

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Craven, Caroline M.	2. Court or Organization USDC/EDTX	3. Date of Report 06/19/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 500 N. State Line Ave., Room 401 P. O. Box 2090 Texarkana, TX 75504		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Uncompensated corporate director	Red River Lumber Company, Inc.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Total Petroleum

2. 2011

Red River Lumber Company & Design Center

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Texana Bank	Land and buildings, Red River Lumber Co. Inc.	O
2.	Royce James	Stock purchase, Red River Lumber Co., Inc.	M
3.	Ty Patterson	Stock purchase, Red River Lumber Co., Inc.	M
4.	Chad Patterson	Stock purchase, Red River Lumber Co., Inc.	M
5.	Gunstock, Inc.	Land and buildings	O

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Adams Golf common stock		None			Sold	07/25/11	J		Loss on sale
2.									
3. Wells Fargo checking account #1	A	Interest	J	T	Open	1/1/11	J		Not previously required to
4.									report
5.									
6. Wells Fargo (IRA):	D	Dividend			Closed	12/5/11	M		Transfer to Ameriprise IRA
7.									
8. Ameriprise (IRA) #2:	B	Dividend	N	T	Open	12/5/11	N		Transfer fr Wells Frgo IRA
9. -Ameriprise Insured Money Market									
10. -Pimco Funds Pac Inv MGM Commdty									
11. -A T & T									
12. -Chevron Corp									
13. -Cummins Inc									
14. -Deere & Company									
15. -Duff & Phelps Global Util & Inc Fund									
16. -Entergy Corp New									
17. -Exxon Mobil Corp									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Goodrich Corp									
19. --Google Inc Cl A									
20. --Guggenheim Defined Unit 804 Large Cap									
21. --Illinois Tool Works									
22. --Intel Corp									
23. --IBM									
24. --Ishares Trust Russell 2000 Index Fd									
25. --JP Morgan Chase									
26. --LMI Aerospace Inc									
27. --Pepsico Inc									
28. --Qualcomm Inc									
29. --SPDR S & P ETF Trust Unit Ser 1									
30. --Stone Harbor Emrg Mkts Income Fd									
31. --Stryker Corp									
32. --Union Bank NA S&P 500 Index									
33. --Wells Fargo Bank NA Bskt Comm Act/365									
34.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Federated Capital Reserve		None			Closed	1/1/11	J		Inadvertently reported in
36.									prior years--previously
37.									disposed of
38.									
39. Linder Capital Advisors #3:									Brokerage company name not
40.									previously reported
41. -FDIC Insured Deposit Account	A	Interest	J		Open	1/1/11	J		
42. -AIM Moderate Growth Allocation Fund Class A					Closed	1/1/11	L		Name chg to DFA Global Eq
43. -DFA Global Equity PTF	B	Dividend	L	T	Open	1/1/11	L		Name chg fr AIM Mod Growth
44.									
45. American Funds (SIMPLE/IRA) #4:									Brokerage company name not
46.									previously reported
47. -Growth Fund of America-A					Open	01/01/11	K		Omitted from prior years
48. -Investment Co. of America Class A, American Funds									
49. -New Perspective Fund Class A, American Funds					Closed	01/01/11	J		Closed/merged prior year
50. -Capital World Growth & Inc Fund A Class A, American Funds									
51. -Washington Mutual Investors Fund A					Open	01/01/11	J		Omitted from prior years

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -American Balanced Fund-A					Open	01/01/11	J		Omitted from prior years
53. -Fundamental Investors Inc. Class A, American Funds					Closed	01/01/11	J		Disposed of in prior year
54.									
55. FT Unit Target VIP Port, First Trust Target VIP Portfolio		None			Closed	01/01/11	J		Disposed of in prior year
56.									
57. Linder Capital Advisors (Roth IRA) #5:	A	Dividend	K	T	Open	01/01/11	K		Inadvertently omitted from
58.									prior years
59. -FDIC Insured Deposit Account									
60. -DFA Global Equity PTF									
61.									
62. Amerprise Financial #6:									Account opened 12/5/11
63. -Cash	A	Interest	J		Open	12/05/11	J		
64. -Duff & Phelps Global Util & Inc Fund	A	Dividend	J		Open	12/05/11	J		
65.									
66. Red River Lumber Co., stock	A	Dividend	N	U					
67.									
68. MCCM, LLC	G	Rent	N	U					

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69.									
70.									
71.									
72.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: Certain assets of my spouse were unknown to me until March 29, 2012. Prior to that date I believed most of his financial assets to be invested in certificates of deposit or other deposit accounts in banks. I do not derive any income from my spouse's invested assets. My spouse directed many of his assets to be sold on March 30, 2012. These transactions will be reflected on my 2012 financial disclosure report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Caroline M. Craven**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544