/16	J		
129.					Buy	06/30/16	J		
130.					Buy (add'l)	10/11/16	J		
131.					Sold (part)	10/17/16	J	A	
132. -Ishares Core Russell US Value ETF	A	Dividend			Buy	05/24/16	J		
133.					Sold	10/11/16	K	A	
134. -Vanguard 500 Index Fund Shs ETF	A	Dividend			Buy	01/28/16	J		
135.					Sold	05/13/16	K	B	
136. -Ishares Dow Jones US	A	Dividend	K	T	Buy	11/11/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

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05/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. IRA #1 (H)									
138. -Merrill Lynch cash holding account	A	Int./Div.	J	T					
139. -Delaware Corporate Bond Fund Instl C1	A	Dividend	J	T					
140. -Apple Inc (common)	A	Dividend	J	T					
141. -Guggenheim S&P 500 Equal Weight ETF					Sold	01/06/16	J		
142. -Guggenheim S&P Midcap 400 Pure Growth ETF					Sold	01/06/16	J		
143. -Guggenheim S&P 500 Pure Growth ETF					Sold	01/06/16	J		
144. -Ishares U.S. Financial Services ETF	A	Dividend	J	T	Sold (part)	01/12/16	J		
145.					Buy	06/01/16	J		
146.					Sold	08/02/16	J		
147.					Buy	10/04/16	J		
148. -Ishares Cohen & Steers REIT ETF	A	Dividend			Sold	01/15/16	J		
149. -Ishares S&P Midcap 400 Growth		None			Sold	01/06/16	J		
150. -Ishares U.S. Technology	A	Dividend	J	T	Sold (part)	01/06/16	J		
151.					Buy	08/02/16	J		
152. -Ishares S&P 500 Growth		None			Sold (part)	01/15/16	J		
153.					Sold	01/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Ishares U.S. Consumer Services ETF		None			Sold	01/16/16	J		
155. -Ishares U.S. Healthcare	A	Dividend			Sold	01/12/16	J		
156.					Buy	08/02/16	J		
157.					Sold	10/04/16	J		
158. -Ishares S&P Midcap 400 Value ETF		None			Sold	01/06/16	J		
159.					Buy	03/30/16	J		
160.					Buy (add'l)	04/01/16	J		
161.					Sold	04/07/16	J		
162. -Ishares S&P Smallcap 600 Growth	A	Dividend	J	T	Sold (part)	01/06/16	J		
163.					Buy	10/04/16	J		
164.					Sold (part)	10/17/16	J		
165. -Powershares QQQ Trust SE 1	A	Dividend	J	T	Sold (part)	01/19/16	J		
166.					Buy	01/28/16	J		
167.					Sold	04/01/16	J	A	
168.					Buy	10/04/16	J		
169.					Sold (part)	10/17/16	J		
170. -SPDR Dow Jones Indust Av ETF Trust	A	Dividend			Sold (part)	01/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy	01/28/16	J		
172.					Buy (add'l)	04/19/16	J		
173.					Buy (add'l)	04/20/16	J		
174.					Sold (part)	05/04/16	J		
175.					Sold	05/13/16	J	A	
176. -SPDR S&P Midcap 400 ETF Trust	A	Dividend	J	T	Sold (part)	01/07/16	J		
177.					Buy	03/30/16	J		
178.					Sold (part)	04/07/16	J		
179.					Buy (add'l)	04/19/16	J		
180.					Buy (add'l)	04/20/16	J		
181.					Sold (part)	05/04/16	J		
182.					Sold	05/13/16	J		
183.					Buy	05/24/16	J		
184.					Buy (add'l)	06/08/16	J		
185.					Buy (add'l)	06/30/16	J		
186.					Sold (part)	10/04/16	J	A	
187.					Sold (part)	10/17/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -SPDR S&P 500 ETF Trust	A	Dividend			Sold	01/19/16	J		
189.					Buy	01/28/16	J		
190.					Sold	05/13/16	J	A	
191.					Buy	06/08/16	J		
192.					Sold	06/30/16	J		
193. -Ishares MSCI Emerging Mkts	A	Dividend	J	T	Buy	04/01/16	J		
194.					Buy (add'l)	04/19/16	J		
195.					Buy (add'l)	04/20/16	J		
196.					Sold (part)	05/04/16	J		
197.					Sold	05/13/16	J		
198.					Buy	10/04/16	J		
199.					Sold (part)	10/17/16	J		
200. -Ishares Dow Jones US Utilities ETF	A	Dividend			Buy	01/06/16	J		
201.					Sold	01/15/16	J	A	
202.					Buy	03/18/16	J		
203.					Sold	06/01/16	J	A	
204. -Ishares S&P Smallcap 600 Value	A	Dividend	J	T	Buy	03/30/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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TREADWELL, MARC T.

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05/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold	04/07/16	J		
206.					Buy	04/20/16	J		
207.					Sold	05/04/16	J		
208.					Buy	05/24/16	J		
209.					Buy (add'l)	06/30/16	J		
210.					Sold (part)	10/04/16	J	A	
211.					Sold (part)	10/17/16	J	A	
212. -Ishares S&P 500 Value Index ETF	A	Dividend			Buy	05/24/16	J		
213.					Sold	10/04/16	J	A	
214. -Ishares S&P 500 Index ETF		None			Buy	01/25/16	K		
215.					Sold	02/03/16	K	A	
216.					Buy	02/23/16	J		
217.					Buy (add'l)	02/24/16	J		
218.					Sold	03/08/16	K	A	
219. -Ishares Tr Russell 2000	A	Dividend	J	T	Buy	06/08/16	J		
220.					Sold (part)	06/30/16	J		
221.					Buy (add'l)	10/04/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

TREADWELL, MARC T.

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05/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	10/17/16	J	A	
223. -Ishares Dow Jones US Energy Sector ETF	A	Dividend			Buy	06/01/16	J		
224.					Sold	08/02/16	J		
225. -Ishares US Industrials ETF	A	Dividend	J	T	Buy	03/18/16	J		
226.					Sold	08/02/16	J	A	
227.					Buy	10/04/16	J		
228. -Ishares Dow Jones US Consumer Goods ETF	A	Dividend			Buy	03/18/16	J		
229.					Sold	06/01/16	J		
230. -Ishares Dow Jones US Basic Materials ETF	A	Dividend			Buy	03/18/16	J		
231.					Buy (add'l)	04/19/16	J		
232.					Buy (add'l)	04/20/16	J		
233.					Sold (part)	05/04/16	J		
234.					Buy (add'l)	06/30/16	J		
235.					Sold	10/04/16	J	A	
236. -Ishares US Real Estate	A	Dividend	J	T	Sold (part)	01/15/16	J		
237.					Sold	01/19/16	J		
238.					Buy	01/28/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold	05/13/16	J	A	
240.					Buy	06/30/16	J		
241.					Buy (add'l)	08/02/16	J		
242.					Sold (part)	10/04/16	J		
243. -Ishares Dow Jones US Index Fund ETF	A	Dividend	J	T	Buy	11/11/16	J		
244. IRA #2 (H)									
245. -Merrill Lynch cash holding account	A	Int./Div.	J	T					
246. -American Cap Wrld Gr & Income Fund A	B	Dividend	K	T					
247. -Apple Inc (Common)	A	Dividend	K	T					
248. -Express Scripts Hldg Co (Common)		None	J	T					
249. -Wisdomtree Trust Emerging Markets High Dividend Fund	A	Dividend	J	T					
250. -Alababa Group Holding LTD		None	J	T					
251. -Novo Nordisk A S ADR	A	Dividend	J	T					
252. -Southern Company (common)	A	Dividend	J	T					
253. -Tractor Supply Co (common)	A	Dividend	J	T					
254. 401(k) Retirement Plan (H)									
255. -American Funds - Growth Fund of America-R3	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -American Funds - Investment Company of America-R3	D	Dividend	M	T					
257. -American Funds - Fundamental Investors-R3	D	Dividend	M	T					
258. IRA #3 (H)									
259. -Merrill Lynch cash holding account	A	Int./Div.	J	T					
260. -Delaware Corporate Bond Fund Instl C1	A	Dividend	J	T					
261. -Apple Inc (common)	A	Dividend	J	T					
262. -Guggenheim S&P 500 Equal Weight ETF					Sold	01/06/16	J		
263. -Guggenheim S&P Midcap 400 Pure Growth ETF					Sold	01/06/16	J		
264. -Guggenheim S&P 500 Pure Growth ETF					Sold	01/06/16	J		
265. -Ishares U.S. Financial Services ETF	A	Dividend	J	T	Sold (part)	01/12/16	J		
266.					Buy	06/01/16	J		
267.					Sold	08/02/16	J		
268.					Buy	10/04/16	J		
269. -Ishares Cohen & Steers REIT ETF		None			Sold	01/15/16	J		
270. -Ishares S&P Midcap 400 Growth		None			Sold	01/06/16	J		
271. -Ishares U.S. Technology	A	Dividend	J	T	Sold (part)	01/06/16	J		
272.					Buy	08/02/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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TREADWELL, MARC T.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Sold (part)	10/04/16	J	A	
274. -Ishares S&P 500 Growth					Sold (part)	01/15/16	J		
275.					Sold	01/19/16	J		
276. -Ishares U.S. Consumer Services ETF					Sold	01/15/16	J		
277. -Ishares U.S. Healthcare	A	Dividend			Sold	01/12/16	J		
278.					Buy	08/02/16	J		
279.					Sold	10/04/16	J		
280. -Ishares U.S. Real Estate	A	Dividend	J	T	Sold (part)	01/15/16	J		
281.					Sold	01/19/16	J		
282.					Buy	01/28/16	J		
283.					Sold	05/13/16	J	A	
284.					Buy	06/30/16	J		
285.					Buy (add'l)	08/02/16	J		
286.					Sold (part)	10/04/16	J		
287. -Ishares S&P Midcap 400 Value ETF		None			Sold	01/06/16	J		
288.					Buy	03/30/16	J		
289.					Buy	04/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold	04/07/16	J		
291. -Ishares S&P Smallcap 600 Growth	A	Dividend	J	T	Sold (part)	01/06/16	J		
292.					Buy	10/04/16	J		
293.					Sold (part)	10/17/16	J		
294. -Powershares QQQ Trust SE 1	A	Dividend	J	T	Sold (part)	01/19/16	J		
295.					Buy	01/28/16	J		
296.					Sold	04/01/16	J		
297.					Buy	10/04/16	J		
298.					Sold (part)	10/17/16	J		
299. -SPDR Dow Jones Indust Av ETF Trust	A	Dividend			Sold	01/19/16	J		
300.					Buy	01/28/16	J		
301.					Buy (add'l)	04/19/16	J		
302.					Buy (add'l)	04/20/16	J		
303.					Sold (part)	05/04/16	J		
304.					Sold	05/13/16	J	A	
305. -SPDR S&P Midcap 400 ETF Trust	A	Dividend	J	T	Sold (part)	01/07/16	J		
306.					Buy	03/30/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold (part)	04/07/16	J		
308.					Buy (add'l)	04/19/16	J		
309.					Buy (add'l)	04/20/16	J		
310.					Sold (part)	05/04/16	J		
311.					Sold	05/13/16	J		
312.					Buy	05/24/16	J		
313.					Buy (add'l)	06/08/16	J		
314.					Buy (add'l)	06/30/16	J		
315.					Sold (part)	10/04/16	J	A	
316.					Sold (part)	10/17/16	J	A	
317. -SPDR S&P 500 ETF Trust	A	Dividend			Sold	01/19/16	J		
318.					Buy	01/28/16	J		
319.					Sold	05/13/16	J	A	
320.					Buy	06/08/16	J		
321.					Sold	06/30/16	J		
322. -Ishares MSCI Emerging Markets	A	Dividend	J	T	Buy	04/01/16	J		
323.					Buy (add'l)	04/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	04/20/16	J		
325.					Sold (part)	05/04/16	J		
326.					Sold	05/13/16	J		
327.					Buy	10/04/16	J		
328.					Sold (part)	10/17/16	J		
329. -Ishares Dow Jones US Utilities ETF	A	Dividend			Buy	01/06/16	J		
330.					Sold	01/15/16	J	A	
331.					Buy	03/18/16	J		
332.					Sold	06/01/16	J	A	
333. -Ishares S&P Smallcap 600 Value	A	Dividend	J	T	Buy	03/30/16	J		
334.					Sold	04/07/16	J		
335.					Buy	04/20/16	J		
336.					Sold	05/04/16	J		
337.					Buy	05/24/16	J		
338.					Buy (add'l)	06/30/16	J		
339.					Sold (part)	10/04/16	J	A	
340.					Sold (part)	10/17/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. -Ishares S&P 500 Value Index ETF	A	Dividend			Buy	05/24/16	J		
342.					Sold	10/04/16	J	A	
343. -Ishares S&P 500 Index ETF					Buy	01/25/16	K		
344.					Sold	02/03/16	K	A	
345.					Buy	02/23/16	J		
346.					Buy (add'l)	02/24/16	J		
347.					Sold	03/08/16	K	A	
348. -Ishares Russell 2000 Index ETF	A	Dividend	J	T	Buy	06/08/16	J		
349.					Sold (part)	06/30/16	J		
350.					Buy	10/04/16	J		
351.					Sold (part)	10/17/16	J	A	
352. -Ishares Dow Jones US Energy Sector ETF	A	Dividend			Buy	06/01/16	J		
353.					Sold	08/02/16	J		
354. -Ishares U.S. Industrials ETF	A	Dividend	J	T	Buy	03/08/16	J		
355.					Sold	08/02/16	J	A	
356.					Buy	10/04/16	J		
357. -Ishares Dow Jones US Consumer Goods ETF	A	Dividend			Buy	03/18/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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TREADWELL, MARC T.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold	06/01/16	J		
359. -Ishares Dow Jones US Basic Materials ETF	A	Dividend			Buy	03/18/16	J		
360.					Buy (add'l)	04/19/16	J		
361.					Buy (add'l)	04/20/16	J		
362.					Sold (part)	05/04/16	J		
363.					Buy (add'l)	06/30/16	J		
364.					Sold	10/04/16	J	A	
365. -Ishares Dow Jones US Index Fund ETF	A	Dividend	J	T	Buy	11/11/16	J		
366. Trust #1 (H)									
367. -PWM Fiduciary Master Dep Acct	A	Int./Div.	K	T					
368. -Exxon Mobil Corp (Common)	B	Dividend	K	T					
369. -Praxair Inc (Common)	A	Dividend	K	T					
370. -General Electric Co (Common)	C	Dividend	L	T					
371. -Home Depot Inc (Common)	C	Dividend	M	T					
372. -Coca-Cola Co (Common)	A	Dividend	J	T					
373. -Colgate Palmolive Co (Common)	B	Dividend	L	T					
374. -Kimberly Clark Corp (Common)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. -Bristol Myers Squibb Co (Common)	A	Dividend	K	T					
376. -Johnson & Johnson (Common)	C	Dividend	L	T					
377. -American Express Co (Common)	A	Dividend	K	T					
378. -JP Morgan Chase & Co (Common)	A	Dividend	K	T					
379. -Wells Fargo & Co New (Common)	A	Dividend	K	T					
380. -Intel Corp (Common)	B	Dividend	K	T					
381. -Microsoft Corp (Common)	B	Dividend	L	T					
382. -Verizon Communications (Common)	A	Dividend	K	T					
383. -Oppenheimer Funds - Developing Mkts Instl Fd Cl Y (Y)									
384. -Oppenheimer Funds - Developing Markets Fd-I (X)	A	Dividend	J	T					
385. -Capital One Fnc'l Corp (Common)	A	Dividend	K	T	Buy (add'l)	01/14/16	J		
386. -International Business Machines Corp (Common)	A	Dividend	J	T					
387. -Wasatch Long/Short Fund-Ins (Common)		None			Sold	01/14/16	J		
388. -Blackrock Inc Cl A (Common)	A	Dividend	K	T					
389. -ConocoPhillips (Common)	A	Dividend	K	T	Buy (add'l)	01/14/16	J		
390. -Williams Cos Inc. (Common)		None			Sold	01/14/16	J		
391. -Alphabet Inc Cl A (Common)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. -Disney Walt Co New (Common)	A	Dividend	L	T					
393. -Allstate Corp (Common)	A	Dividend	K	T					
394. -Alphabet Inc Cl C (Common)		None	J	T					
395. Trust #2 (H)									
396. -PWM Fiduciary Master Dep Acct	A	Int./Div.	J	T					
397. -Exxon Mobil Corp (Common)	A	Dividend			Donated				
398. -Abbott Labs (Common)	A	Dividend	J	T					
399. -Intel Corp (Common)	A	Dividend	J	T					
400. -Oppenheimer Funds-Developing Mkts Instl Fd Cl Y (Y)									
401. -Oppenheimer Funds - Developing Markets Fd-I (X)	A	Dividend	J	T					
402. -Note Rec. (Mortgage on rental prop #1-Part VI, Ln 1)		None	M	U					
403. -Pepsico Inc (Common)	A	Dividend			Donated				
404. -Alphabet Inc Cl A (Common)		None	J	T					
405. -Abbvie Inc (Common)	A	Dividend	J	T					
406. -Alphabet Inc Cl C (Common)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

TREADWELL, MARC T.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS AND TRUSTS

Part VII, Line 8: The value of the universal life policy in Column C(1) is the contract value as of 12/31/2016.

Part VII, Lines 383 and 384: Effective 6/17/16, the shares of Oppenheimer Developing Markets Fund-Y were converted to shares of Oppenheimer Developing Markets Fund-I.

Part VII, Lines 400 and 401: Effective 6/17/16, the shares of Oppenheimer Developing Markets Fund-Y were converted to shares of Oppenheimer Developing Markets Fund-I.

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Name of Person Reporting

TREADWELL, MARC T.

Date of Report

05/11/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ MARC T. TREADWELL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544