

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hammond, Mary E.	2. Court or Organization US BANKRUPTCY COURT - NORTHERN DISTRICT OF CALIFORNIA	3. Date of Report 05/15/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE (FULL-TIME)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 280 S. FIRST ST COURTROOM 3035 SAN JOSE, CA 95113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	SALARY AT APPLE, INC
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. NATIONAL CONFERENCE OF BANKRUPTCY JUDGES	02/05/2015 - 02/06/2015	DALLAS, TX	ACTIVITY OF PROFESSIONAL ASSOCIATION OR CIVIC ORGANIZATION	TRANSPORTATION, LODGING, AND MEALS
2. CALIFORNIA BANKRUPTCY FORUM	05/15/2015 - 05/17/2015	NAPA, CA	EDUCATIONAL SEMINAR OR PROGRAM	TRANSPORTATION, LODGING, AND MEALS
3. NATIONAL CONFERENCE OF BANKRUPTCY JUDGES	09/26/2015 - 09/30/2015	MIAMI BEACH, FL	EDUCATIONAL SEMINAR OR PROGRAM	TRANSPORTATION, LODGING, AND MEALS
4.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. WELLS FARGO CHECKING ACCOUNT	A	Interest	K	T					
2. WELLS FARGO SAVINGS ACCOUNT	A	Interest	J	T					
3. AAPL (COMMON)	D	Dividend	N	T	Sold (part)	02/10/15	M	F	
4. AAPL (COMMON)		None			Sold (part)	05/11/15	L	E	
5. AAPL (COMMON)		None			Sold (part)	05/26/15	K	D	
6. AAPL (COMMON)		None			Sold (part)	08/17/15	K	D	
7. AAPL (COMMON)		None			Sold (part)	08/28/15	K	A	
8. 401K #1	D	Dividend	O	T					
9. - VANGUARD WINDSOR II ADM									
10. - FIDELITY CONTRAFUND									
11. - NUVEEN NWQ SMALL CAP VALUE I									
12. - VANGUARD EXTENDED MARKET INDEX INSTL PL									
13. - WELLS FARGO ADVANTAGE DISCOVERY INSTL									
14. - VANGUARD TOTAL INTERNATIONAL STOCK INDEX INSTITUTIONAL									
15. - DODGE & COX INTERNATIONAL STOCK									
16. -VOYA CLARION GLOBAL REAL ESTATE formerly known as ING CLARION GLOBAL									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. - VANGUARD EMPLOYEE BENEFIT INDEX CLIENT A									
18. IRA #1	D	Dividend	K	T					
19. - FIDELITY CASH RESERVES					Closed	10/31/15	J		
20. - FIDELITY GOVERNMENT CASH RESERVES					Open	11/01/15	J		
21. - COLUMBIA ACORN CLASS Z									
22. - FIDELITY CANADA									
23. - MERIDIAN CONTRARIAN FUND LEGACY									
24. - MATTHEWS INDIA FUND									
25. IRA #2	B	Dividend	L	T					
26. - FIDELITY CASH RESERVES					Closed	10/31/15	J		
27. - FIDELITY GOVERNMENT CASH RESERVES					Open	11/01/15	J		
28. - CLIPPER					Sold	02/11/15	J		
29. - DISNEY WALT CO					Buy	02/17/15	J		
30. - FIDELITY REAL ESTATE INVESTMENT									
31. - RBC MICROCAP VALUE FUND CLASS S									
32. IRA #3	C	Dividend	L	T					
33. - FIDELITY CASH RESERVES					Closed	10/31/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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34. - FIDELITY GOVERNMENT CASH RESERVES					Open	11/01/15	J		
35. - FIDELITY STOCK SELECTOR LARGE CAP VALUE									
36. - FIDELITY MID CAP VALUE									
37. - FIDELITY MAGELLAN									
38. - FIDELITY LOW PRICED STOCK									
39. - PERKINS SMALL CAP VALUE CLASS T									
40. IRA #4	E	Dividend	N	T					
41. - FIDELITY CASH RESERVES					Closed	10/31/15	J		
42. - FIDELITY GOVERNMENT CASH RESERVES					Open	11/01/15	J		
43. - DODGE & COX STOCK									
44. - DREYFUS SMALL CAP STOCK INDEX									
45. - FIDELITY DIVERSIFIED INTERNATIONAL									
46. - FIDELITY MID CAP STOCK									
47. - FIDELITY VALUE									
48. - MERIDIAN CONTRARIAN FUND LEGACY									
49. - FIDELITY CONTRAFUND									
50. - FIDELITY STRATEGIC DIVIDEND & INCOME									

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51. - FIDELITY STRATEGIC INCOME									
52. IRA #5	A	Dividend	J	T					
53. - FIDELITY CASH RESERVES					Closed	10/31/15	J		
54. - FIDELITY GOVERNMENT CASH RESERVES					Open	11/01/15	J		
55. - FIDELITY DIVERSIFIED INTERNATIONAL									
56. IRA #6	A	Dividend	K	T					
57. - NOVO NORDISK A/S ADR									
58. - FIDELITY CASH RESERVES					Closed	10/31/15	J		
59. - FIDELITY GOVERNMENT CASH RESERVES					Open	11/01/15	J		
60. NEW YORK LIFE WHOLE LIFE INSURANCE #3		None			Closed	03/30/15	J		
61. LINCOLN BENEFIT LIFE INSURANCE - #1		None	M	T					
62. LINCOLN BENEFIT LIFE INSURANCE - #2		None	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary E. Hammond**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544