

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bloch, Alan N.	2. Court or Organization USDC/WDPA	3. Date of Report 05/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Suite 8370 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member, Emeritus Board of Directors	Make-A-Wish Foundation of Western Pennsylvania (non-profit corporation)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. Academy of Trial Lawyers of
Allegheny County10-3-2017 to
10-5-2017

Farmington, PA

Attend the Annual Retreat

Room and meals

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Philadelphia PA Gas Works Bond (12th Series)	A	Interest	J	T					
2. Scranton PA Gen. Obligation (Series B) Bond	A	Interest			Redeemed	09/29/17	J		
3. Philadelphia PA School District	B	Interest	L	T					
4. Spring-Ford Area School District	A	Interest			Redeemed	06/01/17	J		
5. Philadelphia PA Water & Wastewater Series B Bond	B	Interest			Redeemed	11/01/17	K		
6. Mars PA School District Bond	A	Interest	J	T					
7. Erie PA Water Authority Unref. Bond	A	Interest	J	T					
8. PA State Turnpike Commission Bond	A	Interest	J	T					
9. West Mifflin PA Area School District Bond	A	Interest	J	T					
10. Erie PA Water Authority Pref. Bond	A	Interest	K	T					
11. Reading PA School District Bond	A	Interest	K	T					
12. Coatesville PA Area School District Bond	A	Interest	J	T					
13. Interest-PNC Bank- Checking; Virginia Manor Shops, Pgh.	A	Interest	L	T					
14. Vanguard PA Insured Tax Free Fund	B	Dividend	L	T					
15. First Eagle FDS, Inc.	A	Dividend	J	T	Sold (part)	09/18/17	J	A	
16. Harris Assoc. (Oakmark Equity and Income Fund)	A	Dividend	J	T	Sold (part)	09/18/17	J	A	
17. Hotchkiss & Wiley FDS	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Oppenheimer Dev. Markets	A	Dividend	J	T	Sold (part)	07/14/17	J	A	
19. --					Sold (part)	09/18/17	J	A	
20. Parnassus	A	Dividend	J	T	Sold (part)	09/18/17	J	A	
21. Pimco Invt. Grade Corp. Class I	A	Dividend	J	T	Sold (part)	09/18/17	J	A	
22. Royce FD	A	Dividend	J	T	Sold (part)	09/25/17	J		
23. Sunamerica Ser. Inc. (AIG Focused Dividend Strategy)	A	Dividend	J	T	Sold (part)	09/18/17	J	A	
24. FPA FDS	A	Dividend	J	T	Sold (part)	09/19/17	J	A	
25. --					Sold (part)	10/13/17	J	A	
26. Van Eck FDS (International Invs. Gold)	A	Dividend	J	T	Sold (part)	04/13/17	J	A	
27. --					Sold (part)	09/18/17	J	A	
28. AMG Funds (Yacktman Focused Fund)	A	Dividend	J	T	Sold (part)	09/18/17	J		
29. Vanguard Wellesley Income Fd.	A	Dividend	J	T	Sold (part)	09/18/17	J	A	
30. ATT&T Inc.	B	Dividend	K	T	Buy (add'l)	06/14/17	J		
31. --					Buy (add'l)	10/30/17	J		
32. Chubb Ltd. (f/k/a Ace Ltd.)	A	Dividend	J	T					
33. Altria Group, Inc.	A	Dividend	K	T	Buy (add'l)	09/25/17	J		
34. --					Buy (add'l)	10/11/17	J		

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35. --					Sold (part)	03/22/17	J	A	
36. American Electric Power, Inc.	A	Dividend	J	T					
37. American Tower Corp.	A	Dividend	J	T					
38. Anheuser-Busch Inbev.	A	Dividend	J	T	Sold (part)	06/08/17	J		
39. Apple Inc.	A	Dividend	J	T	Buy (add'l)	10/24/17	J		
40. --					Sold (part)	08/10/17	J	A	
41. Ares Capital Corp.	A	Dividend	J	T					
42. Automatic Data Processing	A	Dividend			Sold (part)	05/08/17	J	A	
43. --					Sold (part)	07/24/17	J	A	
44. --					Sold (part)	07/25/17	J	A	
45. --					Sold	07/27/17	J	A	
46. BCE Inc.	A	Dividend	K	T					
47. BP PLC	A	Dividend	J	T	Buy (add'l)	09/19/17	J		
48. Bank NY/Mellon Corp.	A	Dividend	J	T	Sold (part)	04/18/17	J	A	
49. --					Sold (part)	08/10/17	J	A	
50. CH Robinson Worldwide Inc.	A	Dividend	J	T	Sold (part)	01/30/17	J	B	
51. Chevron Corp.	B	Dividend	K	T	Buy (add'l)	03/22/17	J		

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52. --					Buy (add'l)	04/26/17	J		
53. --					Buy (add'l)	05/02/17	J		
54. --					Buy (add'l)	08/04/17	J		
55. --					Buy (add'l)	08/09/17	J		
56. --					Buy (add'l)	10/16/17	J		
57. Costco Wholesale Corp.	A	Dividend			Sold (part)	03/03/17	J	A	
58. --					Sold	03/06/17	J	A	
59. Coca-Cola Co.	A	Dividend	K	T	Buy (add'l)	04/06/17	J		
60. --					Buy (add'l)	04/28/17	J		
61. --					Buy (add'l)	05/02/17	J		
62. Colgate-Palmolive Co.	A	Dividend	J	T	Buy (add'l)	01/27/17	J		
63. Comcast Corp.	A	Dividend	J	T	Sold (part)	04/12/17	J	A	
64. --					Sold (part)	09/07/17	J	A	
65. DIAGEO PLC	A	Dividend	K	T					
66. Digital Realty Trust Inc.	A	Dividend	J	T					
67. Walt Disney Co.	A	Dividend	J	T					
68. Dominion Res. Inc.	A	Dividend	J	T	Buy (add'l)	02/10/17	J		

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69. --					Buy (add'l)	02/21/17	J		
70. --					Buy (add'l)	05/18/17	J		
71. DuPont	A	Dividend			Sold (part)	05/15/17	J	A	
72. --					Merged (with line 73)	09/01/17	J	A	
73. Dow Dupont	A	Dividend	K	T	Open	09/1/17	J		
74. --					Buy (add'l)	09/11/17	J		
75. --					Buy (add'l)	11/15/17	J		
76. --					Buy (add'l)	11/16/17	J		
77. Duke Energy Corp.	A	Dividend	J	T					
78. Ecolab Inc.	A	Dividend	J	T					
79. Exxon Mobil Corp.	A	Dividend	K	T	Buy (add'l)	06/06/17	J		
80. --					Buy (add'l)	11/17/17	J		
81. FNF Group	A	Dividend	J	T					
82. Fastenal Co.	A	Dividend	K	T	Buy (add'l)	05/16/17	J		
83. General Electric Co.	A	Dividend			Sold (part)	01/23/17	J		
84. --					Sold (part)	01/27/17	J		
85. --					Sold (part)	02/03/17	J		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86. --					Sold (part)	11/30/17	J		
87. --					Sold	12/08/17	J		
88. General Mills Co.	A	Dividend	J	T	Buy (add'l)	06/06/17	J		
89. --					Buy (add'l)	06/14/17	J		
90. --					Buy (add'l)	09/19/17	J		
91. --					Buy (add'l)	10/18/17	J		
92. GlaxoSmithKline PLC	A	Dividend			Sold	12/08/17	J		
93. Home Depot Inc.	A	Dividend	J	T	Buy (add'l)	02/28/17	J		
94. Kraft Heinz Co.	A	Dividend	J	T	Sold (part)	02/17/17	J	B	
95. Intel Corp.	A	Dividend	J	T	Sold (part)	10/04/17	J	A	
96. International Paper Co.	A	Dividend	J	T	Sold (part)	04/18/17	J	A	
97. Iron Mountain Inc.	A	Dividend	J	T					
98. Johnson & Johnson	A	Dividend	K	T	Sold (part)	03/22/17	J	B	
99. Kimberly-Clark Corp.	A	Dividend	J	T	Sold (part)	03/22/17	J	A	
100. --					Sold (part)	03/27/17	J	A	
101. Kinder Morgan Inc.	A	Dividend	J	T					
102. Kohl's Corp.	A	Dividend			Sold (part)	07/25/17	J		

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103. --					Sold	08/08/17	J		
104. Linear Technology Corp.	A	Dividend			Merged (with line 105)	03/14/17	J	A	
105. Analog Devices Inc.	A	Dividend	J	T	Open	03/14/17	J		
106. Martin Marietta	A	Dividend	J	T					
107. Mattel Inc.	A	Dividend			Sold (part)	02/07/17	J	A	
108. --					Sold	02/09/17	J	A	
109. McDonald's	A	Dividend	J	T	Sold (part)	03/22/17	J	A	
110. --					Sold (part)	04/26/17	J	A	
111. --					Sold (part)	04/28/17	J	A	
112. --					Sold (part)	05/02/17	J	A	
113. --					Sold (part)	06/06/17	J	A	
114. --					Sold (part)	06/14/17	J	A	
115. --					Sold (part)	07/17/17	J	A	
116. --					Sold (part)	07/19/17	J	A	
117. --					Sold (part)	07/31/17	J	A	
118. --					Sold (part)	08/04/17	J	A	
119. --					Sold (part)	08/09/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Bloch, Alan N.

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05/10/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Merck & Co. Inc.	A	Dividend	K	T	Sold (part)	07/31/17	J	A	
121. MetLife Inc.	A	Dividend	J	T	Sold (part)	04/18/17	J	A	
122. Microsoft Corp.	A	Dividend	K	T	Sold (part)	04/18/17	J	A	
123. National Grid PLC	A	Dividend			Sold	12/08/17	J		
124. Nestle	A	Dividend	J	T					
125. Nextera Energy Inc.	A	Dividend	J	T					
126. Northern Trust Corp.	A	Dividend	J	T					
127. PPL Corp.	A	Dividend	J	T	Buy (add'l)	04/28/17	J		
128. PPG Indus. Inc.	A	Dividend	J	T					
129. Paychex Inc.	A	Dividend	J	T					
130. Pepsico Inc.	A	Dividend	K	T					
131. Pfizer Inc.	A	Dividend	K	T	Buy (add'l)	09/20/17	J		
132. Philip Morris	A	Dividend	K	T	Sold (part)	04/06/17	J	A	
133. --					Sold (part)	06/14/17	J	A	
134. Praxair Inc.	A	Dividend	K	T	Buy (add'l)	11/17/17	J		
135. --					Buy (add'l)	12/18/17	J		
136. Procter & Gamble Co.	A	Dividend	K	T	Sold (part)	02/10/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Bloch, Alan N.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --					Sold (part)	04/06/17	J	A	
138. --					Buy (add'l)	07/31/17	J		
139. --					Buy (add'l)	08/01/17	J		
140. --					Buy (add'l)	10/13/17	J		
141. Progressive Corp.	A	Dividend	K	T					
142. Raytheon Co.	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
143. --					Sold (part)	08/10/17	J	A	
144. Rayonier Inc.	A	Dividend	J	T					
145. Realty Income Corp.	A	Dividend	J	T					
146. Sanofi	A	Dividend	J	T	Sold (part)	06/06/17	J	A	
147. --					Sold (part)	06/14/17	J	A	
148. --					Sold (part)	07/17/17	J	A	
149. --					Sold (part)	07/19/17	J	A	
150. --					Sold (part)	10/11/17	J	A	
151. --					Sold (part)	10/25/17	J	A	
152. Schlumberger Ltd.	A	Dividend			Sold	12/08/17	J		
153. The Southern Co.	A	Dividend	K	T	Buy (add'l)	07/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Bloch, Alan N.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --					Sold (part)	04/06/17	J	A	
155. --					Sold (part)	04/11/17	J	A	
156. Spectra Energy Corp.	A	Dividend			Merged (with line 157)	02/28/17	J	B	
157. Enbridge Inc.	A	Dividend	J	T	Open	02/28/17	J		
158. --					Buy (add'l)	03/15/17	J		
159. Sysco Corp.	A	Dividend	J	T					
160. Texas Instruments Inc.	A	Dividend	J	T	Sold (part)	04/18/17	J	A	
161. 3M Co.	A	Dividend	K	T					
162. Time Warner Inc.	A	Dividend	J	T	Sold (part)	11/02/17	J	A	
163. Travelers Cos. Inc.	A	Dividend	J	T	Sold (part)	03/08/17	J	A	
164. --					Sold (part)	06/16/17	J	A	
165. Unilever PLC	A	Dividend			Sold	02/17/17	J	A	
166. Union Pacific Corp.	A	Dividend	J	T					
167. UPS	A	Dividend	J	T	Buy (add'l)	05/08/17	J		
168. --					Buy (add'l)	07/17/17	J		
169. --					Buy (add'l)	07/19/17	J		
170. United Health Group Inc.	A	Dividend	J	T	Sold (part)	08/18/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Ventas Inc.	A	Dividend	J	T	Buy (add'l)	03/22/17	J		
172. Verizon Communications	B	Dividend	K	T	Buy (add'l)	05/18/17	J		
173. --					Buy (add'l)	07/17/17	J		
174. --					Sold (part)	04/21/17	J	A	
175. Vodafone Group PLC	B	Dividend	K	T	Buy (add'l)	03/22/17	J		
176. Wal-Mart Stores	A	Dividend			Sold	02/17/17	J	A	
177. Waste Management Inc.	A	Dividend	J	T					
178. WEC Energy Group Inc.	A	Dividend	J	T					
179. Welltower Inc.	A	Dividend	J	T					
180. Weyerhaeuser Co.	A	Dividend	K	T					
181. Broadridge Financial Solutions	A	Dividend	J	T					
182. Abbvie Inc.	A	Dividend	J	T	Buy (add'l)	02/21/17	J		
183. --					Sold (part)	07/31/17	J	A	
184. --					Sold (part)	09/19/17	J	A	
185. --					Sold (part)	09/25/17	J	A	
186. --					Sold (part)	10/16/17	J	A	
187. --					Sold (part)	10/18/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --					Sold (part)	10/25/17	J	A	
189. --					Sold (part)	10/30/17	J	A	
190. Astrazeneca PLC	A	Dividend	J	T	Buy (add'l)	02/10/17	J		
191. --					Buy (add'l)	03/22/17	J		
192. --					Buy (add'l)	08/04/17	J		
193. --					Buy (add'l)	08/09/17	J		
194. Bank of America Corp.	A	Dividend	J	T	Buy (add'l)	02/03/17	J		
195. --					Buy (add'l)	02/28/17	J		
196. --					Buy (add'l)	04/25/17	J		
197. --					Buy (add'l)	05/15/17	J		
198. Blackrock Inc.	A	Dividend	J	T	Buy (add'l)	04/25/17	J		
199. --					Buy (add'l)	05/15/17	J		
200. Consolidated Edison Inc.	A	Dividend			Sold (part)	11/07/17	J	A	
201. --					Sold	11/21/17	J	A	
202. Crown Castle Intl Corp.	A	Dividend	J	T	Buy (add'l)	03/22/17	J		
203. --					Buy (add'l)	04/26/17	J		
204. --					Sold (part)	11/17/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Graco Inc.	A	Dividend	J	T					
206. IBM	A	Dividend			Sold	02/27/17	J	A	
207. Mondelez Intl	A	Dividend	J	T	Buy (add'l)	11/17/17	J		
208. Novartis AG	A	Dividend			Sold (part)	05/16/17	J	A	
209. --					Sold	05/18/17	J	A	
210. Occidental Pete Corp.	A	Dividend	J	T	Buy (add'l)	02/21/17	J		
211. --					Buy (add'l)	03/22/17	J		
212. --					Buy (add'l)	04/11/17	J		
213. --					Buy (add'l)	10/25/17	J		
214. Pentair PLC	A	Dividend	J	T					
215. Total S.A. Spons. ADR	A	Dividend	J	T	Buy (add'l)	02/21/17	J		
216. --					Buy (add'l)	04/06/17	J		
217. --					Buy (add'l)	05/16/17	J		
218. --					Buy (add'l)	10/11/17	J		
219. Zoetis Inc.	A	Dividend	J	T					
220. Arconic Inc. PFD	A	Dividend			Sold	01/10/17	K		
221. Bank of America PFD	A	Dividend	K	T	Buy	04/03/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Bloch, Alan N.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Becton PFD	B	Dividend	K	T	Buy	05/22/17	K		
223. Dominion Resources PFD		None			Sold	01/10/17	K		
224. Ebay Inc. PFD	B	Dividend	K	T					
225. Goldman Sachs PFD	A	Dividend	K	T	Buy	04/03/17	K		
226. Great Plains Energy PFD	B	Dividend			Redeemed	08/17/17	K		
227. JP Morgan PFD	B	Dividend	K	T					
228. Kinder Morgan PFD	C	Dividend			Buy	01/10/17	K		
229. --					Sold	12/07/17	K		
230. Nextera Energy PFD		None			Sold	01/10/17	K		
231. PNC Fin. Sys. PFD	B	Dividend	K	T	Buy	01/10/17	K		
232. Qwest Corp. PFD	C	Dividend	K	T	Buy (add'l)	01/13/17	K		
233. AFLAC Inc.	A	Dividend	J	T	Buy	06/09/17	J		
234. --					Buy (add'l)	06/19/17	J		
235. Black Knight		None	J	T	Buy	10/02/17	J		
236. British American Tob.	A	Dividend	J	T	Buy	11/17/17	J		
237. --					Buy (add'l)	11/21/17	J		
238. CDN Imp. BK	A	Dividend	J	T	Buy	07/17/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. --					Buy (add'l)	07/19/17	J		
240. --					Buy (add'l)	07/31/17	J		
241. --					Buy (add'l)	10/18/17	J		
242. --					Buy (add'l)	10/25/17	J		
243. --					Buy (add'l)	11/17/17	J		
244. General Motors Co.	A	Dividend	J	T	Buy	01/18/17	J		
245. --					Buy (add'l)	02/21/17	J		
246. --					Buy (add'l)	02/23/17	J		
247. --					Buy (add'l)	09/13/17	J		
248. --					Buy (add'l)	10/02/17	J		
249. Healthcare TR Amer.	A	Dividend	J	T	Buy	05/03/17	J		
250. --					Buy (add'l)	05/09/17	J		
251. Ishares Select	A	Dividend	K	T	Buy	12/08/17	K		
252. Mastercard	A	Dividend	J	T	Buy	02/03/17	J		
253. --					Buy (add'l)	02/23/17	J		
254. --					Buy (add'l)	02/28/17	J		
255. --					Buy (add'l)	03/15/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. McCormick & Co.	A	Dividend	J	T	Buy	07/11/17	J		
257. --					Buy (add'l)	07/12/17	J		
258. --					Buy (add'l)	07/13/17	J		
259. --					Buy (add'l)	11/07/17	J		
260. --					Buy (add'l)	11/17/17	J		
261. Phillips 66	A	Dividend	J	T	Buy	03/10/17	J		
262. Polaris Indus.	A	Dividend	K	T	Buy	03/06/17	J		
263. --					Buy (add'l)	03/22/17	J		
264. --					Buy (add'l)	04/07/17	J		
265. Public Storage Inc.	A	Dividend	J	T	Buy	05/16/17	J		
266. --					Buy (add'l)	06/06/17	J		
267. --					Buy (add'l)	06/14/17	J		
268. --					Buy (add'l)	07/31/17	J		
269. --					Buy (add'l)	10/16/17	J		
270. Public SVC	A	Dividend	J	T	Buy	04/06/17	J		
271. --					Buy (add'l)	04/11/17	J		
272. --					Buy (add'l)	07/19/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. SPDR Trust	A	Dividend	J	T	Buy	12/08/17	J		
274. U.S. Bancorp New	A	Dividend	J	T	Buy	07/24/17	J		
275. Visa, Inc. Class A	A	Dividend	J	T	Buy	02/03/17	J		
276. --					Buy (add'l)	02/23/17	J		
277. --					Buy (add'l)	02/28/17	J		
278. --					Buy (add'l)	03/15/17	J		
279. Williams Cos. Inc.	A	Dividend	J	T	Buy	01/10/17	J		
280. --					Buy (add'l)	01/26/17	J		
281. --					Buy (add'l)	02/28/17	J		
282. --					Buy (add'l)	03/02/17	J		
283. Colony Northstar	A	Dividend			Buy	07/07/17	J		
284. --					Buy (add'l)	07/19/17	J		
285. --					Buy (add'l)	07/20/17	J		
286. --					Buy (add'l)	07/21/17	J		
287. --					Sold	12/08/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan N. Bloch

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544