

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pym, Sheri	2. Court or Organization U.S. District Court for the Central District of California	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 3470 12th Street Riverside, CA 92501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Honorary Board Member	Federal Bar Association, Inland Empire Chapter
2. Personal Representative	Estate of relative #1
3. Board Member and GU12 Division Commissioner	American Youth Soccer Organization, Claremont Region 3
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Pomona College -- salary

2. 2013

Columbia University Press -- book review fee

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Prime Money Market Fund	A	Int./Div.	N	T					
2. Vanguard Rollover IRA	D	Dividend	N	T					
3. -- Vanguard Capital Opportunity Fund Adm									
4. -- Vanguard Growth Index Fund Adm									
5. -- Vanguard STAR Fund									
6. -- Vanguard U.S. Value Fund									
7. Vanguard Roth IRA #1	B	Dividend	K	T					
8. -- Vanguard Explorer Fund Inv									
9. -- Vanguard Small-Cap Index Fund Adm									
10. Vanguard Roth IRA #2	A	Dividend	K	T					
11. -- Vanguard Total Stock Market Index Fund Inv									
12. -- Vanguard Windsor II Fund Inv									
13. Claremont University Consortium 403(B)(7) Plan	C	Dividend	M	T					
14. -- Vanguard International Growth Fund Inv									
15. -- Vanguard LifeStrategy Growth Fund					Buy (add'l)	07/02/13	J		
16.					Buy (add'l)	07/31/13	J		
17. -- Vanguard STAR Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -- Vanguard Windsor II Fund Inv									
19. -- Vanguard 500 Index Fund Inv									
20. Pomona College Academic Retirement Plan	B	Dividend	M	T					
21. -- CREF Growth Fund									
22. -- CREF Equity Index Fund									
23. -- CREF Global Equities Fund									
24. -- Vanguard Total Stock Market Index Institutional Fund					Buy	11/08/13	J		
25. -- Vanguard Target Retirement 2030 Fund									
26. -- Vanguard Total Stock Market Index Signal Fund					Sold	11/08/13	J		
27. Northwestern Mutual Variable Life Insurance #1	A	Dividend	K	T					
28. -- Northwestern Mutual Index 500 Stock Fund (MSA)									
29. -- Northwestern Mutual Mid Cap Growth Stock Fund (MSA)									
30. Northwestern Mutual Variable Life Insurance #2	A	Dividend	K	T					
31. -- Northwestern Mutual Growth Stock Fund (MSA)									
32. -- Northwestern Mutual Index 400 stock Fund (MSA)									
33. Vanguard UGTMA Account #1	A	Dividend	J	T					
34. -- Vanguard Lifestrategy Moderate Growth Fund									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Vanguard UGTMA Account #2	A	Dividend	J	T					
36. -- Vanguard Lifestrategy Moderate Growth Fund									
37. Vanguard Education Savings Account #1	A	Dividend	J	T					
38. -- Vanguard Explorer Fund Inv									
39. -- Vanguard STAR Fund									
40. Vanguard Education Savings Account #2	A	Dividend	J	T					
41. -- Vanguard Small-Cap Growth Index Fund									
42. College Savings Iowa 529 Plan #1		None	L	T					
43. -- Vanguard Growth Age-Based Track: Growth Portfolio					Sold	04/04/13	L		
44. -- Vanguard Growth Age-Based Track: Moderate Growth					Buy	04/04/13	L		
45. College Savings Iowa 529 Plan #2		None	L	T					
46. -- Vanguard Growth Age-Based Track: Growth Portfolio					Buy (add'l)	12/31/13	J		
47. University of California Retirement Savings Program	A	Dividend	J	T					
48. -- UC Savings Fund									
49. Putnam UGTMA Account #1	A	Dividend	J	T					
50. -- Putnam Dynamic Asset Allocation Balanced Fund									
51. -- Putnam Dynamic Asset Allocation Growth Fund									

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52. Putnam UGTMA Account #2	A	Dividend	J	T					
53. -- Putnam Dynamic Asset Allocation Balanced Fund									
54. -- Putnam Dynamic Asset Allocation Growth Fund									
55. Virginia 529 College Savings Plan #1	A	Dividend	K	T					
56. -- American Funds Bond Fund of America									
57. -- American Funds Capital World Growth and Income Fund									
58. -- American Funds Growth Fund of America									
59. -- American Funds New Perspective Fund									
60. -- American Funds Washington Mutual Investors Fund									
61. Virginia 529 College Savings Plan #2	A	Dividend	J	T					
62. -- American Funds Bond Fund of America									
63. -- American Funds Capital World Growth and Income Fund									
64. -- American Funds Growth Fund of America									
65. -- American Funds New Perspective Fund									
66. -- American Funds Washington Mutual Investors Fund									
67. Franklin Templeton 529 College Savings Plan #1		None	K	T					
68. --Templeton Growth 529 Portfolio									

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69. -- Franklin Growth 529 Portfolio									
70. -- Franklin Small Mid Cap Growth 529 Portfolio									
71. -- Mutual Shares 529 Portfolio									
72. -- S&P 500 Index 529 Portfolio									
73. Franklin Templeton 529 College Savings Plan #2		None	K	T					
74. -- Founding Funds 529 Portfolio									
75. Schwab bank account (X)	A	Interest	J	T					
76. Chase bank account	A	Interest	J	T					
77. Real property in San Juan County, WA (X)		None	L	Q					
78. Real property in Shoreline, WA		None			Sold	09/05/13	N	E	SG Land Group, LLC
79. Chase bank account for estate of relative #1		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) Part VII, line 75 -- this Schwab account was previously held, but was not reportable in prior years due to account value and income.

2) Part VII, line 77 -- this property was appraised on 10/23/2012, and the value shown reflects my interest in the whole.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sheri Pym

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544