

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cedarbaum, Miriam G.	2. Court or Organization US District Court -SDNY	3. Date of Report 05/07/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address US Court House 500 Pearl Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee Emerita	Barnard College
2.	Trustee	Trust [REDACTED]
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Davis Polk & Wardwell Pension	\$10,677.84
2. 2014	Museum of Modern Art Pension Trust-Pension	\$1,745.88
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Santander Bank	A	Interest	M	T					
2. TD Bank	A	Interest	M	T					
3. (H) First Manhattan Account									
4. Range Res Corp	A	Dividend	J	T	Buy	07/31/14	K		
5. New York St Dorm Auth Revs ST	A	Interest	J	T					
6. NYS UDC PIT-3/15/15	B	Interest			Redeemed	03/17/14	K		
7. NY Thruway Fuel TX MBIA-4/1/13	B	Interest	K	T					
8. Virgin Island PFA Rum Tax-10/1/18	B	Interest			Redeemed	10/01/14	K		
9. NY ST Thruway Svc CT - 11/15/11	B	Interest	K	T					
10. Crimson Wine Group		None	J	T					
11. NY Dorm Mental Health FGIC-2/15/19	A	Interest	J	T					
12. Johnson & Johnson Com	B	Dividend	L	T					
13. Leucadia National Corp	A	Dividend	K	T					
14. First Manhattan Cash & Cash Equivalents	A	Interest	J	T					
15. H - Brokerage # 1 - Trust UWO deceased husband									
16. -Cash & Equivalents:First Manhattan Co.		None	M	T					
17. Ascent Cap Group		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -RHJ International Common		None			Sold	05/30/14	K		
19. -American Express Common	A	Dividend	L	T					
20. -Berkshire Hathaway Common		None	M	T					
21. -Discovery Hldg Corp Common		None	M	T					
22. -Johnson & Johnson, Common	B	Dividend	L	T					
23. -Leucadia National Corp.	A	Dividend	K	T					
24. -Onex Corp Common	A	Dividend	M	T					
25. Loews Corp	A	Dividend	L	T					
26. Walgreen Boots Alliance Inc - merged with Walgreen Co.	C	Dividend	M	T					
27. Mosaic Co New Com	B	Dividend	L	T					
28. Crimson Wine Group		None	J	T					
29. Range Res Corp Com	A	Dividend	L	T	Buy	07/31/14	L		
30. ConocoPhillips Com.	C	Dividend	L	T					
31. Allison Transmission Inc., 7.125%, 5/15/15	C	Interest	L	T					
32. -Port of Authority of NY & NJ-01/15/39	B	Interest			Redeemed	01/15/14	L	A	
33. Brookfield Renewable Partnership	A	Int./Div.	L	T	Buy	11/28/14	L		
34. Stancorp Finl Group Inc.-6.9%, 6/1/67	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35. Stancorp Finl Group Inc.-6.9%, 6/1/67	C	Interest	L	T					
36. Brookfield Infrastructure	D	Int./Div.	M	T					
37.	C	Distribution							
38. Brookfield PPTY	A	Int./Div.	L	T	Buy	11/28/14	L		
39. Puerto Rico Commonwealth	A	Interest	J	T					
40. H - First Manhattan Co. Rollover IRA									
41. -Cash & Equivalents: First Manhattan Bank	A	Interest	K	T					
42. -RHJ International Common		None			Sold	06/02/14	K		
43. -American Express Common	A	Dividend	L	T					
44. -Berkshire Hathaway Common		None	M	T					
45. -Discovery Hldg Common		None	M	T					
46. -Johnson & Johnson Com	C	Dividend	M	T					
47. -Leucadia National Corp	A	Dividend	L	T					
48. -Onex Corp Common	A	Dividend	M	T					
49. Crimson wine Group		None	J	T					
50. Leucadia Natl Corp 9/15/2015	D	Interest	L	T					
51. Ascent Media Corp Ser A		None	J	T					

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52. Brookfield Infrastructure	D	Int./Div.	M	T					
53.	C	Distribution							
54. Loews Corp.	A	Dividend	L	T					
55. Walgreens Boots Alliance, Inc - merged with Walgreen Co.	C	Dividend	M	T					
56. Bank of America		None	J	T					
57. Stancorp Finl Group Inc.	C	Interest	L	T					
58. Brookfield Asset Mgmt Inc	B	Interest	K	T					
59. Stancorp Finl Group	C	Interest	L	T					
60. Vornado Rlty L P NT	C	Interest	L	T					
61. ConocoPhillips Com	C	Dividend	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

On 8/6/2014 Discovery Communications, Inc declared a special dividend of series C common shares in a 3:1 ratio, increasing the shares owned from 1700 to 5100. This is reported in Part VII. Investments and Trusts, line 21.

On 8/6/2014 Discovery Communications, Inc declared a special dividend of series C common shares in a 3:1 ratio, increasing the shares owned from 1700 to 5100. This is reported in Part VII. Investments and Trusts, line 45.

On 12/31/2014 Walgreen Co. merged into Walgreens Boots Alliance, Inc. This is reported in Part VII. Investments and Trusts, line 26.

On 12/31/2014 Walgreen Co. merged into Walgreens Boots Alliance, Inc. This is reported in Part VII. Investments and Trusts, line 55.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Miriam G. Cedarbaum**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544