

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Williams, Mary Ellen C.	2. Court or Organization US Court of Federal Claims	3. Date of Report 10/21/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 717 Madison Place, N.W. Suite 612 Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member - Content Advisory Board	ABA Section of Intellectual Property Law
2. Council Member, Section Delegate	ABA Section of Public Contract Law
3. Member - Board of Visitors	Duke University School of Law
4. Adjunct Profesor	Johns Hopkins University
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Johns Hopkins University	\$7,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Self-employed - Financial Advisor
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	ABA and Administrative Office of the U.S. Courts	2/8/2014-2/11/2014	Chicago, IL	ABA Mid-year Meeting	Lodging/Transportation/Food
2.	ABA	3/21/2014-3/22/2014	Annapolis, MD	Federal Procurement Institute	Lodging/Mileage/Parking
3.	New York Intellectual Property Association	3/28/2014-3/29/2014	New York, NY	Annual Dinner in Honor of the Judiciary	Lodging/Transportation/Food
4.	Duke University School of Law	4/10/2014-4/11/2014	Durham, NC	Board of Visitors Spring Meeting	Lodging/Transportation/Food
5.	ABA	6/4/2014-6/5/2014	Kansas City, MO	ABA Board Procedures and Operations Committee Meeting	Lodging/Transportation

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6.	ABA	7/17/2014-7/17/2014	Chicago, IL	ABA SCOPO Marketing Subcommittee Meeting	Transportation
7.	ABA and Administrative Office of the U.S. Courts	8/8/2014-8/12/2014	Boston, MA	ABA Annual Meeting	Lodging/Transportation/Food
8.	Federal Judicial Center	9/9/2014-9/12/2014	Los Angeles, CA	Mediation Skills Workshop	Transportation/Food
9.	Duke University School of Law	10/9/2014-10/10/2014	Durham, NC	Board of Visistors Fall Meeting	Lodging/Transportation/Food
10.	ABA and Administrative Office of the U.S. Courts	10/30/2014-11/01/2014	Miami, FL	ABA Public Contract Law Panel and Meeting	Lodging/Transportation/Food
11.	ABA	11/12/2014-11/13/2014	Charleston, SC	ABA Board of Governors Meeting	Lodging/Transportation/Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Time Warner Common Stock (TWX)	A	Dividend	J	T					
2. Time Warner Cable (TWC)	A	Dividend	J	T					
3. Time Inc. New	A	Dividend	J	T	Spinoff (from line 2)	01/01/14	J	A	
4. AOL	A	Dividend	J	T					
5. Abbott Lab Common Stock (ABVIE)	A	Dividend	J	T					
6. Abbott Laboratories (ABT)	A	Dividend	J	T	Spinoff (from line 5)	01/01/14	J	A	
7. Wells Fargo Checking	A	Interest	K	T					
8. U.S. Savings Bonds	A	Interest			Redeemed	06/09/14	J	A	
9. 6/10/91 Irrevocable Ins. Trust #1 Beneficiary		None	N	T					
10. 6/10/91 Irrevocable Ins. Trust #2 Beneficiary		None	N	T					
11. Riversource Variable Universal Life Ins.		None	M	T					
12. Riversource Variable Universal Life Ins.		None	L	T					
13. Wells Fargo (MM)	A	Interest	J	T					
14. Wells Fargo Checking	A	Interest	J	T					
15. Fidelity Adv. Level Co. Stock-A (FLSAX)	A	Dividend	K	T					
16. Fidelity Adv. Stock Sel. Cap Cl. A (FMAMX)	A	Dividend	L	T					
17. Fidelity Adv. Diverse Int'l-A (FDVAX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. RVS Variable Annuity (IRA)	A	Dividend	N	T					
19. Eaton Vance Nat'l Muni (EANAX)	A	Interest	K	T	Sold	01/09/14	J	A	
20. Eaton Vance Nat'l Muni (EANAX)	A	Interest	J	T	Sold	01/21/14	J	A	
21. Eaton Vance Nat'l Muni (EANAX)	A	Interest	J	T	Sold	01/21/14	J	A	
22. Ameriprise MM	A	Interest	L	T					
23. Ameriprise Cash	A	Interest	J	T					
24. Pimco Income Cl. A (PONAX)	D	Interest	M	T					
25. Invesco Endeavor (ATDAX)	A	Dividend	L	T					
26. Invesco Convertible (CNSAX)	A	Dividend	K	T					
27. First Eagle Overseas Cl. A (SGOVX)	B	Dividend	M	T					
28. Ivy Micro Cap Growth Cl. A (IGWAX)	A	Dividend	K	T					
29. Managers Yacktman (YAFFX)	A	Dividend	M	T					
30. Putnam Cap Opp.ys Cl. A (PCOAX)	A	Dividend	L	T					
31. Ridgeworth Agressive Growth STK Cl. A (SAGAX)	A	Dividend	K	T					
32. Sun America Focu Div Strategy (FDSAX)	A	Dividend	K	T					
33. Virtus Emerging Markets Opp.y Cl. A. (HEMZ)	A	Dividend	J	T					
34. Wells Fargo Advantage Premier Large Co (EKJAX)	E	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. Ameriprise (AMP) (X)	A	Dividend	K	T					
36. Nuveen Core Div Cl. A (NCDAX)	A	Dividend	K	T	Buy	08/14/14	K		
37. Catalyst Insider Buying CLA (INSAX)	A	Dividend	K	T	Buy	10/10/14	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 7: Manulife Fin'l Corp. (MFC) is not listed in the 2014 report as all shares had been sold in 2013. The 2013 amended report contained two entries for partial sales, which combined equaled the complete sale of the asset. The first part was sold on April 12, 2013, and the last part was sold on August 15, 2013, as noted in the 2013 amended report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Mary Ellen C. Willams**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544