

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                     |                                                              |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br>Johnson, William P.                                                                                                       | <b>2. Court or Organization</b><br>District Court - New Mexico                                                                                                                                                                                                                                      | <b>3. Date of Report</b><br>07/23/2019                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br>U. S. District Judge                                               | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br>01/01/2017<br>to<br>12/31/2017 |
| <b>7. Chambers or Office Address</b><br>U. S. District Court<br>333 Lomas Blvd., NW, #640<br>Albuquerque, NM 87102                                                                         |                                                                                                                                                                                                                                                                                                     |                                                              |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                                     |                                                              |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|         |                                                                |
|---------|----------------------------------------------------------------|
| 1. 2017 | NM State Employees Pension Fund; pension at age 65; no control |
| 2.      |                                                                |
| 3.      |                                                                |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 8

Name of Person Reporting

Johnson, William P.

Date of Report

07/23/2019

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME  
(yours, not spouse's)

1.

2.

3.

4.

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2017

State of New Mexico, retirement pension

2.

3.

4.

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Johnson, William P.

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07/23/2019

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

|    | SOURCE | DESCRIPTION | VALUE |
|----|--------|-------------|-------|
| 1. |        |             |       |
| 2. |        |             |       |
| 3. |        |             |       |
| 4. |        |             |       |
| 5. |        |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

|    | CREDITOR        | DESCRIPTION              | VALUE CODE |
|----|-----------------|--------------------------|------------|
| 1. | NM Bank & Trust | unsecured line of credit | K          |
| 2. |                 |                          |            |
| 3. |                 |                          |            |
| 4. |                 |                          |            |
| 5. |                 |                          |            |

# FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

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Date of Report

07/23/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. USAA Fed Sav Bank (checking account)                                                                                     | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Fidelity Management Trust Co. (IRA<br>custodian) (H)                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 3. --AT&T com stock (T)                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 05/09/17                | J                               |                                |                                                                   |
| 4. --Bank of America com stock (BAC)                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 03/15/17                | J                               |                                |                                                                   |
| 5.                                                                                                                          |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/12/17                | J                               |                                |                                                                   |
| 6. CNX Coal com stock (CNXC)                                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/11/17                | J                               |                                |                                                                   |
| 7. --Conoco Phillips com stock                                                                                              | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 01/27/17                | J                               | A                              |                                                                   |
| 8.                                                                                                                          |                                         |                                               |                                                 |                                           | Sold                                            | 06/26/17                | J                               | A                              |                                                                   |
| 9. --Cosan com stock (CZZ)                                                                                                  |                                         | None                                          |                                                 |                                           | Buy                                             | 06/05/17                | J                               |                                |                                                                   |
| 10.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 12/06/17                | J                               | A                              |                                                                   |
| 11. --Exxon Mobile com stock                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. --Facebook com stock                                                                                                    |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. --Ford Motor Co com stock                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/30/17                | J                               |                                |                                                                   |
| 15. --Intl Bus Machines com stock (IBM)                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/08/17                | J                               |                                |                                                                   |
| 16.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/19/17                | J                               |                                |                                                                   |
| 17. --Uranium Energy Corp com stock                                                                                         |                                         | None                                          |                                                 |                                           | Sold                                            | 01/19/17                | J                               | A                              |                                                                   |

|                                                  |                                                                                      |                                                      |                                                            |                                                                                       |                        |
|--------------------------------------------------|--------------------------------------------------------------------------------------|------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                        | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H ~\$1,000,001 - \$5,000,000       | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000                                    | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$250,000,001 - \$500,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | L ~\$50,001 - \$100,000<br>M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q ~Appraisal<br>U ~Book Value                                                        | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                              | T ~Cash Market                                                                        |                        |

# FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                        |   |          |   |   |                |          |   |   |  |
|--------------------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 18. --Valero Energy Corp com stock                     | A | Dividend |   |   | Sold           | 03/06/17 | J | A |  |
| 19. --Fidelity Gov't Money Mkt fund (SPAXX)            | A | Dividend | J | T |                |          |   |   |  |
| 20. --Fidelity Contrafund (FCNTX)                      | A | Dividend | J | T |                |          |   |   |  |
| 21. --Fidelity Intl Small Cap Fund (FISMV)             | A | Dividend | J | T | Buy            | 08/08/17 | J |   |  |
| 22. --Fidelity Low Price Stock Fund (FLPSX)            | A | Dividend | J | T | Buy            | 10/31/17 | J |   |  |
| 23. --Fidelity OTC Port Fund (FOCPX)                   | A | Dividend | J | T |                |          |   |   |  |
| 24. --Fidelity Select Energy Fund (FSENX)              | A | Dividend |   |   | Sold<br>(part) | 08/04/17 | J | A |  |
| 25.                                                    |   |          |   |   | Sold           | 12/20/17 | J | A |  |
| 26. --Fidelity Select Industrials Port Fund<br>(FCYIX) | A | Dividend |   |   | Sold           | 05/16/17 | J | A |  |
| 27. --Fidelity Select Semiconductors Fund<br>(FSELX)   |   | None     | J | T | Buy            | 12/20/17 | J |   |  |
| 28. --Fidelity Select Insurance (FSPCX)                | A | Dividend |   |   | Sold           | 09/13/17 | J | A |  |
| 29. --Fidelity Select Chemicals Fund (FSCHX)           | A | Dividend | J | T |                |          |   |   |  |
| 30. --Fidelity Select Materials Fund (FSDPX)           | A | Dividend |   |   | Buy            | 03/14/17 | J |   |  |
| 31.                                                    |   |          |   |   | Sold           | 08/22/17 | J | A |  |
| 32. --Fidelity Select Retailing (FSRPX)                | A | Dividend | J | T |                |          |   |   |  |
| 33. --Fidelity Select Software & IT SRVC<br>(FSCSX)    | A | Dividend | J | T |                |          |   |   |  |
| 34. --Fidelity Select Transport Fund (FSRFX)           | A | Dividend | J | T | Buy            | 02/21/17 | J |   |  |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

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Date of Report

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/13/17                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Johnson, William P.

Date of Report

07/23/2019

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

--Part VII, Investments and Trusts: Pursuant to the letter dated 7/18/19 from the Financial Disclosure Committee, the income amount codes for the assets identified on lines 17, 21, 22, 27 and 34 were amended and corrected for the subject assets.

--Part VII, Investments and Trusts, line 6: CNX Coal Resources common stock (CNXC) was purchased on 8/11/17. In November 2017, CNX Coal Resources changed its name to CONSOL Coal Resources (CCR). Subsequent FDRs will refer to this asset under its new name.

# FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Johnson, William P.

Date of Report

07/23/2019

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William P. Johnson**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544