

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Block, Robert N.	2. Court or Organization C.D. of California	3. Date of Report 04/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Ronald Reagan Fed. Bldg & US Courthouse 411 W. 4th St Santa Ana, California 92701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	General Partner (passive)	MSK Realty Co. (interest=approx. 1%)
2.	Trustee	Trust #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Block, Robert N.

Date of Report

04/15/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Self-employed doctor

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Block, Robert N.

Date of Report

04/15/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Block, Robert N.

Date of Report

04/15/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MS&K Realty Co. investment (Los Angeles, California)	B	Distribution	J	W					
2. USAA Subscriber Savings Account	D	Distribution			Closed	12/15/13	J		
3. Wells Fargo Bank accounts	A	Interest	L	T					
4. Prudential Financial Inc. common stock	A	Dividend	L	T					
5. Citibank accounts	A	Interest	L	T					
6. BofA Beyond Banking accounts	A	Interest	L	T					
7. Capital One Bank account	A	Interest	J	T					
8. Note receivable--Bella Mar RV Park LLC		None	L	W					
9. Note Receivable--Bonita View Office Partners LLC		None			Closed	05/18/13	J		
10. Note Receivable--Phair Real Estate Acquisition Fund		None	K	W					
11. RETIREMENT PLAN TRUST									
12. ---BofA Cash/Money Mkt acct	A	Dividend	J	T					
13. ---Nuveen Qual Pref Inc 2	A	Dividend			Sold	11/13/13	J		
14. ---Loomis Sayles Strategic	B	Dividend	L	T					
15. ---Lord Abbett Short Duration	B	Dividend	L	T					
16. ---Lord Abbett Floating Rate Fd	A	Dividend			Sold	03/26/13	J	A	
17. ---Kinder Morgan Management LLC	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Block, Robert N.

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04/15/2014

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. ---Templeton Global Total Return Fd	D	Dividend	M	T	Sold (part)	03/26/13	K		
19. ---Doubleline Total Return Fd	D	Dividend	L	T	Buy (add'l)	03/26/13	J		
20.					Sold (part)	11/13/13	L	A	
21. ---Doubleline Multi-Asset Growth Fd	A	Dividend	L	T	Buy	11/13/13	L		
22. ---Pimco All Asset All Authority Fd	C	Dividend	M	T					
23. ---Blackrock Global Allocation Fd	D	Dividend	M	T	Buy (add'l)	11/13/13	J		
24. ---iShares Gold Trust		None	K	T					
25. ---Ivy Asset Strategy Fd	A	Int./Div.	L	T	Buy	03/26/13	L		
26. BROKERAGE ACCOUNT #1									
27. ---BofA Cash/Money Mkt accts	A	Interest	L	T					
28. ---Calif St Pub Wks Brd Lse Rv B May 1, 13	A	Interest			Redeemed	05/01/13	K		
29. ---San Jose CA Rdv Agy Tax Aug 1, 15	B	Interest	K	T					
30. ---California St FSA-CR Mar 1, 17	B	Interest	L	T					
31. ---San Fran Ca Cy-Co Pub Wtr Rev Ser A Nov 1, 18	B	Interest	L	T					
32. ---California St Var Purp 2016	B	Interest	K	T					
33. ---California St Var Purp 2019	B	Interest	K	T					
34. ---Los Angeles CA Unified Sch District	B	Interest			Redeemed	07/01/13	K	B	

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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Block, Robert N.

Date of Report

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. ---Riverside CA Elec Rev	A	Interest	K	T					
36. ---California St Var Purp 2021	B	Interest	K	T					
37. BROKERAGE ACCOUNT #2									
38. ---BofA Cash/Money Mkt accts	A	Interest	L	T					
39. ---ML Systematic Momentum Futures Access LLC C1 C		None			Sold	04/18/13	L	C	
40. ---Energy Transfer Partners LP	C	Dividend	L	T	Buy (add'l)	04/05/13	K		
41. ---Kinder Morgan Management LLC	A	Dividend	L	T	Buy (add'l)	05/30/13	J		
42.					Buy (add'l)	11/13/13	K		
43. ---Magellan Midstream Partners LP	C	Dividend	L	T					
44. ---Plains All Amern PIPL LP	B	Dividend	L	T					
45. ---William Partners LP	C	Dividend	L	T	Buy (add'l)	06/04/13	K		
46. ---Buckeye Partners LP	C	Dividend	K	T					
47. ---Apple Inc.	B	Dividend	L	T	Buy (add'l)	06/25/13	J		
48. BROKERAGE ACCOUNT #4									
49. ---BofA Cash/Money Mkt accts	A	Interest	J	T					
50. ---Blackrock Global Alloc Fd	A	Dividend	K	T	Buy	03/26/13	K		
51. ---Pimco Unconstrained Bond Fd	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Block, Robert N.

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52. ---Lord Abbett Short Duration Income Fd	A	Dividend			Sold	03/26/13	K	A	
53. ---Lord Abbett Floating Rate Fd	A	Dividend			Sold	03/26/13	J	A	
54. ---Templeton Global Total Return Fd	A	Dividend	K	T	Sold (part)	03/26/13	J	A	
55. ---Doubleline Total Return Bond Fd	B	Dividend	K	T	Buy (add'l)	03/26/13	J		
56.					Sold (part)	11/13/13	K		
57. ---Doubleline Multi-Asset Growth Fd	A	Dividend	K	T	Buy	11/13/13	K		
58. ---PIMCO All Asset All Auth. Fd	B	Dividend	K	T	Buy (add'l)	03/26/13	J		
59. ---iShares Gold Trust		None	J	T					
60. ---Ivy Asset Strategy Fd	A	Dividend	K	T	Buy	03/26/13	K		
61. BROKERAGE ACCOUNT #5									
62. ---BofA Cash/Money Mkt accts		None	J	T					
63. ---Blackrock Global Alloc Fd	B	Dividend	L	T	Buy	03/26/13	L		
64. ---Pimco Unconstrained Bond Fd	A	Dividend	K	T					
65. ---Lord Abbett Short Duration Income Fd	A	Dividend			Sold	03/26/13	L	A	
66. ---Lord Abbett Floating Rate Fd	A	Dividend			Sold	03/26/13	J	A	
67. ---Templeton Global Total Return Fd	B	Dividend	K	T	Sold (part)	03/26/13	J	B	
68.					Sold (part)	12/24/13	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Block, Robert N.

Date of Report

04/15/2014

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69. ---Doubleline Total Return Bond Fd	C	Dividend	K	T	Buy (add'l)	03/26/13	K		
70.					Sold (part)	11/13/13	K	B	
71. ---Doubleline Multi-Asset Growth Fd	A	Dividend	K	T	Buy	11/13/13	K		
72. ---PIMCO All Asset All Auth. Fd	C	Dividend	L	T	Buy (add'l)	03/26/13	K		
73. ---iShares Gold Trust		None	K	T	Buy (add'l)	03/26/13	K		
74. ---Ivy Asset Strategy Fd	A	Dividend	K	T	Buy	03/26/13	K		
75. IRA ACCOUNT #1									
76. ---FIA Card Services RASP acct	A	Interest	J	T					
77. ---Powershares ETF Trust	A	Dividend	K	T					
78. IRA ACCOUNT #2									
79. ---FIA Card Services RASP acct	A	Interest	J	T					
80. ---ML Systematic Momentum		None			Sold	04/18/13	K		
81. IRA ACCOUNT #3									
82. ---FIA Card Services RASP acct	A	Interest	J	T					
83. ---Pimco Unconstrained Bond Fd	A	Dividend			Sold	03/26/13	K	A	
84. ---iShares Gold Trust		None	J	T	Buy	03/26/13	K		
85. ---Lord Abbett Short Duration Income Fd	A	Dividend			Sold	03/26/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Block, Robert N.

Date of Report

04/15/2014

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86. ---Lord Abbett Floating Rate Fd	A	Dividend			Sold	03/26/13	J	A	
87. ---Templeton Global Total Return Fd	B	Dividend	K	T	Sold (part)	03/26/13	J	A	
88. ---Doubleline Total Return Bond Fd	B	Dividend	K	T	Buy (add'l)	03/26/13	J		
89.					Sold (part)	11/13/13	K	A	
90. ---Doubleline Multi-Asset Growth Fd	A	Dividend	K	T	Buy	11/13/13	K		
91. ---PIMCO All Asset All Auth. Fd	B	Dividend	K	T	Buy (add'l)	03/26/13	J		
92. ---Ivy Asset Strategy Fd	A	Dividend	K	T	Buy	03/26/13	K		
93. BROKERAGE ACCT #6									
94. ---University CA Rev 2015	A	Interest	K	T					
95. ---University CA Rev 2013	A	Interest			Redeemed	05/15/13	K		
96. ---California St Var Purp 2014	A	Interest	K	T					
97. ---California St Var Purp 2016	B	Interest	K	T					
98. ---Los Angeles St Dept Water 2018	B	Interest			Redeemed	07/01/13	K		
99. ---California St Dept Water 2017	B	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Block, Robert N.

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04/15/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. All of the listed investments except for Item No. 7 are held in the living trust [REDACTED] and I have established. (See also Part I, Item No. 2).

Part VII. Except as indicated, there were no gains realized on any of the sales or redemptions reported. To avoid confusion when comparing this year's report to last year's report, I kept the account titles (e.g., Brokerage Acct #1, etc.) the same as in last year's report. This again meant skipping from Brokerage Acct #2 to Brokerage Acct #4, because no assets were held during the year in Brokerage Acct #3.

Part VII, Item No. 1. As I have previously reported, the building in which MS&K Realty held an ownership interest was sold in 2005; and a portion of the sales proceeds was held back as a preferred equity interest, and remained to be paid by the buyer and distributed to the MS&K Realty partners. The 2013 distribution (which includes some interest) is reported in Column B, and my current best estimate of my share of the remaining future distributions is reported in Column C. Because my investment has not been entirely liquidated, I have continued to list my partnership position in Part I (see Item No. 1).

Part VII, Item Nos. 8, 9, and 10. These entries represent private commercial real estate investments. On May 18, 2013, the General Partner advised that the bank had foreclosed on the property underlying Item No. 9. I have reported that investment as "closed," and used "J" for the value code even though I received nothing back.

Part VII, Item Nos. 12, 27, 38, 49, 62, 76, 79, and 82. These entries represent cash accounts and money market funds that function essentially as bank accounts for particular brokerage accounts. They sometimes are referred to as "core" accounts. Consistent with my previous reports, I have not reported as separate transactions each deposit of interest or dividends into these "core" accounts, or each time proceeds from the sale or redemption of another investment was deposited into these "core" accounts, or each time funds were withdrawn from a "core" account to purchase another investment.

Part VII, Item Nos. 17, 52, 61, and 77. In last year's report, I reported these investments as having been sold in their entirety. It turns out that I should have reported them as sold in part because de minimis amounts were left over in each of four accounts. As a result, de minimis dividends were paid on these investments in 2012, which I have reported.

Part VII, Item Nos. 17 and 41. The amounts reported as dividends represent stock dividends.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Block, Robert N.

Date of Report

04/15/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert N. Block

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544