

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) France, Mary D.	2. Court or Organization Bankruptcy Court -- MDPa	3. Date of Report 04/18/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge -- active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Federal Building 228 Walnut St. Harrisburg, PA 17108		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

France, Mary D.

Date of Report

04/18/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Western Washington University (website royalty)
2. 2013	Shippensburg University (teaching)
3. 2013	Charles Thomas Publisher, Inc. (book royalties)
4. 2013	Northwestern Human Services of Pennsylvania (training)

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	March 11-12, 2013	New York, New York	Duberstein Moot Court Competition -- judge	transportation, meals, hotel
2. Pennsylvania Bankruptcy Institute	September 18, 2013	Mechanicsburg, PA	attorney conference -- presenter	meals
3. National Conference of Bankruptcy Judges	October 31-November 2, 2013	Atlanta, GA	judges/attorney conference -- presenter	transportation, meals, hotel
4. Allegheny County Bar Association	November 29-30, 2012	Pittsburgh, PA	presenter -- bankruptcy symposium	transportation, meals, hotel
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

France, Mary D.

Date of Report

04/18/2014

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

France, Mary D.

Date of Report

04/18/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sovereign Bank accts	A	Interest			Closed	03/05/13	K		
2. Susquehanna Bank accts (X)	B	Interest	K	T	Open	02/04/13	K		
3. American Express money market acct	A	Interest	J	T					
4. Vanguard Cap. Opportunity Fund 403(b)(7)	A	Dividend	K	T					
5. Vanguard Capital Opportunity Mutual Fund	A	Dividend	J	T	Buy (add'l)	12/30/13	J		See Notes in Part VIII
6. Vanguard Wellington Mutual Fund	A	Dividend	J	T					
7. Vanguard PRIMECAP Core Fund 403(b)(7)	A	Dividend	K	T					
8. TIAA-CREF T-C Mid-Cap Val-Rtmt	A	Dividend	M	T	Buy (add'l)	01/11/13	J		
9.					Buy (add'l)	01/25/13	J		
10.					Buy (add'l)	02/08/13	J		
11.					Buy (add'l)	02/22/13	J		
12.					Buy (add'l)	03/08/13	J		
13.					Buy (add'l)	03/22/13	J		
14.					Buy (add'l)	04/05/13	J		
15.					Buy (add'l)	04/19/13	J		
16.					Buy (add'l)	05/03/13	J		
17.					Buy (add'l)	05/17/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000.000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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France, Mary D.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	05/31/13	J		
19.					Buy (add'l)	06/14/13	J		
20.					Buy (add'l)	06/28/13	J		
21.					Buy (add'l)	07/12/13	J		
22.					Buy (add'l)	07/26/13	J		
23.					Buy (add'l)	08/09/13	J		
24.					Buy (add'l)	08/23/13	J		
25.					Buy (add'l)	09/06/13	J		
26.					Buy (add'l)	09/20/13	J		
27.					Buy (add'l)	10/04/13	J		
28.					Buy (add'l)	10/18/13	J		
29.					Buy (add'l)	11/01/13	J		
30.					Buy (add'l)	11/15/13	J		
31.					Buy (add'l)	11/27/13	J		
32.					Buy (add'l)	12/13/13	J		
33.					Buy (add'l)	12/27/13	J		
34.	02Micro International LTD common	None			Sold	12/13/13	K	D	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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France, Mary D.

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35. Vanguard PRIMECAP Core Mutual Fund	A	Dividend	K	T	Buy (add'l)	09/06/13	K		See Note in Part VIII
36.					Buy (add'l)	12/30/13	K		
37. Vanguard Prime Money Market Fund	A	Dividend	J	T	Buy (add'l)	08/26/13	L		See Note in Part VIII
38.					Sold (part)	09/11/13	L		
39.					Buy (add'l)	12/18/13	K		
40.					Sold (part)	12/30/13	K		
41.					Sold (part)	12/30/13	J		
42. Vanguard Convertible Securities Fund	A	Dividend	J	T					
43. Vanguard Convertible Securities 403(b) Fund	E	Dividend	N	T					
44. Vanguard Selected Value Fund (X)	A	Dividend	L	T	Buy	09/11/13	K		
45. Prudential Whole Life Policy	A	Dividend	J	T					
46. Trust #1	D	Int./Div.			Distributed (part)	09/15/13	O		See Note in Part VIII
47.					Distributed	12/30/13	K		
48. -- Wells Fargo accts					Closed	12/30/13	J		
49. -- Dreyfus Florida Intermediate Municipal Bond Fund					Redeemed	05/29/13	L		
50. -- BBVA Compass Bank acct					Closed	06/07/13	K		
51. -- Florida Capital Bank acct					Closed	05/22/13	L		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

France, Mary D.

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52. -- Regions Bank accts					Closed	05/30/13	M		
53. -- TD Bank acct					Closed	06/12/13	K		
54. -- 1st Atlantic Bank acct.					Closed	05/13/13	M		
55. -- 121 Financial Credit Union acct					Closed	05/22/13	K		
56. -- Vanguard Convertible Securities Fund					Sold	05/30/13	L		
57. -- Community First Credit Union accts					Closed	05/22/13	M		
58. -- Atlantic Coast Bank acct.					Closed	05/07/13	K		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

France, Mary D.

Date of Report

04/18/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Lines 5, 35, and 36 related to Vanguard Capital Opportunity and Vanguard Primecap Core, although listed as "buy," are exchanges with Vanguard Prime Money Market Fund at Lines 38, 40 and 41.

Part VII, Line 46 -- the assets of Trust #1 were liquidated and distributed to the five beneficiaries of the trust. The Trust has been terminated under applicable state law.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

France, Mary D.

Date of Report

04/18/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Mary D. France**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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