

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lipp, Wendelin I.	2. Court or Organization Maryland- Bankruptcy Court	3. Date of Report 10/08/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 6500 Cherrywood Lane Third Floor Greenbelt, Maryland 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board of Directors	Walter Chandler American Bankruptcy Inn of Court
2.	TRUSTEE FOR FAMILY MEMBER'S TRUST	N/A
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2015

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	Bankruptcy Bar Association for the District of Maryland	5/1-5/2/15	Annapolis, Maryland	Bankruptcy seminar speaker	Food and 1 night hotel stay.
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental property Montgomery County, MD (\$153,000)	D	Rent	M	S					
2. GE-common	D	Dividend	M	T					
3. United Tech-common	D	Dividend	N	T					
4. [REDACTED]		None	L	U					
5. [REDACTED]		None	J	U					
6. 401K									
7. SWMXX	A	Dividend	N	T					
8. EUEYX	A	Dividend	J	T					
9. JENSX	B	Dividend	K	T					
10. BJBIX	A	Dividend	J	T					
11. Capital One Bank-Various Accounts	A	Interest	M	T					
12. RBC Bank Deposit Program /cash	A	Interest	L	T					
13. Acacia Whole Life Insurance policy	A	Dividend	K	T					
14. Acacia Whole Life Insurance policy	A	Dividend	K	T					
15. AIG Sun America whole life insurance policy	A	Dividend	J	T					
16. RBC Bank Deposit Program/cash	A	Interest	J	T					
17. IRA#1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. AGDAX		None			Sold	05/26/15	K	A	
19. SUIAX		None	K	T	Buy	05/26/15	K		
20. PONAX	A	Dividend	L	T	Buy (add'l)	05/26/15	K		
21. RAGTX		None	J	T	Buy	05/26/15	J		
22. SGENX	C	Dividend	K	T	Sold (part)	05/26/15	K	C	
23. KLCAX		None	K	T	Buy	05/26/15	K		
24. AZNAX		None	K	T	Buy	05/26/15	K		
25. ITHAX		None	K	T	Sold (part)	05/26/15	J	A	
26. BME		None	K	T					
27. NEFZX		None			Sold	05/26/15	K	A	
28. TGTRX		None	K	T	Sold (part)	05/26/15	J	A	
29. GLOBAL X FDX (SDIV)		None	K	T					
30. UIT FT DIV INC PLUS PORT 20	A	Dividend	K	T	Buy	11/19/15	K		
31. UIT FT DIV INC PLUS PORT SERIES 12	A	Dividend			Sold	12/07/15	K	A	
32. UIT FT HIGH DIV EQUITY PORT SERIES 18		None	L	T					
33. UIT FT SER 16 DIV INC PLUS PORT		None	K	T					
34. UIT FT SMID HIGH DIV PORT SERIES 12		None	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. UIT GUGG DEF PORT SER 7 ZACKS IAS		None	J	T					
36. WISDOMTREE TRUST MIDCAP DIV FD ETF (DON)	B	Dividend	K	T	Buy (add'l)	05/26/15	J		
37. WISDOMTREE TRUST SMALLCAP FUND ETF	A	Dividend	K	T	Buy (add'l)	05/27/15	J		
38. IRA#2:									
39. Oppenheimer Value Fund (CGRWX)	A	Dividend	J	T					
40. Growth Fund of America MF	A	Dividend	J	T					
41. Washington Mutual Investors Fund MT	A	Dividend	J	T					
42. QQQ 100 Trust/Powershares	A	Dividend	K	T					
43. UIT FT DIV INC PLUS PORT SER. 20	A	Dividend	K	T	Buy	11/19/15	K		
44. Blackrock US Opportunities Portfolio Class A (BMEAX)	A	Dividend	J	T					
45. Allianz RCM Global Technology-MF (RAGTX)	A	Dividend	K	T	Buy (add'l)	04/24/15	J		
46. Goldman Sachs Mid Value Fund-MF	A	Dividend	K	T					
47. Ivy Asset Strategy Fund Class A (WASAX)		None			Sold	02/18/15	J	B	
48. Prudential Jennison 20/20 Focus Fund-MF	D	Int./Div.	L	T					
49. Oppenheimer Value Fund Class A	A	Dividend	K	T					
50. Oppenheimer Amt-Free Municipals FD CL A	A	Interest	K	T					
51. The Growth Fund of America-MF	D	Dividend	L	T					

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52. LMP Short Duration Muni Income Fund (SHDAX)		None			Sold	02/18/15	K	D	
53. New Perspective Fund A	C	Dividend	L	T					
54. Fundamental Investors A	C	Dividend	K	T					
55. UIT FTP SMID High Div. Port Series 12 (FORMERLY 5)	A	Dividend	J	T					
56. UIT FT SERIES 21 CAP STRENGTH PORT SEMI-ANNUAL	A	Dividend			Sold	06/18/15	K	C	
57. UIT FT SERIES 29 CAP STRENGTH PORT SEMI-ANNUAL	A	Dividend	K	T	Buy	06/18/15	K		
58. EEP	A	Int./Div.	J	T					
59. STXAX	C	Int./Div.	L	T	Buy (add'l)	02/18/15	K		
60.					Buy (add'l)	04/24/15	J		
61. MFC	A	Dividend	J	T					
62. JTD	A	Dividend	J	T					
63. ONEOK Partners LP	A	Int./Div.	J	T					
64. UIT GUGG DEF PORT SERIES 2 MLP & ENERGY	A	Dividend	K	T					
65. XLK	A	Dividend	K	T					
66. FIRST TRUST EFT NYS ARCA BIOTECH INDEX FUND (FBT)	A	Dividend	K	T	Buy	02/18/15	J		
67.					Buy (add'l)	03/05/15	J		
68. SPH	A	Interest	J	T					

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69. TPINX	A	Dividend			Sold	03/05/15	K	A	
70. RIG	A	Dividend			Sold	02/18/15	J	A	
71. CIBFX	A	Dividend			Sold	04/24/15	J	B	
72. DVY	A	Dividend	J	T					
73. SDIV	B	Dividend	K	T					
74. ICE		None			Sold	02/18/15	J	C	
75. CLAYMORE EXCH. TRADED FD TR 2 GUGG SOLAR ETF (TAN)	A	Dividend	J	T	Buy	04/24/15	J		
76. MFS SER TRX INT'L VALUE FD (MGIAX)	A	Dividend	K	T	Buy	04/24/15	K		
77. IVY FDS INC (WSTAX)	A	Dividend	K	T	Buy	02/18/15	J		
78. UIT FT HIGH DIV EQUITY PORT SER 18	B	Dividend	K	T					
79. UIT FT DIV STRENGTH PORT SER 21	A	Dividend			Sold	12/09/15	K	B	
80. UIT FT DIV STRENGTH PORT SER 29		None	K	T	Buy	12/09/15	K		
81. UIT FT SMID HIGH DIV PORT SER 12	A	Dividend	K	T					
82. UIT FTP DIV INC PLUS PORT SER 12	A	Dividend			Sold	11/19/15	K	A	
83. UIT FTP DIV INC PLUS PORT SER 20		None	K	T	Buy	11/19/15	K		
84. UIT FT SMID SER 22 CAP STR PORT	A	Dividend	K	T					
85. UIT GUGG DEF PORT SER 7 ZACKS INC ADV	A	Dividend	K	T					

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86. UIT GUGG DEF PORT SER 9 ZACKS INC ADV	B	Dividend	K	T					
87. UIT FT SABRIENT BAKER DOZ PORT 2015 SER MONTHLY	A	Dividend	K	T	Buy	01/14/15	K		
88. UIT FT US ENER, INDEP SER 7	A	Dividend	K	T	Buy	02/18/15	K		
89. UIT GUGG DEF PORT SER 20 DJ VALUE DIV FOCUS	A	Dividend	K	T	Buy	04/24/15	K		
90. UIT GUGG DEF PORT SER 16 GUGG US SMID HIGH DIV	A	Dividend	J	T	Buy	04/24/15	K		
91. UIT GUGG DEF PORT MLP & ENERGY FDS PORT SER 8	A	Dividend	J	T	Buy	03/05/15	K		
92. UIT FT CAP STRENGTH PORT SER 29	A	Dividend	K	T	Buy	06/18/15	K		
93. WISDOMTREE TR EUROPE HEDGED EQUITY FUND (HEDJ)	A	Dividend	J	T	Buy	04/24/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. Investments and Trusts:

No. 1: The tax assessment is \$153,000.

No. 72: I mistakenly reported SMIV as sold when only part of the fund was sold.

No 16 was to be moved from item 48 to avoid confusion with IRA #2. Unfortunately, I accidently moved it below IRA #1. The RBC cash program is not part of either IRA presently or in the past.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Wendelin I. Lipp

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544