

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lettow, Charles F.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 05/06/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Ct. of Fed. Claims Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address National Courts Building 717 Madison Place, N.W. Washington, DC 20005		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President and Director	Busy Way Farms, Inc. (Subchapter S farm entity wholly owned [redacted] position is not compensated)
2. Partner	Homestead Partnership (small farm entity wholly owned [redacted] position is not compensated)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1/1/1993	Cleary, Gottlieb, Steen & Hamilton retirement plan with former law firm, no control
2.	(NOTE: An opinion from the Committee on Codes of Conduct dated June 13, 2003, considers that receipt of the retirement annuity provided under this plan accords
3.	with the Code of Conduct for United States Judges.)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Cleary, Gottlieb, Steen & Hamilton (retirement annuity)	\$654,822.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. ABA Litigation Section-Ann. Mtg.	Apr. 24-26	Chicago, IL	speaker	transportation, meals & lodging
2. Busy Way Farms, Inc.	Sep. 25-Oct. 1	Iowa Falls, IA	trip to farms	transportation, meals & lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA # 1	C	Int./Div.	M	T					
2. -Citibank Dep. Prog.									
3. -AEE									
4. -BGS									
5. -CAT									
6. -CL									
7. -MWV									
8. -SJM									
9. (H) Brokerage Act. # 1									
10. -Citibank Dep. Program	A	Interest							See Part VIII
11. Morgan Stanley Bank (transfer from Citibank Dep. Program)	A	Interest	J	T					See Part VIII
12. -COF	A	Dividend	L	T					
13. -COV	A	Dividend	J	T					
14. -CL	C	Dividend	M	T					
15. KMI-	A	Dividend	J	T					
16. KMI wts-		None	J	T					
17. -BBT	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. MNK (spin-off from COV)		None	J	T					
19. -GIS	C	Dividend	M	T					
20. -ING		None	J	T					
21. -MRK	A	Dividend	K	T					
22. -MMM (3M)	B	Dividend	M	T					
23. -NOK		None	J	T					
24. -TEL	A	Dividend	J	T					
25. -VZ	A	Dividend	J	T					
26. -WFC	B	Dividend	L	T					
27. -JNJ	B	Dividend	L	T					
28. IRA # 2	G	Int./Div.	P1	T					
29. -Citibank Dep. Program									
30. -BBT									
31. -BGS									
32. -CAT									
33. -CVX									
34. -CL									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -INGR									
36. -GE									
37. -MWV									
38. -PKG									
39. -PX									
40. -SJM									
41. -XOM									
42. -Clearbridge Equity Income A (SOPAX) (Name change)									
43. -Clearbridge Large Cap Growth A (SBLGX) (Name change)									
44. (H) Brokerage Act. # 2									
45. -Morgan Stanley Tax-Free Daily Income Trust		None	J	T					
46. -AEE	D	Dividend	M	T					
47. -COF	D	Dividend	P1	T					
48. WFC	B	Dividend	M	T					
49. -PKG	D	Dividend	O	T					
50. -PX	D	Dividend	N	T					
51. -SJM	D	Dividend	N	T					

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52. (H) Brokerage Act. # 3									
53. -Citibank Dep. Program	A	Interest	M	T					
54. -FITB	A	Dividend	K	T					
55. -MWV	A	Dividend	J	T					
56. -PX	A	Dividend	J	T					
57. (H) Brokerage Act. # 4									
58. -ML Bank Dep. Program	A	Interest	J	T					See Part VIII
59. BIF Money Fund (partial transfer from ML Bank Dep. Program)		None	J	T					See Part VIII
60. -LNT	A	Dividend	J	T					
61. -BPT	C	Royalty	K	T					
62. -CL	E	Dividend	O	T					
63. -XOM	D	Dividend	N	T					
64. -BBT	E	Dividend	O	T					
65. -ING		None	K	T					
66. -KMI	E	Dividend	N	T					
67. -KMI wts		None	L	T					
68. (H) Brokerage Act. # 5									

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69. -PEP	D	Dividend	N	T					
70. -QEP	B	Dividend	N	T					
71. -STR	D	Dividend	N	T					
72. -USAA Money Mkt. Fund	A	Dividend	J	T					
73. USAA Money Mkt. Fund	A	Dividend	M	T					
74. USAA VA Money Market Fund	A	Dividend	J	T					
75. Mass Mutual Whole Life	A	Distribution	J	U					
76. Thrivent Whole Life	A	Distribution	J	U					
77. USAA Whole Life 1	B	Distribution	K	U					
78. USAA Whole Life 2	B	Distribution	K	U					
79. Pacific Life Variable (Fixed LT Account)	E	Distribution	O	U					
80. USAA Subsc. Sav. Act.	A	Distribution	J	U					
81. Busy Way Farms	E	Int./Div.	O	U					
82. BB&T bank act	A	Interest	J	T					
83. Homestead Partnership	C	Distribution	K	U					
84. Stoneham Partners	D	Int./Div.	P2	U	Buy	11/12/13	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding lines 10, 11, 58, and 59, cash held by brokerage firms was shifted by them from one depository entity to another.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Charles F. Lettow**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544