

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bencivengo, Cathy A.	2. Court or Organization US District Court, California	3. Date of Report 05/04/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge, active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse 221 West Broadway San Diego, California 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member of Board of Governors	San Diego Chapter of the Association of Business Trial Lawyers
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Bencivengo, Cathy A.

Date of Report

05/04/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Thermo Fisher Scientific - Salary & Commission
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The Sedona Conference	02/26/2017 - 03/03/2017	Houston, TX	Judicial Panelist at Patent Law and E-Discovery Conference	air and local transportation, parking, meals and lodging
2. Federal Circuit Bar Association and Baylor University	04/06/2017 - 04/08/2017	Washington, D.C.	Judicial Panelist at Patent Law Conference	air and local transportation, parking, meals and lodging
3. Intellectual Property Owners Association	06/06/2017 - 06/07/2017	Chicago, IL	Judicial Panelist at Patent Law Conference	air and local transportation, parking, meals and lodging
4. Federal Circuit Bar Association	10/02/2017 - 10/04/2017	Washington, D.C.	Judicial Panelist at International Patent Law Conference	air and local transportation, parking, meals and lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting	Date of Report
Bencivengo, Cathy A.	05/04/2018

5.	Eastern District of Texas Bar Association	10/04/2017 - 10/06/2017	Plano, TX	Judicial Panelist on Patent Law at Annual Bench/Bar Conference	air and local transportation, parking, meals and lodging
6.	American Intellectual Property Law Association	10/19/2017 - 10/20/2017	Washington, D.C.	Judicial Panelist on Patent Law at Association Annual Meeting	air and local transportation, parking, meals and lodging
7.	Univeristy of Texas, Austin	11/02/2017 - 11/03/2017	Austin, TX	Judicial Panelist at Patent Law Conference	air and local transportation, parking, meals and lodging

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Bencivengo, Cathy A.

Date of Report

05/04/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Bencivengo, Cathy A.

Date of Report

05/04/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA #2 (Edward Jones)									
2. -- Edward Jones Cash Reserves		None	J	T					
3. -- Columbia Small Cap Value II	B	Dividend	J	T	Sold (part)	07/27/17	J		
4. --Dodge & Cox Income Fund	A	Dividend	K	T	Buy (add'l)	07/27/17	J		
5. -- Dodge & Cox Stock Fund	C	Dividend	L	T	Sold (part)	07/27/17	J		
6. -- Fundamental Investors Fund	C	Dividend	K	T	Sold (part)	07/27/17	J		
7. -- Hotchkis & Wiley Mid Cap Val I	A	Dividend	J	T	Sold (part)	07/27/17	J		
8. -- JPMorgan Core Bond Fund Select	B	Dividend	L	T	Buy (add'l)	04/04/17	J		
9.					Buy (add'l)	05/30/17	J		
10.					Buy (add'l)	07/27/17	J		
11.					Buy (add'l)	08/01/17	J		
12. -- MFS Value Fund	B	Dividend	L	T	Sold (part)	07/27/17	J		
13. -- Franklin Mutual Global Discovery Fund		None			Sold	03/30/17	K		
14. -- Oppenheimer Intl Growth Fund	A	Dividend	K	T	Sold (part)	03/30/17	J		
15.					Sold (part)	07/27/17	J		
16. -- Invesco Comstock Fund	B	Dividend	K	T	Sold (part)	07/27/17	J		
17. -- JPM Fed Money Market Inst C1	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Bencivengo, Cathy A.

Date of Report

05/04/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Artisan Intl Value Fund	B	Dividend	K	T	Sold (part)	07/27/17	J		
19. -- Europacific Growth Fund	B	Dividend	K	T	Sold (part)	07/27/17	J		
20. -- T.Rowe Price Intl Discovery	A	Dividend	K	T	Buy (add'l)	03/20/17	J		
21.					Sold (part)	07/27/17	J		
22. -- Harbor Capital Appreciation	C	Dividend	K	T	Sold (part)	07/27/17	J		
23. -- Delaware US Growth	B	Dividend	K	T	Sold (part)	07/27/17	J		
24. -- Primecap Odyssey Stock Fund	A	Dividend	K	T	Sold (part)	07/27/17	J		
25. -- Artisan Mid Cap Value Fund	C	Dividend	K	T	Sold (part)	07/27/17	J		
26. -- Brown Cap Mgt Small Co Fund	B	Dividend	K	T	Buy (add'l)	03/30/17	J		
27.					Sold (part)	07/27/17	J		
28. -- Causeway Intl Value Fund Intl	A	Dividend	L	T	Buy (add'l)	03/30/17	J		
29.					Sold (part)	07/27/17	J		
30. -- Dfa US Small Cap Value Fund	A	Dividend	J	T	Sold (part)	07/27/17	J		
31. -- Eaton Vance Atlanta Cap SMID	A	Dividend	K	T	Buy (add'l)	03/30/17	J		
32.					Sold (part)	07/27/17	J		
33. -- PIMCO Funds High Yield Fund Intl Cl	A	Dividend	J	T	Buy (add'l)	07/27/17	J		
34. 401K #2 (Thermo Fisher)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Bencivengo, Cathy A.

Date of Report

05/04/2018

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35. -- Dodge & Cox Stock	E	Dividend	O	T					
36. -- Thermo Fisher Scientific Inc.	A	Dividend	K	T					
37. -- SSGA S&P 500 Index CL C	C	Dividend	L	T					
38. -- Jennison Instl US Sm Cap Eq	E	Dividend	N	T					
39. Brokerage Acct. #2									
40. -- Fundamental Investors	C	Dividend	K	T					
41. -- New World Growth Fund of America	D	Dividend	L	T					
42. J.T. Account (Schwab)									
43. -- Palomar Pomerado Health Muni Bonds		None	K	T					
44. -- Temple City California Unified School District Muni Bonds		None	K	T					
45. -- Growth Fund of America	A	Dividend	J	T					
46. -- Invesco Energy Fund	A	Dividend	J	T					
47. Money Market Charles Schwab	A	Interest	L	T					
48. Brokerage Acct. #4 (Schwab)									
49. --Columbia Seligman Comm & Info Fund	C	Dividend	J	T					
50. -- Matthews Asian Growth & Inc Fund	A	Dividend	J	T					
51. -- Victory Munder Index 500 Fund	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Bencivengo, Cathy A.

Date of Report

05/04/2018

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52. --Oakmark Equity Income Fund	B	Dividend	K	T					
53. -- Parnassus Equity Income	C	Dividend	J	T					
54. -- Permanent Portfolio	A	Dividend	J	T					
55. -- Reynolds Blue Chip	C	Dividend	K	T					
56. -- Yacktman Focused Fund	D	Dividend	K	T					
57. IRA #1									
58. -- VIAVI Solutions Common Stock	A	Dividend	J	T					
59. -- Fidelity Intl Discovery Mutual Fund	B	Dividend	J	T					
60. IRA #3 (Schwab)									
61. -- Deposit Account/Cash Reserves		None	L	T					
62. -- Parnassus Equity Income	B	Dividend	K	T					
63. Chase	A	Interest	N	T					
64.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Bencivengo, Cathy A.

Date of Report

05/04/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Bencivengo, Cathy A.

Date of Report

05/04/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Cathy A. Bencivengo**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544